

The YouTube channel “City of Franklin WI” will be live streaming the Common Council meeting so that the public will be able to view and listen to the meeting.
<https://www.youtube.com/c/CityofFranklinWIGov>

CITY OF FRANKLIN
COMMON COUNCIL MEETING
FRANKLIN CITY HALL – COMMON COUNCIL CHAMBERS
9229 WEST LOOMIS ROAD, FRANKLIN, WISCONSIN
AGENDA*
TUESDAY, SEPTEMBER 7, 2021 AT 6:30 P.M.

- A. Call to Order and Roll Call.
- B.
 - 1. Citizen Comment Period.
 - 2. Mayoral Announcements:
 - (a) A Proclamation Declaring September 2021 as Prostate Cancer Awareness Month in the City of Franklin.
 - (b) Certificate of Recognition Awarded to Dennis Rau, Michaelangelo’s Pizza in Honor of their 40th Anniversary in the City of Franklin (Ald. Nelson).
- C. Approval of Minutes:
 - (a) Approval of Minutes of the Regular Common Council Meeting of August 17, 2021.
 - (b) Approval of Minutes of the Special Common Council Meeting of August 25, 2021.
- D. Hearings.
- E. Organizational Business:
 - 1. Mayoral Appointments:
 - (a) Daniel Duchniak, 3647 W. Forest Hill Ave., Ald. Dist. 5 - Board of Water Commissioners for a 5 year term expiring 09/30/25.
 - (b) Nancy Chu, 7350 S. Lovers Land Rd., Apt. 358, Ald. Dist. 2 - Architectural Board for a 3 year unexpired term expiring 04/30/22.
 - 2. Aldermanic Appointments:
 - (a) Alderman Holpfer-Community Development Authority, 1 year unexpired term expires 04/18/22.
 - (b) Alderman Holpfer-Civic Celebrations Commission, 1 year unexpired term expires 04/18/22.
 - (c) Alderman Holpfer-Finance Committee, 1 year unexpired term expires 04/18/22.
 - (d) Alderman Holpfer-Personnel Committee, 1 year unexpired term expires 04/18/22.
 - (e) Alderwoman Hanneman-Plan Commission, 1 year unexpired term expires 04/18/22.
- F. Letters and Petitions.

G. Reports and Recommendations:

1. An Ordinance to Amend the Municipal Code, §133-4 Adoption of International Code Council (ICC), Inc. Codes, as was Provided within Ordinance No. 2021-2463, an Ordinance to Repeal and Recreate Chapter 133 Fire Prevention, Protection, and Control Code, of the Municipal Code of the City of Franklin, Adopted on May 4, 2021.
2. Concept Review for a Commercial Development Located at 7255, 7295, 7401 and 7401A W. Rawson Avenue (7401 W. Rawson Avenue) (Rawson Ventures, LLC, Applicant).
3. A Resolution Authorizing Certain Officials to Accept a Conservation Easement for and as Part of the Approval of a Final Plat for Pleasant View Reserve Subdivision (at 7475 and 7501 South 49th Street) (Veridian Homes, LLC, Applicant; VH PVR LLC, Owner).
4. Council Roundtable Discussion of Unified Development Ordinance Rewrite Project.
5. Adopt the name “Corporate Park” for the development area within Tax Incremental District No. 8 (TID 8) currently known as “Area D,” roughly bound by W. Oakwood Road, S. 27th Street, W. South County Line Road and S. 42nd Street.
6. A Resolution to Execute Graef-USA, Inc Professional Services Agreement for Storm Water Management Plan Reviews and Other On-Call Services.
7. A Resolution to Execute a General Engineering Services Contract with Foth Infrastructure & Environment, LLC.
8. Award Pleasant View Park Improvements to Poblocki Paving Corporation for \$680,379.
9. A Resolution to Purchase 0.470 Acres at 10100 S. 60th Street From Zeta Company for \$40,900.
10. A Resolution to Award Construction of South 60th Street Sanitary Lift Station Replacement (10100 S. 60th Street) to JH Hassinger, Inc. for \$2,376,500.
11. Authorize the Release of a Letter of Credit No. 1010031457 from Midland State Bank for Ryanwood Manor Subdivision Phase 1 and Letter of Credit No. 1010032364 for Ryanwood Manor Subdivision Phase 2 Located West of S. 76th Street and North of W. Oakwood Road as Recommended by the Engineering Department.
12. A Resolution to Execute Change Orders No. 2 and No. 3 to DF Tomasini Contractors, Inc. for the Construction of 2020 Marquette Avenue Road in the Total Amount of \$8,856.75.
13. A Resolution To Issue a Final Change Order No. 4 for Pleasant View Park Pavilion (4901 W. Evergreen Street) to Ray Stadler Construction Company in the Amount of \$3,000 Savings.
14. July 2021 Monthly Financial Report.
15. A Resolution Appointing Clifton Larson Allen, LLC as Auditors for the City of Franklin 2021 Financial Statements.
16. Consideration of a Resolution Adopting the City of Franklin Code of Conduct for Elected and Appointed Officials and the Rules of the Common Council.
17. An Ordinance to Amend Ordinance 2020-2453, an Ordinance Adopting the 2021 Annual Budgets for the Donations Fund to Provide \$18,000 of Appropriations for the Purchase of Common Council Furniture.
18. Authorization to Purchase New Chairs for Common Council Chambers and the Hearing Room, Signs, a Recognition Plaque and New Chairs/Furniture for the Two Lobby Areas Within City Hall.

Common Council Meeting Agenda

September 7, 2021

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19. Population Estimate from Wisconsin Department of Administration as of January 1, 2021 and Proposed Redistricting Timeline.

H. Licenses and Permits.

Miscellaneous Licenses - License Committee Meeting of September 7, 2021.

I. Bills.

Request for Approval of Vouchers and Payroll.

J. Adjournment.

*Supporting documentation and details of these agenda items are available at City Hall during normal business hours

[Note Upon reasonable notice, efforts will be made to accommodate the needs of disabled individuals through appropriate aids and services
For additional information, contact the City Clerk's office at (414) 425-7500]

REMINDERS:

September 9	Plan Commission Meeting	7:00 p.m.
September 21	Common Council Meeting	6:30 p.m.
September 23	Plan Commission Meeting	7:00 p.m.
October 5	Common Council Meeting	6:30 p.m.
October 7	Plan Commission Meeting	7:00 p.m.
October 19	Common Council Meeting	6:30 p.m.
October 21	Plan Commission Meeting	7:00 p.m.
October 31	Trick of Treat	4:00-7:00 p.m.

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City of Franklin Proclamation

A PROCLAMATION DECLARING SEPTEMBER 2021 AS PROSTATE CANCER AWARENESS MONTH IN THE CITY OF FRANKLIN.

WHEREAS, Prostate Cancer has stricken approximately 248,000 American men already in 2021 with more than 13,000 in the State of Wisconsin, and

WHEREAS, Prostate Cancer is the most common form of cancer and the second leading cause of death for men; and

WHEREAS, Prostate Cancer is diagnosed in 1 in 7 men, and risk dramatically increases in men over the age of 65, obese men, black men and men who have relatives who have had the cancer; and

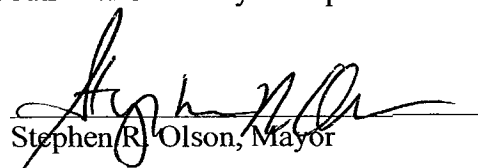
WHEREAS, Prostate Cancer is nearly 100% survivable should the disease be diagnosed in the very early stages, although survivability drops to 28% when the cancer is found outside the gland, and Prostate Cancer, particularly in early stages, exhibits no symptoms but screening for Prostate Cancer is painless and effective; and

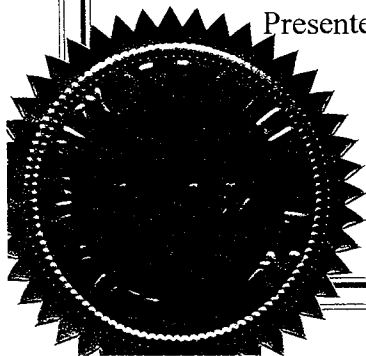
WHEREAS, men whom you know may either have the disease or had the disease and go through life without notice, and funding for research for better screening and treatment lags other cancers; and

WHEREAS, Prostate Cancer at all stages impact the health and relationship of couples, families and entire communities.

NOW, THEREFORE, BE IT PROCLAIMED, that I, Stephen R. Olson, Mayor, of the City of Franklin, Wisconsin, on behalf of all of the Citizens of Franklin, the elected officials and the staff of City government, hereby proclaim September 2021 as Prostate Cancer Awareness Month in the City of Franklin and encourage all men to talk with their doctors about prostate cancer and those men over 50 be screened for the disease.

Presented to the City of Franklin Common Council this 7th Day of September 2021


Stephen R. Olson, Mayor



City of Franklin
Certificate

CERTIFICATE OF RECOGNITION

AWARDED TO

DENNIS RAU
MICHAELANGELO'S PIZZA

IN HONOR OF THE 40TH ANNIVERSARY
IN THE CITY OF FRANKLIN

This Certificate of Recognition is Awarded to Michaelangelo's Pizza, Owner Dennis Rau, in Recognition and Appreciation for your Ongoing Commitment and Dedication to the Operation of Michaelangelo's Pizza, including the Employment of Many Franklin Residents throughout the past 40 years, in the City of Franklin, Milwaukee County, Wisconsin, since 1970.

Presented to the City of Franklin Common Council this 7th day of September 2021

Stephen R. Olson, Mayor

Seconded by Alderwoman Hanneman. On roll call, all voted Aye.
Motion carried.

CONSENT AGENDA G.1. Alderman Barber moved to approve the following Consent Agenda items:

- RES 2021-7759
DNR FORESTRY
GRANT PROGRAM (b) Adopt Resolution No. 2021-7759, A RESOLUTION TO AUTHORIZE SUBMITTAL OF A 2021 GRANT APPLICATION REQUEST FROM THE WISCONSIN DEPARTMENT OF NATURAL RESOURCES URBAN FORESTRY GRANT PROGRAM AND UNSPECIFIED AMOUNTS FOR YET TO BE DETERMINED CATASTROPHIC STORM EVENTS;
- DPW VEHICLE (c) Authorize Department of Public Works to purchase one (1) Used Multi-Use Municipal Snow Removal Vehicle;
- RES 2021-7760
MILLIMAN-BENEFIT
PLAN (d) Adopt Resolution No. 2021-7760, A RESOLUTION ENGAGING MILLIMAN, INC. TO PERFORM ACTUARIAL STUDY ON THE CITY OF FRANKLIN OTHER POST EMPLOYMENT BENEFIT PLAN FOR 2021 AND 2022;
- TRICK OR TREAT (e) Establish Sunday, October 31, 2021, from 4:00 p.m.-7:00 p.m. for the Halloween Trick or Treat observance in the City of Franklin; and
- STREET CLOSURE-
OUTDOOR MOVIE-
HEALTH DEPT. (f) Approve street closures on S. Legend Drive between the southern Franklin Public Library entrance and the Schlueter Parkway intersection on Friday, August 20, 2021, in conjunction with the City of Franklin sponsored Franklin Outdoor Movie Night.

Approval of the Consent Agenda items was seconded by Alderwoman Hanneman. All voted Aye; motion carried.

SPECIAL COUNCIL
MTG. SCHEDULED (a) Alderman Barber moved to approve scheduling a Special Common Council meeting, or "Roundtable Workshop," with consultants on Thursday, August 26, 2021, at 3:00 p.m. Seconded by Alderwoman Hanneman. All voted Aye; motion carried.

RES. 2021-7761 G.3. Alderwoman Wilhelm motion to approve Resolution No. 2021-7761, A LANDSCAPE BUFFERYARD 2 LOT CSM (MLG DEVELOPMENT, INC.) RESOLUTION AUTHORIZING CERTAIN OFFICIALS TO ACCEPT A LANDSCAPE BUFFERYARD EASEMENT FOR AND AS PART OF THE APPROVAL OF A 2 LOT CERTIFIED SURVEY MAP, BEING A REDIVISION OF LOT 2 OF CERTIFIED SURVEY MAP NO. 8546 BEING A REDIVISION OF OUTLOT 1, BLOCK 11 OF THE PLAT OF FRANKLIN BUSINESS PARK ADDITION

NO. 1. (MLG DEVELOPMENT, INC.) (GENERALLY EAST OF THE INTERSECTION OF WEST OAKWOOD PARK DRIVE AND SOUTH 52ND STREET IN THE CITY OF FRANKLIN BUSINESS PARK). Seconded by Alderwoman Hanneman. All voted Aye; motion carried.

RES. 2021-7762
CONSERVATION
EASEMENT 2 LOT
CSM (MLG DEV.,
INC.)

G.4. Alderwoman Hanneman motion to approve Resolution No. 2021-7762, A RESOLUTION AUTHORIZING CERTAIN OFFICIALS TO ACCEPT A CONSERVATION EASEMENT FOR AND AS PART OF THE APPROVAL OF A 2 LOT CERTIFIED SURVEY MAP, BEING A REDIVISION OF LOT 2 OF CERTIFIED SURVEY MAP NO. 8546 BEING A REDIVISION OF OUTLOT 1, BLOCK 11 OF THE PLAT OF FRANKLIN BUSINESS PARK ADDITION NO. 1. (MLG DEVELOPMENT, INC.) (GENERALLY EAST OF THE INTERSECTION OF WEST OAKWOOD PARK DRIVE AND SOUTH 52ND STREET IN THE CITY OF FRANKLIN BUSINESS PARK). Seconded by Alderman Barber. All voted Aye; Motion carried.

PARKLAND
ACQUISITION
SERVICES STUDY

G.5. No action was taken at this time following a presentation regarding the Vandewalle & Associates, Inc. support for Parkland Acquisition Services input session on a Draft Study.

VERIDIAN HOMES
SPECIAL
EXCEPTION TO
NATURAL
RESOURCES

G.6. Alderwoman Wilhelm moved to adopt the Standards, Findings and Decision of the City of Franklin Common Council upon the application of Veridian Homes, LLC, Applicant; VH PVR LLC, Owner for a Special Exception to Certain Natural Resource Provisions of the City of Franklin Unified Development Ordinance. Seconded by Alderman Barber. All voted Aye; motion carried.

RES. 2021-7763
FINAL PLAT FOR
PLEASANT VIEW
RESERVE SUBD.

G.7. Alderwoman Wilhelm moved to approve Resolution No. 2021-7763, A RESOLUTION CONDITIONALLY APPROVING A FINAL PLAT FOR PLEASANT VIEW RESERVE SUBDIVISION (AT 7475 AND 7501 SOUTH 49TH STREET) (VERIDIAN HOMES, LLC, APPLICANT; VH PVR LLC, OWNER), as amended. Seconded by Alderman Mayer. All voted Aye; motion carried.

RES. 2021-7764
PLEASANT VIEW
RESERVE SUBD.
PHASE 1

G.8. Alderwoman Wilhelm moved to approve Resolution No. 2021-7764, A RESOLUTION TO EXECUTE A SUBDIVISION DEVELOPMENT AGREEMENT WITH VH PVR LLC FOR THE DEVELOPMENT OF PLEASANT VIEW RESERVE SUBDIVISION PHASE 1, as amended. Seconded by Alderman Nelson. All voted Aye; motion carried.

- CODE OF CONDUCT G.15. No action required at this time on an update to the proposed Code of Conduct. Consideration of this item will be requested at the September 7, 2021 Common Council meeting.
- Alderman Nelson returned to his seat at 9:17 p.m.
- COMMITTEE OF THE WHOLE RECOMMENDATION G.17. No action was taken on the following items discussed at the Committee of the Whole meeting of August 16, 2021:
- (a) Wholesale Public Water Supply to Franklin 2024.
 - (b) Kueny Architects, LLC Status Update Concerning the Department of Public Works and Fire Needs Assessment Services Project.
 - (c) Discussion of an Awareness Campaign Contract with Hausch Design Agency and Platypus Advertising and Design for a Franklin Private Property Infiltration and Inflow (PPII) Policy/Program.
- NOTICE OF CLAIM FROM AUTO ACCIDENT G.20. Alderman Barber moved to deny the claim of Gerald Cutty pursuant to Wis. Stats. § 893.80(1g), based upon and in concurrence with the insurance adjuster that the investigation revealed that the City of Franklin was not liable or negligent for this accident as discussed in the Council Action sheet. Seconded by Alderman Mayer. On roll call, all voted Aye. Motion carried.
- LICENSES AND PERMITS H. Alderman Nelson moved to approve the following:
- Grant 2021-2022 Operator License to: Thomas Balistreri, Nicole Baraniak, Frank Janiszewski, Nand Patel, Donna Sherman; and
- Grant Temporary Entertainment and Amusement license to: Volition Franklin, Outdoor Movie Night, Ellen Henry, Health Department, City Hall, 8/20/2021.
- Seconded by Alderwoman Wilhelm. All voted Aye; motion carried.
- VOUCHERS AND PAYROLL I. Alderman Barber moved to approve the following: City vouchers with an ending date of August 12, 2021 in the amount of \$2,553,210.19; payroll dated August 13, 2021 in the amount of \$427,687.75 and payments of the various payroll deductions in the amount of \$242,298.24 plus City matching payments; estimated payroll dated August 27, 2021 in the amount of \$415,000 and payments of the various payroll deductions in the amount of \$555,000, plus City matching payments; property tax disbursements with an ending date of

August 13, 2021 in the amount of \$3,190,281.78. payment to Bond Trust Services in the amount of \$639,686.25 and approval to release Library vouchers not to exceed \$20,000.00. Seconded by Alderwoman Hanneman. On roll call, all voted Aye. Motion carried.

SAM'S REAL
ESTATE BUSINESS
TRUST V.CITY OF
FRANKLIN

- G.18. Alderman Barber moved to enter closed session at 9:21 p.m. pursuant to Wis. Stat. § 19.85 (1)(g) regarding Sam's Real Estate Business Trust v. City of Franklin, Milwaukee County Circuit Court, Case No. 2020CV006103 and Wal-Mart Real Estate Business Trust v. City of Franklin, Milwaukee County Circuit Court, Case No. 2020CV006148, to confer with legal counsel for the Common Council who is rendering advice concerning strategy to be adopted by the body with respect to the subject litigation, and to reenter open session at the same place thereafter to act on such matters discussed therein as it deems appropriate. Seconded by Alderwoman Hanneman. On roll call, all voted Aye. Motion carried.

Upon reentering open session at 9:25 p.m., Alderman Barber moved to approve a Settlement Agreement and Mutual Release by and between Sam's Real Estate Business Trust and the City of Franklin, in the form and content as presented to the Common Council at its meeting on August 17, 2021, and to authorize Attorney Nathan J. Bayer to execute and deliver same, subject to Common Council approval of the proposed ordinance to amend the budget within Item G.16. of the August 17, 2021 Common Council meeting agenda packet. Seconded by Alderwoman Hanneman. All voted Aye; motion carried.

Alderman Barber moved to approve a Settlement Agreement and Mutual Release by and between Wal-Mart Real Estate Business Trust and the City of Franklin, in the form and content as presented to the Common Council at its meeting on August 17, 2021, and to authorize Attorney Nathan J. Bayer to execute and deliver same, subject to Common Council approval of the proposed ordinance to amend the budget within Item G.16. of the August 17, 2021 Common Council meeting agenda packet. Seconded by Alderwoman Hanneman. All voted Aye; motion carried.

ORD. 2021-2477
AMEND BUDGET
ORD. ASSESSMENT
CHALLENGES

- G.16. Alderman Barber moved to adopt Ordinance No. 2021-2477, AN ORDINANCE TO AMEND ORDINANCE 2020-2453, AN ORDINANCE ADOPTING THE 2021 ANNUAL BUDGETS FOR THE GENERAL FUND TO PROVIDE ADDITIONAL \$10,000 OF APPROPRIATIONS FOR TAX REFUNDS ON ASSESSMENT CHALLENGES. Seconded by Alderwoman Hanneman. On roll call, all voted Aye. Motion carried.

RES. 2020-7680
TID 8
DEVELOPMENT
AGREEMENT

G.19. Alderman Nelson moved to enter closed session at 9:30 p.m. pursuant to Wis. Stat. § 19.85(1)(e), for market competition and bargaining reasons, to deliberate and consider terms relating to a Tax Incremental District No. 8 Development Agreement between the City of Franklin, JHB Properties, LLC and ZS Enterprises, LLC, Spec and/or Commercial Buildings Mixed Use Development and a Development Agreement for JHB Properties, LLC and ZS Enterprises, LLC, Spec and/or Commercial Buildings Mixed Use Development (Public Improvements), and the investing of public funds and governmental actions in relation thereto and to effect such development, including the terms and provisions of the development agreements for the development of property located on the south side of West Elm Road in the approximately 3500 block area where West Elm Road is to be extended to the west, consisting of approximately 79.79 acres, and to reenter open session at the same place thereafter to act on such matters discussed therein as it deems appropriate. Seconded by Alderwoman Hanneman. On roll call, all voted Aye. Motion carried.

Upon reentering open session at 9:52 p.m., Alderman Barber moved to provide notice to the developers with regard to the developments that there will be a motion to adopt a Resolution to Rescind Resolution No. 2020-7680, A Resolution Authorizing Certain Officials to Execute a Tax Incremental District No. 8 Development Agreement and a Development Agreement for Tax Incremental District No. 8 Related Public Improvements Between the City of Franklin, JHB Properties, LLC and ZS Enterprises, LLC, Spec and/or Commercial Buildings Mixed Use Development at the Common Council meeting of September 21, 2021. Seconded by Alderwoman Hanneman. All voted Aye; motion carried.

ADJOURNMENT

J. Alderwoman Hanneman moved to adjourn the meeting at 9:54 p.m. Seconded by Alderwoman Wilhelm. All voted Aye; motion carried.

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CITY OF FRANKLIN
SPECIAL COMMON COUNCIL MEETING
AUGUST 25, 2021
MINUTES

ROLL CALL

- I. The special meeting of the Common Council was held on May 28, 2020 and called to order at 6:30 p.m. by Mayor Steve Olson in the Franklin City Hall Hearing Room, 9229 W. Loomis Road, Franklin, Wisconsin. On roll call, the following were in attendance: Alderman Dan Mayer, Alderwoman Kristen Wilhelm, Alderwoman Shari Hanneman, Alderman Mike Barber, and Alderman John R. Nelson, and the First Aldermanic District remained vacant. Also present were Director of Administration Peggy Steeno, City Attorney Jesse A. Wesolowski, and City Clerk Sandra Wesolowski.

CITIZEN COMMENT

- II. Citizen comment period was opened at 6:31 p.m. and closed at 6:33 p.m.

1ST DISTRICT
ALDERPERSON
APPLICANTS

- III.A. City Clerk Wesolowski drew names by lot for the order of presentations from First District Alderperson applicants who submitted letters of interest for appointment to fill the vacancy created by the resignation of Alderman Mark Dandrea. Each applicant was allotted up to eight minutes, and the order was as follows: James R. Ziegenhagen, Edward H. Holpfer, Clarke W. Johnson, Scott E. Szalanski, and Katie Walczak.

The City Clerk then drew names by lot of the Mayor and Aldermen for the order of asking up to two questions, the same question for all applicants, with the order being Alderman Barber, Alderwoman Wilhelm, Alderwoman Hanneman, Alderman Nelson, Mayor Olson, and Alderman Mayer.

Upon completion of the presentations and question/answer process, the Aldermen voted on paper ballots as follows: Alderman Mayer voted for Clarke Johnson, Alderwoman Wilhelm voted for Katie Walczak, Alderwoman Hanneman voted for Edward Holpfer, Alderman Barber voted for Edward Holpfer, and Alderman Nelson voted for Clarke Johnson. Mayor Olson broke the tie by voting for Edward Holpfer.

OATH OF OFFICE

The Oath of Office was administered to Alderman Edward H. Holpfer by the City Clerk. Alderman Holpfer then took his seat as First District Alderman.

ADJOURNMENT

- D. Alderman Nelson moved to adjourn the special meeting at 8:26 p.m. Seconded by Alderman Holpfer. All voted Aye; motion carried.

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APPROVAL	REQUEST FOR COMMON COUNCIL ACTION	MEETING DATE 09/07/21
ORGANIZATIONAL BUSINESS	Mayoral Commission Appointments	ITEM NUMBER

1. Mayoral appointments:
 - (a) Daniel Duchniak, 3647 W. Forest Hill Ave., Ald. Dist. 5 - Board of Water Commissioners for a 5 year term expiring 09/30/25.
 - (b) Nancy Chu, 7350 S. Lovers Land Rd., Apt. 358, Ald. Dist. 2 - Architectural Board for a 3 year unexpired term expiring 04/30/22.

2. Aldermanic Appointments:
 - (a) Alderman Holpfer - Community Development Authority, 1 year unexpired term expires 04/18/22.
 - (b) Alderman Holpfer - Civic Celebrations Commission, 1 year unexpired term expires 04/18/22.
 - (c) Alderman Holpfer - Finance Committee, 1 year unexpired term expires 04/18/22.
 - (d) Alderman Holpfer - Personnel Committee, 1 year unexpired term expires 04/18/22.
 - (e) Alderwoman Hanneman - Plan Commission, 1 year unexpired term expires 04/18/22.

COUNCIL ACTION REQUESTED

Motion to confirm the following:

1. Mayoral appointments:
 - (a) Daniel Duchniak, 3647 W. Forest Hill Ave., Ald. Dist. 5 - Board of Water Commissioners for a 5 year term expiring 09/30/25.
 - (b) Nancy Chu, 7350 S. Lovers Land Rd., Apt. 358, Ald. Dist. 2 - Architectural Board for a 3 year unexpired term expiring 04/30/22.

2. Aldermanic Appointments:
 - (a) Alderman Holpfer - Community Development Authority, 1 year unexpired term expires 04/18/22.
 - (b) Alderman Holpfer - Civic Celebrations Commission, 1 year unexpired term expires 04/18/22.
 - (c) Alderman Holpfer - Finance Committee, 1 year unexpired term expires 04/18/22.
 - (d) Alderman Holpfer - Personnel Committee, 1 year unexpired term expires 04/18/22.
 - (e) Alderwoman Hanneman - Plan Commission, 1 year unexpired term expires 04/18/22.

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<p style="text-align: center;">APPROVAL</p> <p style="text-align: center;"><i>Slw</i></p>	<p style="text-align: center;">REQUEST FOR COUNCIL ACTION</p>	<p style="text-align: center;">MEETING DATE</p> <p style="text-align: center;">September 7, 2021</p>
<p style="text-align: center;">REPORTS AND RECOMMENDATIONS</p>	<p style="text-align: center;">An Ordinance to Amend the Municipal Code, §133-4 Adoption of International Code Council (ICC), Inc. Codes, as was Provided within Ordinance No. 2021-2463, An Ordinance to Repeal and Recreate Chapter 133 Fire Prevention, Protection, and Control Code, of the Municipal Code of the City of Franklin, Adopted on May 4, 2021</p>	<p style="text-align: center;">ITEM NUMBER</p> <p style="text-align: center;">G.1.</p>

In May of 2021, the Common Council approved repeal and replacement Chapter 133 of Municipal Ordinances (Fire Prevention, Protection and Control Code), that adopts by reference the most current code requirements enforced by the State of Wisconsin Department of Safety and Professional Services (DSPS).

The fire chief is requesting Common Council approval of the following correction prior to official publication of the adopted code:

§133-4. Adoption of International Code Council (ICC), Inc., codes.

A. The latest ICC publication(s) ~~listed below and~~ published on or before the effective date of this code are adopted by reference, enforced, and incorporated into this code as fully set forth herein.

The reference to language “listed below” was an oversight of the revision dating to when the fire department adopted and incorporated only specified portions of ICC codes. The State and City now adopt and enforce the full suite of ICC codes through the DSPS and Franklin Department of Inspection Services, respectively.

COUNCIL ACTION REQUESTED

A motion to adopt An Ordinance to Amend the Municipal Code, §133-4 Adoption of International Code Council (ICC), Inc. Codes, as was Provided within Ordinance No. 2021-2463, An Ordinance to Repeal and Recreate Chapter 133 Fire Prevention, Protection, and Control Code, of the Municipal Code of the City of Franklin, Adopted on May 4, 2021.

ORDINANCE NO. 2021-_____

AN ORDINANCE TO AMEND THE MUNICIPAL CODE, §133-4 ADOPTION OF INTERNATIONAL CODE COUNCIL (ICC), INC. CODES, AS WAS PROVIDED WITHIN ORDINANCE NO. 2021-2463, AN ORDINANCE TO REPEAL AND RECREATE CHAPTER 133 FIRE PREVENTION, PROTECTION, AND CONTROL CODE, OF THE MUNICIPAL CODE OF THE CITY OF FRANKLIN, ADOPTED ON MAY 4, 2021

WHEREAS, the Common Council adopted Ordinance No. 2021-2463, An Ordinance to Repeal and Recreate Chapter 133 Fire Prevention, Protection, and Control Code, of the Municipal Code of the City of Franklin, on May 4, 2021, such Ordinance providing in Section 1 §133-4 Adoption of International Code Council (ICC), Inc., codes, that the “latest ICC publication(s) listed below” were adopted by reference; however, the publication(s) were not listed below; and

WHEREAS, Fire Chief having reviewed same and recommended that a correction be made to delete the listed below part of the provision, noting that need to list same is unnecessary as the ICC codes have been and are adopted and enforced by the State and the City by the Wisconsin Department of Safety and Professional Services and the City Department of Inspection Services, respectively; and

WHEREAS, the Common Council having determined the recommendation to be reasonable.

NOW, THEREFORE, the Mayor and Common Council of the City of Franklin, Wisconsin, do ordain as follows:

SECTION 1: §133-4 Adoption of International Code Council (ICC), Inc., of the Municipal Code of Franklin, Wisconsin, is hereby amended to read as follows *[note deletions appear in strike-through text]*:

§133-4. Adoption of International Code Council (ICC), Inc., codes.

A. The latest ICC publication(s) ~~listed below and~~ published on or before the effective date of this code are adopted by reference, enforced, and incorporated into this code as fully set forth herein.

SECTION 2: The terms and provisions of this ordinance are severable. Should any term or provision of this ordinance be found to be invalid by a court of competent jurisdiction, the remaining terms and provisions shall remain in full force and effect.

ORDINANCE NO. 2021-_____

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SECTION 3: All ordinances and parts of ordinances in contravention to this ordinance are hereby repealed.

SECTION 4: This ordinance shall take effect and be in force from and after its passage and publication.

Introduced at a regular meeting of the Common Council of the City of Franklin this _____ day of _____, 2021, by Alderman _____.

Passed and adopted at a regular meeting of the Common Council of the City of Franklin this _____ day of _____, 2021.

APPROVED:

Stephen R. Olson, Mayor

ATTEST:

Sandra L. Wesolowski, City Clerk

AYES _____ NOES _____ ABSENT _____

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<p style="text-align: center;">APPROVAL</p> <p style="text-align: center;"><i>slw</i></p>	<p style="text-align: center;">REQUEST FOR COUNCIL ACTION</p>	<p style="text-align: center;">MEETING DATE</p> <p style="text-align: center;">September 7, 2021</p>
<p style="text-align: center;">REPORTS & RECOMMENDATIONS</p>	<p style="text-align: center;">CONCEPT REVIEW FOR A COMMERCIAL DEVELOPMENT LOCATED AT 7255, 7295, 7401 and 7401A W. RAWSON AVENUE.</p> <p style="text-align: center;">(7401 W RAWSON AVENUE)</p> <p style="text-align: center;">(RAWSON VENTURES, LLC, APPLICANT)</p>	<p style="text-align: center;">ITEM NUMBER</p> <p style="text-align: center;">G.2.</p>

A Concept Review is an informal high-level perspective presentation of a potential land use project by a developer to the Common Council. While not required, the Concept Review provides developers the opportunity to present a potential new development to perhaps obtain comments from Common Council members, prior to undertaking more detailed applications processes. The Concept Review is strictly optional by the request of a developer. No comments made at a Concept Review meeting are in any way binding upon any actions by the City during a subsequent required applications process. The Common Council does not entertain any motions or take any actions upon the potential project at a concept review meeting.

BACKGROUND

The applicant, Rawson Ventures, LLC, submitted a Rezoning Application on May 18, 2021. The request is to develop four parcels (or portions thereof) owned by the Brunn family which front along Rawson Avenue just east of the Orchard View shopping center, for which the applicant was seeking to rezone the properties from R-6 Suburban Single Family Residential and B-3 Community Business to B-2 General Business. Staff review comments were sent to the applicant on June 16, 2021 which recommended the applicant submit a Concept Review Application, based on the comments staff provided the applicant.

APPLICATION

On August 2, 2021, the applicant submitted the application for Concept Review. The concept for review includes four buildings, all with drive-through facilities, and up to six tenants. The applicant is looking to develop the property for a quick-service restaurant; drive-through coffee tenant; financial institution or other user; and potentially a formal restaurant, general retail, or office. The current conceptual site plan shows four buildings with a total of 13,835 square feet of building area, 188 parking spaces and 4 monument signs, along with cross-access easements along the north side of the development site.

The documents attached include:

- Staff report prepared by the City Development Department, which includes staff’s summary of compliance with the Unified Development Ordinance and consistency with the City of Franklin 2025 Comprehensive Master Plan.
- Memorandum with comments from city departments including City Development for the prior submitted Rezoning Application.
- Applicant’s Concept Review submittal: project summary and concept plans.

COUNCIL ACTION REQUESTED

No action requested. No action to be taken.



CITY OF FRANKLIN



REPORT TO THE COMMON COUNCIL

Meeting of September 7, 2021

Concept Review

RECOMMENDATION: Provide direction to the applicant regarding the proposed commercial development of four parcels on West Rawson Avenue.

Project Name:	Rawson Ventures, 7401 W. Rawson Avenue
Applicant:	Rawson Ventures, LLC
Agent:	David Church
Project Address/Tax Key:	7255 W. Rawson Avenue (TKN 756-9993-018), 7295 W. Rawson Avenue (TKN 756-9993-019), 7401A W. Rawson Avenue (TKN 756-9993-005), and 7401 W. Rawson Avenue (TKN 756-9993-013)
Property Owner:	Dawnn and Brian Brunn
Current Zoning:	R-6 – Suburban Single-Family Residence District and B-3 – Community Business District
Proposed Zoning:	B-2 – General Business District
2025 Comprehensive Plan:	Commercial
Action Requested:	No action requested
Staff:	Heath Eddy, AICP, Planning Manager

PROJECT DESCRIPTION

Rawson Ventures submitted an application for Concept Review for four parcels fronting Rawson Avenue. The applicant is seeking to rezone the properties from the existing R-6 (at 7401 and 7401A W. Rawson Avenue) and B-3 (7255 and 7295 W. Rawson Avenue) to B-2 General Business. This is in order to provide for the maximum number of potential commercial uses for the proposed development.

As shown on the Conceptual Site Plan, the proposed development would establish four commercial lots for development, which are conceptually intended for (left to right)

- A quick-service restaurant on the western parcel adjacent McDonald’s, including 3,165 square feet of building, 38 parking spaces, and double drive-through lanes;
- A coffee tenant on the next parcel, including 2,200 square fet of building, 41 parking spaces, and a single drive-through lane;
- A financial institution (or potential other commercial), including 2,450 square feet of building, 32 parking spaces, and a single drive-through lane; and

- A combination facility with up to three tenants which the applicant indicates could be a sit-down restaurant, general retail, and/or office, with up to 6,000 square feet of building, 77 parking spaces, and a single drive-through lane on the east side of the building.

The total proposed building area is 13,835 square feet combined for the four lots. The proposed design is separate buildings on separate lots with variable parking varying in ratio from 12 spaces/1000 square feet of building area on the east parcel up to 18.6 spaces/1000 square feet of building area on the second parcel; an average of 13.6 spaces/1000 square feet of building area.

The combination of existing zoning districts permits a single-family residence (on the two western lots, both of which contain a residential use type) and some combination of service or retail uses, generally similar to the B-2 District but not as expansive in the breadth of commercial uses.

STAFF ANALYSIS

City Development staff has the following concerns about this proposal:

- **Consistency with the Comprehensive Plan.** The entire property area is designated “Commercial” by the City of Franklin 2025 Comprehensive Master Plan. To the extent the applicant is requesting a business zoning designation this application is consistent with the Comprehensive Plan Future Land Use Map.
- **Current Zoning and Proposed Development.** The subject property is currently zoned R-6 Suburban Single Family Residence and B-3 Community Business, while the proposed development is more expansive in terms of auto-oriented uses that is generally consistent with the B-2 General Business District. It should be noted that in either the B-2 or B-3 districts, any drive-through operation requires a Special Use approval, so even rezoning this area to B-2 will still require additional review by the Common Council. It also should be noted that if the B-2 rezoning is approved, the B-3 District for the Anderson Dental property just east of the proposed development would be a single parcel of B-3 zoning.
- **The “Orphaned” Parcel.** The applicant’s request references the future development of the remainder of TKN 756-9993-005 (also addressed as 7401A W. Rawson Avenue) for residential development. The submitted Development Summary indicates this future development could be side-by-side condominium duplexes. Staff notes:
 - The remainder of this parcel would remain in the R-6 District, which does not permit attached residential units.
 - The access to this proposed future development area would be problematic as it derives from the rear of a commercial access aisle.
 - The remainder is approximately 1.4 acres in area, and is located about 48 feet from the

rear of the Orchard View shopping plaza loading dock area. In effect the future residential area would be located in between two areas dominated by vehicular traffic, including noise, emissions, and other environmental impacts.

- **Future Roadway/Landlocked Access.** The most recent CSM for the proposed development area (CSM #6811) designated a 60-foot wide strip “Lands to be Reserved to the City of Franklin for Public Road Purposes” connecting Rawson Avenue to the 10.81-acre property located southeast of the development area. This reservation area was intended to accommodate Rawson Avenue access for the landlocked property. However, the City Engineer has indicated that a public roadway in this area is not preferred. To accommodate the future access, the Conceptual Site Plan designates a connection between the single Rawson Avenue driveway access to the landlocked property in the form of a parking aisle fronted by 13 parking spaces and a drive-through exit. The future development of the landlocked parcel is undetermined but the access as currently designed would not present as a full “access” capacity. This reflects a lack of connectivity and intention in development design.
- **Mix of Uses and Proposed Design.** The applicant proposes a series of quick-service operations which is reflected in the multiple drive-through lanes (5 total across 4 pads). This is an extremely high-density auto-oriented design. In addition, the Conceptual Site Plan shows 4 building pads with a total building area of 15,200 square feet, along with 189 parking spaces. Of note:
 - Access for each building is from the single existing commercial driveway access which is currently used by Anderson Dental (just east of the proposed development area). To accommodate this limitation, the site design features cross-access between the proposed parcels on the north side of the project area between the proposed lots. This cross-access is generally a good idea, except that all on-site access is vehicle-dominated. There are cut-through lanes located along two of the proposed drive-through lanes on the west side of the proposed development area, which increases the already high number of conflict points between vehicles (staff estimates at least 13 such points between vehicles, and another 19 potential points between vehicles and pedestrians on-site), and renders the property virtually impossible to access by pedestrians, much less cyclists.
 - The overall design leads to single-use development which can be difficult to reuse should one of these businesses close. There is very little flexibility in use, resulting in an economically fragile development pattern.
 - The proposed overall parking ratio (13.6 spaces per 1,000 square feet of floor area) is very high, which means the property is dominated by restaurant-type uses. Staff analysis indicates as follows (from west to east):

Pad Site Proposed Use	By Use	SF	UDO Parking Ratio	UDO Parking Max*	UDO Parking Max Range	Proposed Parking
Pad 1 Restaurant	Total Building	3,165	20/1000	64	50 - 64	38
	Seating	2,165	20/1000	43		
	Kitchen/Service	1,000	6 5/1000	7		
Pad 2 Coffee Shop	Total Building	2,200	20/1000	44	30 - 44	41
	Seating	1,100	20/1000	22		
	Kitchen/Service	1,100	6 5/1000	8		
Pad 3 Financial	Total Building	2,450	5/1000	13	13	32
Pad 4 Multi-Use**	Total Building	6,000			58	77
	Restaurant	2,000	20/1000	40		
	Retail	2,500	5/1000	13		
	General Office	1,500	3.33/1000	5		
Totals		13,835		179	151 - 179	188

These numbers lead to a couple of suggested conclusions:

1. The proposed parking area is enough to cover restaurant uses, but it results in a site developed primarily for vehicle storage.
 2. It's also A LOT of restaurant uses for a site with one commercial access to Rawson Avenue, and A LOT of drive-through facilities in a narrow development area. Drive-through facilities at this density represent a substantial potential for vehicular and other traffic conflicts on-site.
- **Estimated Development Value.** The applicant's Development Summary suggests that the proposed development would increase the total "development value" (assumed to be assessable value) from the current \$1,463,600 for the existing 4 parcels (including the residential remainder; see above), which is \$240,565 per acre, to a value of \$8-10 million dollars (a range of \$1.33-\$1.66 million per acre). To see if this estimate is valid, staff prepared a comparison listing (or comps) of various similar uses nearby to those proposed for this project.

Type of Use	Address	Assessed Value (2020)	Acreage	Value /Acre
Quick Restaurant	7621 W Rawson Avenue (Arby's)	\$1,411,000	1 0	\$1,411,000
	7501 W Rawson Avenue (McDonald's)	\$1,601,500	0 93	\$1,722,043
	7041 S 27 th Street (Oscar's)	\$1,526,400	1 665	\$916,757
Financial Institution	7100 S 76 th Street (Chase)	\$868,400	1 239	\$700,888
	7745 W Rawson Avenue (Landmark)	\$1,476,400	1 193	\$1,237,552
	7151 S 76 th Street (North Shore)	\$1,098,300	1 054	\$1,042,030

Multi-Use Facilities	6955 S 27 th Street (Newlife)	\$1,887,000	1 933	\$976,203
	6503 S 27 th Street (College Plaza)	\$3,066,800	1 367	\$2,243,453
	6531 S 27 th Street (Huang)	\$1,817,400	1 055	\$1,722,654
Average Value/Acre				\$1,330,287

The average assessed value/acre as shown above would result in a relative assessed value for the proposed development of approximately \$7.98 million, which is just under the low end of the applicant’s estimated range.

It should also be noted that the applicant’s proposed use of Pad 4 is for a maximum of 3 tenants; there are no similar types of small scale multi-use facilities nearby, so staff resorted to estimates from other multi-use “shopping center” facilities that have anywhere from 4-8 tenants. Some of these facilities have a fairly high assessed value per acre; if we removed the Multi-Use Facility comps from the calculation above we get an average assessed value of \$1,171,712 per acre, so clearly the larger number of tenants increased the average assessed value significantly. Therefore, it is possible to conclude the applicant’s estimate is high.

CONCLUSIONS

The proposed development is overly dominated by restaurant-type “hospitality” uses, which have a high rate of failure. On a site with up to six proposed uses, three of which are such high-potential failures unless they are highly capitalized (or the market share doesn’t shrink), it’s fair to conclude that this proposal represents a substantial risk of failure in the near to moderate time frame (5-10 years). Note: the current Billy Sims BBQ site across the street was vacant for almost 13 years after the prior restaurant closed. These sites are extremely fragile and difficult to reuse.

In addition, it is a general rule of thumb that a parking-oriented development pattern yields approximately 10,000 square feet of building area per acre of ground, which in this case should yield about 59,000 square feet of building area. Though this is best-case (dimensions of land can make a big difference), the proposed development is decidedly underwhelming in terms of massing, orientation, and overall design. The City should seek development/redevelopment that is potentially mixed-use and composed of all of the vacant and underused properties in this vicinity rather than just the road frontage.

Staff Recommendation:

Staff has no recommendation. This item does not have a recommended motion and no official action is to be taken.



Date of Application: 8-2-21

CONCEPT REVIEW APPLICATION

Complete, accurate and specific information must be entered. Please Print.

Applicant (Full Legal Name[s]):
 Name: David Church
 Company: Rawson Ventures LLC
 Mailing Address: 12610 W. North Ct
 City / State: New Berlin, WI Zip: 53151
 Phone: 414-737-1680
 Email Address: dchurch23@att.net

Applicant is Represented by: (contact person)(Full Legal Name[s])
 Name: _____
 Company: _____
 Mailing Address: _____
 City / State: _____ Zip: _____
 Phone: _____
 Email Address: _____

Project Property Information:
 Property Address: 7401 W. Rawson Ave
 Property Owner(s): Dawn & Brian Bruhn
 Mailing Address: 7401 W. Rawson Ave
 City / State: Franklin, WI Zip: 53132
 Email Address: _____

Tax Key Nos: 756-9993-013(005)(019)(018)
 Existing Zoning: R-1
 Existing Use: Residential
 Proposed Use: B-2
 CMP Land Use Identification: _____

*The 2025 Comprehensive Master Plan Future Land Use Map is available at: <http://www.franklinwi.gov/Home/Resources/Documents/Maps.htm>

Concept Review submittals for review must include and be accompanied by the following:

- This Application form accurately completed with original signature(s). Facsimiles and copies will not be accepted.
- Application Filing Fee, payable to City of Franklin: \$250
- Three (3) complete **collated** sets of Application materials to include:
 - One (1) original and two (2) copies of a written Project Summary, including description of any new building construction and site work, interior/exterior building modifications or additions to be made to property, site improvement costs, estimate of project value and any other information that is available.
 - Three (3) 8.5 x 11 inch or 11 x 17 inch copies of the Preliminary Site/Development Plan of the subject property(ies) and immediate surroundings (i.e., a scaled map identifying the subject property and immediate environs, including existing and proposed parcels, existing and proposed structures, existing and proposed land uses, existing and proposed zoning, existing and proposed infrastructure and utilities [approximate locations only], and existing and proposed site conditions/site constraints [i.e. approximate locations of public road access, rights-of-way, natural resources/green space and drainage issues/concerns, etc.])
 - Three colored copies (11"x17") of the building elevations, if applicable.
- Email (or CD ROM) with all plans/submittal materials. Plans must be submitted in both Adobe PDF and AutoCAD compatible format (where applicable).

- Upon receipt of a complete submittal, staff review will be conducted within five business days.
- Concept Review requests are reviewed by the Committee of the Whole. Meetings are held the first Monday of every month.

The applicant and property owner(s) hereby certify that: (1) all statements and other information submitted as part of this application are true and correct to the best of applicant's and property owner(s)' knowledge; (2) the applicant and property owner(s) has/have read and understand all information in this application; and (3) the applicant and property owner(s) agree that any approvals based on representations made by them in this Application and its submittal, and any subsequently issued building permits or other type of permits, may be revoked without notice if there is a breach of such representation(s) or any condition(s) of approval. By execution of this application, the property owner(s) authorize the City of Franklin and/or its agents to enter upon the subject property(ies) between the hours of 7:00 a.m. and 7:00 p.m. daily for the purpose of inspection while the application is under review. The property owner(s) grant this authorization even if the property has been posted against trespassing pursuant to Wis. Stat. §943.13.

(The applicant's signature must be from a Managing Member if the business is an LLC, or from the President or Vice President if the business is a corporation. A signed applicant's authorization letter may be provided in lieu of the applicant's signature below, and a signed property owner's authorization letter may be provided in lieu of the property owner's signature[s] below. If more than one, all of the owners of the property must sign this Application).

Dawn Behrendt
 Signature - Property Owner
Dawn Behrendt
 Name & Title (PRINT)
 Date: 8/2/21

David Church
 Signature - Applicant
David J. Church
 Name & Title (PRINT)
 Date: 8-2-21

 Signature - Property Owner

 Name & Title (PRINT)
 Date: _____

 Signature - Applicant's Representative

 Name & Title (PRINT)
 Date: _____

Development Summary 7401 W Rawson Ave

8-2-21

Developer David Church is proposing to develop the Brunn Family Farm property that fronts Rawson Ave located at 7401 W Rawson Ave. The property is currently partially developed with 2 residences and a few out buildings with the rest of the property unfarmed farm land. Total acreage of the combined properties is approx.. 6 acres.

Developer plans to subdivide the property into 5 separate parcels. The four parcels along Rawson Ave will be Re-Zoned to B-2 and developed into commercial properties with a retail focus. Businesses to be located here have yet to be finalized however it is likely that the tenants will include National Quick Service Restaurants with drive-thru capability – a National Coffee Business, a Bank or Credit Union and Multi-Tenant Retail Center. The fifth parcel may be developed into residential and will likely be proposed for side by side 2 unit condo's. I'd like to table my request to re-zone the southern 1.4 acres at this time in order for the rest of the development to take shape and afford time to determine highest and best use for that part of the site. The proposal will look to uphold the city's standards for architecture, access, parking and landscaping.

The 4 commercial pads I am proposing the following:

- Western most pad for a national Quick Service Restaurant with a drive-thru.
- East of this pad I am proposing a national coffee tenant with drive-thru.
- East of the coffee pad I am targeting a financial institution however would like to retain flexibility to respond to the marketplace for another user type.
- The Eastern most pad, pad east of the proposed road will be a 2-3 tenant retail building that could include a sit-down restaurant & general retail.
- Estimated Development Value once stabilized \$8 - \$10,000,000

The impact of the development will be a natural extension of the existing commercial corridor while enhancing retail-dining-service options for the community. With the success of the development at The Rock I feel that my proposal is a natural extension of the development momentum.

The city's master plan designates the proposed properties as "commercial" so the development fits into the master plan.

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<p style="text-align: center;">APPROVAL</p> <p style="text-align: center;"><i>Slw</i></p>	<p style="text-align: center;">REQUEST FOR COUNCIL ACTION</p>	<p style="text-align: center;">MEETING DATE</p> <p style="text-align: center;">09/07/2021</p>
<p style="text-align: center;">REPORTS & RECOMMENDATIONS</p>	<p style="text-align: center;">RESOLUTION AUTHORIZING CERTAIN OFFICIALS TO ACCEPT A CONSERVATION EASEMENT FOR AND AS PART OF THE APPROVAL OF A FINAL PLAT FOR PLEASANT VIEW RESERVE SUBDIVISION (AT 7475 AND 7501 SOUTH 49TH STREET) (VERIDIAN HOMES, LLC, APPLICANT; VH PVR LLC, OWNER)</p>	<p style="text-align: center;">ITEM NUMBER</p> <p style="text-align: center;">G.3.</p>

City Development staff recommends approval of a resolution authorizing certain officials to accept a conservation easement for and as part of the approval of a Final Plat for a 53 lot and 2 outlot single-family residential subdivision on property located at approximately 7475 and 7501 South 49th ST. (VERIDIAN HOMES, LLC, APPLICANT; VH PVR LLC, OWNER) subject to review and approval by the Department of City Development and technical corrections by the City Attorney.

The applicant submitted a conservation easement for Common Council approval to comply with conditions No. 5, 10, and 11 of Final Plat Resolution 2021-7763.

The applicant has further received approval of a Special Exception to Certain Natural Resource Provisions of the City of Franklin. Conditions No. 4 and 5 of the Standards, Findings and Decision of the City of Franklin Common Council for this project further require this easement.

COUNCIL ACTION REQUESTED

A motion to adopt Resolution No. 2021-_____, a resolution authorizing certain officials to accept a conservation easement for and as part of the approval of a final plat for Pleasant View Reserve Subdivision (AT 7475 AND 7501 SOUTH 49TH STREET) (VERIDIAN HOMES, LLC, APPLICANT; VH PVR LLC, OWNER) subject to review and approval by the Department of City Development and technical corrections by the City Attorney.

STATE OF WISCONSIN

CITY OF FRANKLIN

MILWAUKEE COUNTY

RESOLUTION NO. 2021-_____

RESOLUTION AUTHORIZING CERTAIN OFFICIALS TO ACCEPT A
CONSERVATION EASEMENT FOR AND AS PART OF THE APPROVAL OF
A FINAL PLAT FOR PLEASANT VIEW RESERVE SUBDIVISION
(AT 7475 AND 7501 SOUTH 49TH STREET)
(VERIDIAN HOMES, LLC, APPLICANT; VH PVR LLC, OWNER)

WHEREAS, the Common Council having approved a Final Plat upon the application of VERIDIAN HOMES, LLC, APPLICANT; VH PVR LLC, OWNER, on August 17, 2021, and the Plan Commission having conditioned approval thereof in part upon Common Council approval of a Conservation Easement to protect the wetlands, wetland buffers, and wetland setbacks on the site; and

WHEREAS, §15-7.0603B. of the Unified Development Ordinance requires the submission of a Conservation Easement and Natural Resource Protection Plan in the Final Plat review process and the Unified Development Ordinance requires conservation easements to be imposed for natural resource features identified within such Plan to protect such features, all as part of the approval process for a Final Plat; and

WHEREAS, the City Engineering Department, Department of City Development and the Office of the City Attorney having reviewed the proposed Conservation Easement and having recommended approval thereof to the Common Council.

NOW, THEREFORE, BE IT RESOLVED, by the Mayor and Common Council of the City of Franklin, Wisconsin, that the Conservation Easement submitted by VERIDIAN HOMES, LLC, APPLICANT; VH PVR LLC, OWNER, in the form and content as annexed hereto, be and the same is hereby approved, subject to review and approval by the Department of City Development and technical corrections by the City Attorney; and the Mayor and City Clerk are hereby authorized to execute such Easement as evidence of the consent to and acceptance of such easement by the City of Franklin.

BE IT FURTHER RESOLVED, that the City Clerk be and the same is hereby directed to obtain the recording of the Conservation Easement in the Office of the Register of Deeds for Milwaukee County, Wisconsin.

Introduced at a regular meeting of the Common Council of the City of Franklin this _____ day of _____, 2021.

A RESOLUTION AUTHORIZING CERTAIN OFFICIALS TO ACCEPT A
CONSERVATION EASEMENT
VERIDIAN HOMES, LLC, APPLICANT; VH PVR LLC, OWNER
RESOLUTION NO. 2021- _____

Page 2

Passed and adopted at a regular meeting of the Common Council of the City of
Franklin this _____ day of _____, 2021.

APPROVED:

Stephen R. Olson, Mayor

ATTEST:

Sandra L. Wesolowski, City Clerk

AYES _____ NOES _____ ABSENT _____

CONSERVATION EASEMENT

Pleasant View Reserve, VH PVR, LLC

This Conservation Easement is made by and between the City of Franklin, a municipal corporation of the State of Wisconsin, hereinafter referred to as "Grantee," and VH PVR, LLC, a *Wisconsin Limited Liability Company*, hereinafter referred to as "Grantor," and shall become effective upon the recording of this Grant of Conservation Easement, together with the Acceptance following, with the Office of the Register of Deeds for Milwaukee County, pursuant to § 700.40(2)(b) of the Wisconsin Statutes

WITNESSETH

WHEREAS, Grantor is the owner in fee simple of certain real property, located within the City of Franklin, Milwaukee County, Wisconsin, described in Exhibit A attached hereto and hereby made a part hereof (protected property); and

WHEREAS, the Grantor desires and intends that the natural elements and the ecological and aesthetic values of the protected property including, without limitation, delineated wetlands and applicable buffer/setback areas as depicted on the Natural Resource Protection Plan dated July 15, 2021 prepared by Excel Engineering, Inc, which Plan is on file in the office of the City of Franklin Department of City Development, be preserved and maintained by the continuation of land use that will not interfere with or substantially disrupt the natural elements or the workings of natural systems, and

WHEREAS, Grantee is a "holder", as contemplated by § 700.40(1)(b)1 of the Wisconsin Statutes, whose purposes include, while exercising regulatory authority granted to it, *inter alia*, under § 62.23 and § 236.45 of the Wisconsin Statutes, the conservation of land, natural areas, open space and water areas, and

WHEREAS, the Grantor and Grantee, by the conveyance to the Grantee of the conservation easement on, over and across the protected property, desire to conserve the natural values thereof and prevent the use or development of the protected property for any purpose or in any manner inconsistent with the terms of this conservation easement, and

WHEREAS, the Grantee is willing to accept this conservation easement subject to the reservations and to the covenants, terms, conditions and restrictions set out herein and imposed hereby,

WHEREAS, Associated Bank N A, mortgagee of the protected property ("Mortgagee"), consents to the grant of this conservation easement by Grantor to Grantee and Mortgagee's consent is attached hereto and identified as "Mortgage Holder Consent".

NOW, THEREFORE, the Grantor, for and in consideration of the foregoing recitations and of the mutual covenants, terms, conditions, and restrictions subsequently contained, and as an absolute and unconditional dedication, does hereby grant and convey unto the Grantee a conservation easement in perpetuity on, over and across the protected property

Grantee's rights hereunder shall consist solely of the following

- 1 To view the protected property in its natural, scenic, and open condition,
- 2 To enforce by proceeding at law or in equity the covenants subsequently set forth, including, and in addition to all other enforcement proceedings, proceedings to obtain all penalties and remedies set forth under Division 15-9.0500 of the Unified Development Ordinance of the City of Franklin, as amended from time to time, any violation of the covenants subsequently set forth being and constituting a violation of such Unified Development Ordinance, as amended from time to time, or such local applicable ordinance as may be later adopted or in effect to enforce such covenants or the purposes for which they are made, it being agreed that there shall be no waiver or forfeiture of the Grantee's right to insure compliance with the covenants and conditions of this grant by reason of any prior failure to act, and
- 3 To enter the protected property at all reasonable times for the purpose of inspecting the protected property to determine if the Grantor is complying with the covenants and conditions of this grant

And in furtherance of the foregoing affirmative rights of the Grantee, the Grantor makes the following covenants which shall run with and bind the protected property in perpetuity, namely, that, on, over or across the protected property, the Grantor, without the prior consent of the Grantee, shall not

- 1 Construct or place buildings or any structure,

Acceptance

The undersigned does hereby consent to and accepts the Conservation Easement granted and conveyed to it under and pursuant to the foregoing Grant of Conservation Easement. In consideration of the making of such Grant Of Conservation Easement, the undersigned agrees that this acceptance shall be binding upon the undersigned and its successors and assigns and that the restrictions imposed upon the protected property may only be released or waived in writing by the Common Council of the City of Franklin, as contemplated by § 236 293 of the Wisconsin Statutes

In witness whereof, the undersigned has executed and delivered this acceptance on the ____ day of _____, 20__

CITY OF FRANKLIN

By: _____
Stephen R. Olson, Mayor

By: _____
Sandra L. Wesolowski, City Clerk

STATE OF WISCONSIN)
) ss
COUNTY OF MILWAUKEE)

Personally came before me this _____ day of _____, 20__, the above named Stephen R. Olson, Mayor and Sandra L. Wesolowski, City Clerk, of the above named municipal corporation, City of Franklin, to me known to be such Mayor and City Clerk of said municipal corporation, and acknowledged that they executed the foregoing instrument as such officers as the Deed of said municipal corporation by its authority and pursuant to Resolution No _____, adopted by its Common Council on the _____ day of _____, 20__

Notary Public

My commission expires _____

This instrument was drafted by the City of Franklin

Approved as to contents

Régulo Martínez-Montilva
Principal Planner
Department of City Development

Date

Approved as to form only

Jesse A. Wesolowski
City Attorney

Date

MORTGAGE HOLDER CONSENT

The undersigned, (Associated Bank N A.), a *Wisconsin* banking corporation (“Mortgagee”), as Mortgagee under that certain Mortgage encumbering the protected property and recorded in the Office of the Register of Deeds for Milwaukee County, Wisconsin, on _____, 20 __, as Document No. _____, hereby consents to the execution of the foregoing easement and its addition as an encumbrance title to the Property.

IN WITNESS WHEREOF, Mortgagee has caused these presents to be signed by its duly authorized officers, and its corporate seal to be hereunto affixed, as of the day and year first above written.

Associated Bank N A
a *Wisconsin* Banking Corporation

By _____

Name _____

Title _____

STATE OF WISCONSIN)
)ss
COUNTY OF MILWAUKEE)

On this, the _____ day of _____, 20 __, before me, the undersigned, personally appeared Stephen L. Sosnowski, as *Senior Vice President* of Associated Bank N A , a *Wisconsin* banking corporation, and acknowledged that (s)he executed the foregoing instrument on behalf of said corporation, by its authority and for the purposes therein contained.

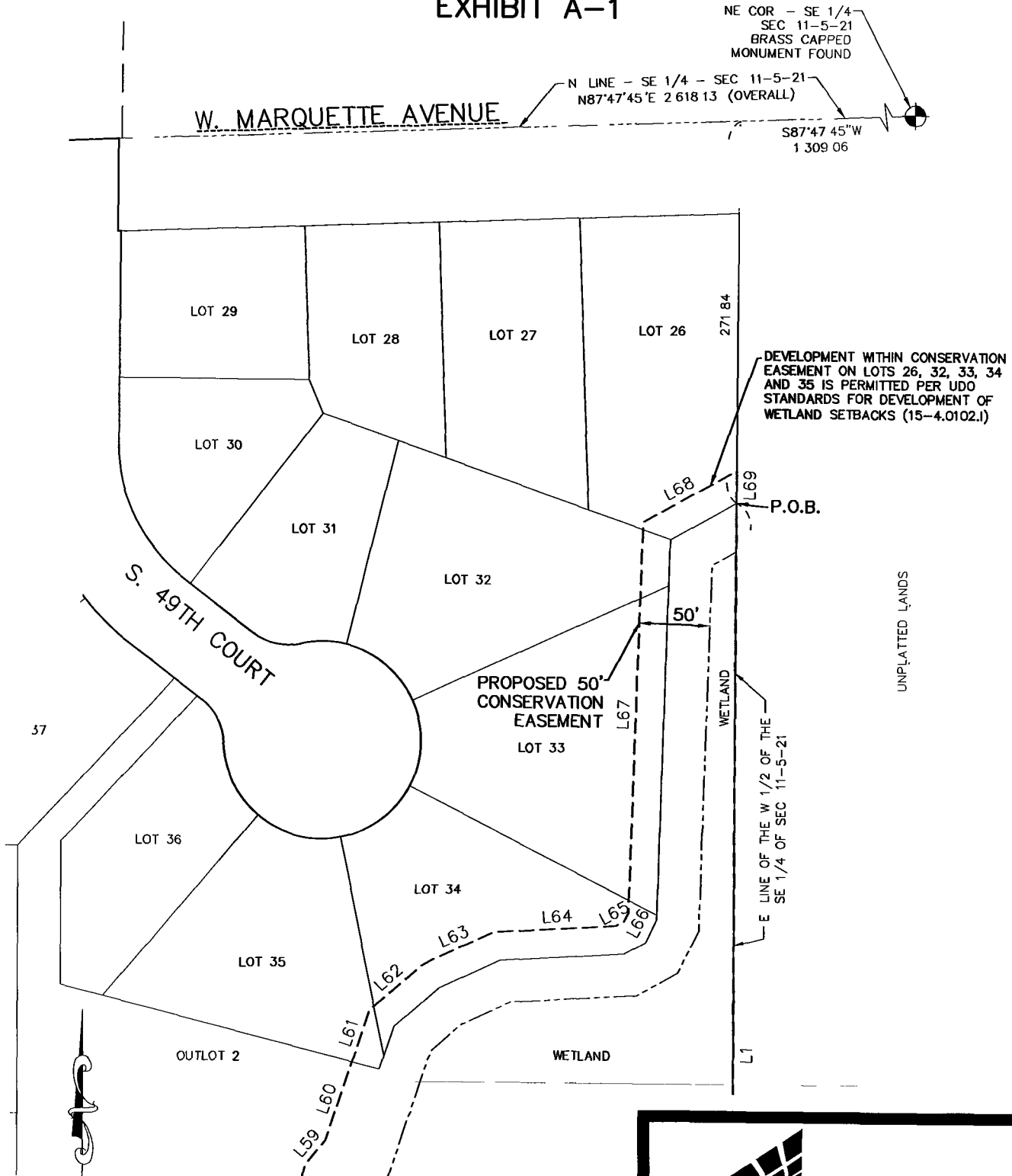
Name: _____

Notary Public, State of *Wisconsin*

My commission expires _____

Exhibit A

CONSERVATION EASEMENT ON OUTLOT 2, AND LOTS 26, 32, 33, 34, AND 35 OF PLEASANT VIEW RESERVE EXHIBIT A-1



NE COR - SE 1/4
SEC 11-5-21
BRASS CAPPED
MONUMENT FOUND

N LINE - SE 1/4 - SEC 11-5-21
N87°47'45"E 2 618 13 (OVERALL)

S87°47'45"W
1 309 06

W. MARQUETTE AVENUE

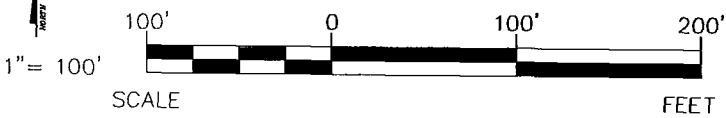
DEVELOPMENT WITHIN CONSERVATION
EASEMENT ON LOTS 26, 32, 33, 34
AND 35 IS PERMITTED PER UDO
STANDARDS FOR DEVELOPMENT OF
WETLAND SETBACKS (15-4.0102.1)

P.O.B.

PROPOSED 50'
CONSERVATION
EASEMENT
LOT 33

UNPLATTED LANDS

WETLAND
E LINE OF THE W 1/2 OF THE
SE 1/4 OF SEC 11-5-21



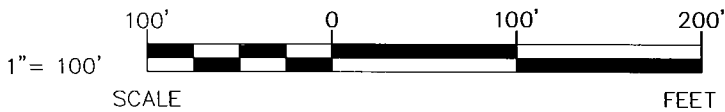
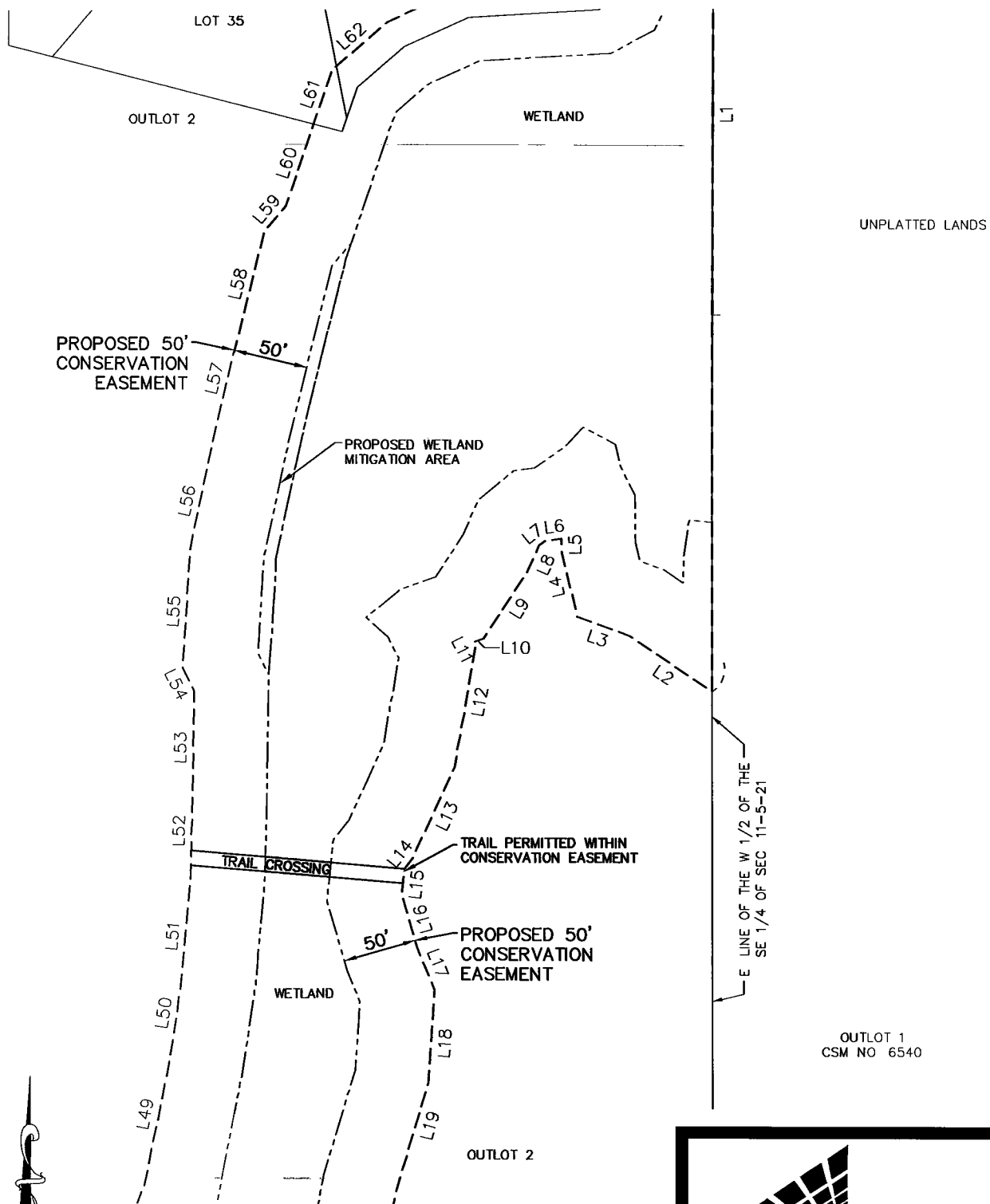


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CONSERVATION EASEMENT ON OUTLOT 2, AND LOTS 26, 32, 33, 34, AND 35 OF PLEASANT VIEW RESERVE EXHIBIT A-2





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CONSERVATION EASEMENT ON OUTLOT 2, AND LOTS 26, 32, 33, 34, AND 35 OF PLEASANT VIEW RESERVE EXHIBIT A-4

CONSERVATION EASEMENT CURVE TABLE

Curve Table							
Curve	Arc Length	Radius	Chord Bearing	Chord Length	Delta	Tangent In	Tangent Out
C1	81.30	120.00	S68°03'40" W	79.75'	038°48'57"	S87°28'08"W	S48°39'11" W

CONSERVATION EASEMENT LINE TABLE

Line Table		
Line #	Direction	Length
L1	S00°00'32" W	783.13'
L2	N56°02'42" W	67.18'
L3	N69°54'54" W	37.65'
L4	N13°35'01"W	45.58'
L5	N00°24'58"W	8.51'
L6	S82°04'11"W	11.58'
L7	S50°51'04" W	4.76'
L8	S22°54'02" W	16.72'
L9	S33°02'08" W	57.00'
L10	S70°42'34" W	7.26'
L11	S27°19'28"E	2.98'
L12	S09°51'56"W	82.98'
L13	S24°45'57" W	68.66'
L14	S38°19'59"W	9.03'
L15	S05°43'03" W	17.73'
L16	S16°11'55"E	36.60'
L17	S21°57'30" E	31.54'
L18	S03°57'54" W	63.41'
L19	S16°56'20" W	77.09'
L20	S11°07'04"W	90.11'
L21	S18°57'02" W	74.44'
L22	S11°18'46"W	37.28'
L23	N89°59'23" W	20.40'
L24	S28°40'55" W	52.52'
L25	S33°23'34" E	106.91'
L26	S02°29'08" W	47.59'
L27	S33°22'37" W	14.34'
L28	S14°39'42"E	42.52'
L29	S03°02'10" E	40.92'
L30	S08°16'02" E	22.47'
L31	S13°35'17" E	58.10'
L32	S00°43'09" W	23.67'
L33	S48°39'12" W	20.63'
L34	S87°28'07"W	26.62'
L35	N42°34'39" W	18.29'

Line Table		
Line #	Direction	Length
L36	N23°44'07"W	36.85'
L37	N10°01'38" E	82.25'
L38	N01°46'47" E	50.69'
L39	N16°50'05"W	56.98'
L40	N89°59'25" W	30.23'
L41	N48°34'18"W	19.56'
L42	N44°55'13" W	59.76'
L43	N28°53'09" W	49.45'
L44	N04°17'29" E	77.20'
L45	N27°18'57"E	55.02'
L46	N24°36'13" E	54.44'
L47	N24°10'57" E	60.74'
L48	N19°48'42" E	68.39'
L49	N11°05'24" E	88.42'
L50	N08°45'04" E	47.79'
L51	N05°20'01" E	73.52'
L52	N01°21'20" E	64.57'
L53	N00°53'10" E	59.88'
L54	N26°34'54" W	17.17'
L55	N03°42'17" E	82.50'
L56	N12°01'31" E	86.88'
L57	N13°26'54" E	52.31'
L58	N14°01'27" E	80.73'
L59	N40°06'11" E	22.32'
L60	N18°21'19" E	60.05'
L61	N18°45'02" E	37.74'
L62	N48°52'22"E	49.97'
L63	N65°18'04" E	54.85'
L64	N86°29'16" E	87.17'
L65	N61°22'22" E	6.59'
L66	N26°09'13" E	7.17'
L67	N01°44'14" E	277.00'
L68	N60°46'32" E	75.93'
L69	S00°00'32" W	22.92'



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CONSERVATION EASEMENT ON OUTLOT 2, AND LOTS 26, 32, 33, 34, AND 35 OF PLEASANT VIEW RESERVE EXHIBIT A-5

LEGAL DESCRIPTION OF CONSERVATION EASEMENT

That part of Outlot 2, and part of Lots 26, 32, 33, 34, and 35 of Pleasant View Reserve Subdivision, being part of the Northwest 1/4 and Southwest 1/4 of the Southeast 1/4 of Section 11, Township 5 North, Range 21 East, in the City of Franklin, Milwaukee County, Wisconsin being more particularly described as follows

Beginning at the Northeast corner of Outlot 2 of said Pleasant View Reserve Subdivision, thence South 00°-00'-32" West along the East line of said Outlot 2, a distance of 783 13 feet, thence North 56°-02'-42" West, a distance of 67 18 feet, thence North 69°-54'-54" West, a distance of 37 65 feet, thence North 13°-35'-01" West, a distance of 45 58 feet, thence North 00°-24'-58" West, a distance of 8 51 feet, thence South 82°-04'-11" West, a distance of 11 58 feet, thence South 50°-51'-04" West, a distance of 4 76 feet, thence South 22°-54'-02" West, a distance of 16 72 feet, thence South 33°-02'-08" West, a distance of 57 00 feet, thence South 70°-42'-34" West, a distance of 7 26 feet, thence South 27°-19'-28" East, a distance of 2 98 feet, thence South 09°-51'-56" West, a distance of 82 98 feet, thence South 24°-45'-57" West, a distance of 68 66 feet, thence South 38°-19'-59" West, a distance of 9 03 feet, thence South 05°-43'-03" West, a distance of 17 73 feet, thence South 16°-11'-55" East, a distance of 36 60 feet, thence South 21°-57'-30" East, a distance of 31 54 feet, thence South 03°-57'-54" West, a distance of 63 41 feet, thence South 16°-56'-20" West, a distance of 77 09 feet, thence South 11°-07'-04" West, a distance of 90 11 feet, thence South 18°-57'-02" West, a distance of 74 44 feet, thence South 11°-18'-46" West, a distance of 37 28 feet to a Southerly line of said Outlot 2, thence North 89°-59'-23" West along a South line of said Outlot 2, a distance of 20 40 feet to an Easterly line of said Outlot 2, thence South 28°-40'-55" West along an East line of said Outlot 2, a distance of 52 52 feet, thence South 33°-23'-34" East along an East line of said Outlot 2, a distance of 106 91 feet, thence South 02°-29'-08" West along an East line of said Outlot 2, a distance of 47 59 feet, thence South 33°-22'-37" West along an East line of said Outlot 2, a distance of 14 34 feet, thence South 14°-39'-42" East along an East line of said Outlot 2, a distance of 42 52 feet, thence South 03°-02'-10" East along an East line of said Outlot 2, a distance of 40 92 feet, thence South 08°-16'-02" East along an East line of said Outlot 2, a distance of 22 47 feet, thence South 13°-35'-17" East along an East line of said Outlot 2, a distance of 58 10 feet, thence South 00°-43'-09" West along an East line of said Outlot 2, a distance of 23 67 feet to the Southeast corner of said Outlot 2, said point being on the Northerly right-of-way line of W Evergreen Street, thence South 48°-39'-12" West along said Northerly line, a distance of 20 63 feet, thence Southwesterly 81 30 feet along said Northerly line on a curve to the right having a radius of 120 00 feet, the chord of said curve bears South 68°-03'-40" West, a chord distance of 79 75 feet, thence South 87°-28'-07" West along said Northerly line, a distance of 26 62 feet to the Southwest corner of said Outlot 2, thence North 42°-34'-39" West along a West line of said Outlot 2, a distance of 18 29 feet, thence North 23°-44'-07" West along a West line of said Outlot 2, a distance of 36 85 feet, thence North 10°-01'-38" East along a West line of said Outlot 2, a distance of 82 25 feet, thence North 01°-46'-47" East along a West line of said Outlot 2, a distance of 50 69 feet, thence North 16°-50'-05" West along a West line of said Outlot 2, a distance of 56 98 feet to a Southerly line of said Outlot 2, thence North 89°-59'-25" West along a South line of said Outlot 2, a distance of 30 23 feet, thence North 48°-34'-18" West, a distance of 19 56 feet, thence North 44°-55'-13" West, a distance of 59 76 feet, thence North 28°-53'-09" West, a distance of 49 45 feet, thence North 04°-17'-29" East, a distance of 77 20 feet, thence North 27°-18'-57" East, a distance of 55 02 feet, thence North 24°-36'-13" East, a distance of 54 44 feet, thence North 24°-10'-57" East, a distance of 60 74 feet, thence North 19°-48'-42" East, a distance of 68 39 feet, thence North 11°-05'-24" East, a distance of 88 42 feet, thence North 08°-45'-04" East, a distance of 47 79 feet, thence North 05°-20'-01" East, a distance of 73 52 feet, thence North 01°-21'-20" East, a distance of 64 57 feet, thence North 00°-53'-10" East, a distance of 59 88 feet, thence North 26°-34'-54" West, a distance of 17 17 feet, thence North 03°-42'-17" East, a distance of 82 50 feet, thence North 12°-01'-31" East, a distance of 86 88 feet, thence North 13°-26'-54" East, a distance of 52 31 feet, thence North 14°-01'-27" East, a distance of 80 73 feet, thence North 40°-06'-11" East, a distance of 22 32 feet, thence North 18°-21'-19" East, a distance of 60 05 feet, thence North 18°-45'-02" East, a distance of 37 74 feet, thence North 48°-52'-22" East, a distance of 49 97 feet, thence North 65°-18'-04" East, a distance of 54 85 feet, thence North 86°-29'-16" East, a distance of 87 17 feet, thence North 61°-22'-22" East, a distance of 6 59 feet, thence North 26°-09'-13" East, a distance of 7 17 feet, thence North 01°-44'-14" East, a distance of 277 00 feet, thence North 60°-46'-32" East, a distance of 75 93 feet, thence South 00°-00'-32" West, a distance of 22 92 feet to the point of beginning



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<p style="text-align: center;">APPROVAL</p> <p style="text-align: center;"><i>slw</i></p>	<p style="text-align: center;">REQUEST FOR COUNCIL ACTION</p>	<p style="text-align: center;">MEETING DATE</p> <p style="text-align: center;">September 7, 2021</p>
<p style="text-align: center;">REPORTS & RECOMMENDATIONS</p>	<p style="text-align: center;">COUNCIL ROUNDTABLE DISCUSSION OF UNIFIED DEVELOPMENT ORDINANCE REWRITE PROJECT</p>	<p style="text-align: center;">ITEM NUMBER</p> <p style="text-align: center;"><i>G. 4.</i></p>

Houseal Lavigne Associates and Birchline Planning, the City's Unified Development Ordinance (UDO) Rewrite Project Consultants, held a series of meetings on Thursday, August 26, 2021 with Planning staff, other City Department Heads, and the public to discuss the good and not-so-good aspects of the current UDO. These discussions are helpful to the Consultants as the comments provide them with context and a framework for how to proceed with the comprehensive rewrite of the UDO.

Unfortunately, the Council Roundtable Discussion (a Special Meeting as scheduled) had to be cancelled due to lack of quorum. As a result, a key set of inputs was missing from the day's events. In response, staff have scheduled this follow-up discussion to gather input on the project from the Common Council.

This item is crucial to the framing of the UDO Rewrite Project. Staff (and Consultants) need to know the parameters of what Council members consider to be key changes to make, so that the resulting UDO draft you receive does not surprise you. Change is good if well-managed; poor management or lack of direction can create a messy end-result. Therefore, staff is requesting this input session to address the following questions:

1. ***Ease of Use.*** Are there specific aspects of the UDO that are unclear or difficult to understand? How could we make the UDO more user-friendly?
2. ***Development Standards and Regulations.*** Are there specific development standards or land use regulations in the existing UDO that have caused problems that should be revised? How do you suggest addressing these issues?
3. ***District Specific Issues.*** Are there any zoning issues unique to specific districts or areas that need to be addressed?
4. ***Permit Decision Making Process.*** Depending on the type of application, land use permits require approval by City staff, the Plan Commission, or the Common Council. Does the current UDO provide a fair and appropriate level of review of applications? (i.e. should staff review and approve more or fewer project types?)
5. ***Other Issues.*** Are there any other issues with the UDO you would like to tell us about?

The Consultants will be leading this discussion with Council remotely via Zoom.

COUNCIL ACTION REQUESTED

No specific action requested. No action to be taken.

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APPROVAL <i>slw</i>	REQUEST FOR COUNCIL ACTION	MEETING DATE August 17, 2021
REPORTS & RECOMMENDATIONS	Adopt the name “Corporate Park” for the development area within Tax Incremental District No. 8 (TID 8) currently known as “Area D,” roughly bound by W. Oakwood Road, S. 27th Street, W. South County Line Road and S. 42nd Street.	ITEM NUMBER <i>G.5.</i>

This request was discussed and tabled at the August 17th, 2021 Common Council meeting. Below is the original council action, which also continues to serve as Council Request for this meeting.

At the August 17th meeting, Council Members discussed using the word “gateway” in the name with either “Gateway Corporate” or “Corporate Gateway” as two of the options considered. Because Gateway is a heavily used term in SE Wisconsin, Council was concerned that this naming could be confusing and chose to table the request until the next meeting and directed staff to identify other entities that use Gateway as part or all of their name and return with this request and the requested information.

Below is the original request and on the following page is a list of organizations, programs, and areas that use the word gateway in their name. Note that included in the list are some entities that are bigger than the SE Wisconsin area, as noted in the general location information in parentheses behind each item on the list.

ORIGINAL ACTION REQUEST:

Site planning is underway with several developers who are preparing to break ground on their construction projects within the next year. Developers are asking for confirmation on the name of this park to complete brochures and other marketing collateral to target potential future tenants.

Staff is currently referring to this development as the “Corporate Park” (as can be seen on the conceptual site map included with this action request.)

Selecting a name is also necessary to differentiate this park from our existing Business Park, Industrial Park, and Loomis Business Park.

COUNCIL ACTION REQUESTED

Adopt the name “Corporate Park” for the development currently known as “Area D.”

Economic Development: CB

Entities with the Word Gateway in the Name

Gateway Business Park (Madison)
The Gateway to Milwaukee (Milwaukee)
Gateway Real Estate Management (Oak Creek)
South Gateway Drive (Oak Creek)
Gateway Technical College (Kenosha)
Gateway Classic Cars of Milwaukee (Kenosha)
Lakefront Gateway Project (Milwaukee)
Gateway Motors (Cudahy)
Gateway to Change (Substance Abuse Rehab Services in Milwaukee)
Ascension Columbia St. Mary's Milwaukee - Gateway (Milwaukee)
Gateway Capital (Milwaukee)
Airport Gateway (Milwaukee)
Lincoln Park Gateway (Milwaukee)
Lakefront Gateway (Couture, Milwaukee)
Gateway to Change (rehab Milwaukee)
Gateway Care (home care services Milwaukee)
Gateway Capital (investment Milwaukee)
MKE Gateway (Milwaukee)
Gateway Lodge (Land O'Lakes)
Covenant Gateway Place (Milwaukee)
Gateway Autobody (West Allis)
Gateway Truck and Refrigeration (Oak Creek)
Gateway Program (BMO Harris)
Gateway Grizzlies (IL baseball team)
Gateway Church (national)
Lincoln Park Zoo Gateway Pavilion (IL)

APPROVAL <i>Sho</i>	REQUEST FOR COUNCIL ACTION	MTG. DATE September 7, 2021
Reports & Recommendations	A RESOLUTION TO EXECUTE GRAEF-USA, INC PROFESSIONAL SERVICES AGREEMENT FOR STORM WATER MANAGEMENT PLAN REVIEWS AND OTHER ON-CALL SERVICES	ITEM NO. <i>G.6.</i>

BACKGROUND

Currently, the City has professional services on-call contracts with several consulting engineers to supplement the work of Staff. One of these services involves detailed review of storm water management plans for compliance with City, Milwaukee Metropolitan Sewer District (MMSD), and Wisconsin Department of Natural Resources (DNR) standards. Depending on the complexity of the project and completeness of plans, each of these reviews can consume 8 hours to over a week's worth of effort.

Currently two consultants perform these stormwater reviews. It was recently noted that a contract with GRAEF-USA, Inc. for these services has never been provided nor executed. Harwood Engineering is the second firm who provides these services and their contract wa executed in 2020.

ANALYSIS

Staff is recommending that a professional services agreement with GRAEF-USA, INC be executed to continue providing storm water management plan reviews. Execution of the enclosed contract for professional services will allow Staff to use GRAEF for storm water management plan reviews and other professional services, as needed.

OPTIONS

- A. Sign professional services on-call contract with GRAEF-USA, INC, or
- B. Refer back to Staff with further direction.

FISCAL NOTE

Storm water management plan review and other plan review services are reimbursed, as needed, by developers. If other professional services are needed under this contract, that work would be completed within existing department budgets.

RECOMMENDATION

(Option A) Motion to approve Resolution 2021-_____ a resolution to execute GRAEF-USA, INC professional services agreement for storm water reviews and other on-call services.

Engineering TAB

STATE OF WISCONSIN : CITY OF FRANKLIN : MILWAUKEE COUNTY

RESOLUTION NO. 2021 - _____

A RESOLUTION TO EXECUTE GRAEF-USA, INC PROFESSIONAL SERVICES AGREEMENT
FOR STORM WATER MANAGEMENT PLAN REVIEWS AND OTHER ON-CALL SERVICES

WHEREAS, City of Franklin utilizes consultants to supplement work of Staff; and

WHEREAS, GRAEF-USA, INC has particular qualified staff with expertise to provide storm water management plan reviews and other related engineering work.

NOW, THEREFORE BE IT RESOLVED by the Mayor and Common Council of the City of Franklin that GRAEF-USA, INC be selected to provide professional engineering services, as needed, at the direction of Staff per hourly rates attached to their proposal dated September XX, 2021, or as approved by Staff for relevant professional expertise; and

BE IT FURTHER RESOLVED that each and every transaction under the authority granted hereunder shall be subject to the review and approval of the City Director of Finance and Treasurer, for the purpose of only authorizing same upon a finding that reasonable and appropriate funding for such transaction then exists within the City Budget, in the sole and reasonable discretion of the City Director of Finance and Treasurer.”

Introduced at a regular meeting of the Common Council of the City of Franklin the _____ day of _____, 2021, by Alderman _____.

PASSED AND ADOPTED by the Common Council of the City of Franklin on the _____ day of _____, 2021

APPROVED:

Stephen R. Olson, Mayor

ATTEST:

Sandra L. Wesolowski, City Clerk

AYES _____ NOES _____ ABSENT _____
GEM/db

APPROVAL <i>Slw</i>	REQUEST FOR COUNCIL ACTION	MTG. DATE September 7, 2021
Reports & Recommendations	A RESOLUTION TO EXECUTE A GENERAL ENGINEERING SERVICES CONTRACT WITH FOTH INFRASTRUCTURE & ENVIRONMENT, LLC	ITEM NO. <i>G. 7.</i>

BACKGROUND

The Engineering, DPW, and Utility departments have budgets for contractual services that include various professional services by sundry contractors. Foth Infrastructure & Environment, LLC (Foth) has provided some limited services for Franklin for many years. This past year, Foth moved their Milwaukee office to Ball Park Drive in Franklin.

Foth is the largest Wisconsin based engineering firm with just over 600 employees, located in offices from coast to coast in 25 states. Approximately half of the firm is comprised of the Production Solutions division serving primarily the food and beverage industry with engineering and custom machine design services. The remainder of their firm consists of the Infrastructure and Environment Solutions division that serves the municipal market with a multitude of disciplines, including, ports and harbors, public solid waste, environmental remediation, wastewater treatment, potable water supply, transportation, municipal planning, bridge design, sewer and water, survey and construction administration/observation.

Foth reestablished a Milwaukee area office in West Allis in 2007, after moving the Wisconsin main office from Milwaukee to Green Bay in the early 2000's. After 13 years they "wanted to be part of an exciting, growing community and decided to move from West Allis to the City of Franklin." Some of the main reasons why they chose Franklin:

- Mixed-use neighborhood
- Proximity to Hampton Inn & Suites for our out of town colleagues
- Active living (biking, hiking, oak leaf trail)
- Programming at The Roc (food truck rallies, summer concert series, etc.)
- Milwaukee Milkmen
- Ability to attract new talent from our client community locations

Foth concentrated their efforts at providing full municipal services and have grown to nearly 30 Infrastructure and Environment members here at the Ballpark Commons. Foth is "excited to continue our growth in Franklin and the opportunity to provide the City with professional, hometown engineering and planning services."

ANALYSIS

Foth has provided some assistance to Staff since moving to Franklin. Some projects like the Risk and the Resilience Study involved signed contracts ahead of the project, but others such as the investigation for St. Martins low pressure sewer, bridge for the pedestrian trail on the Hike-Bike trail, and stream restoration on the Northwestern Mutual property involved needed efforts with little or no advance notice. This contract will not allow Staff to skirt authorization approvals to proceed with projects.

This contract will allow Staff to proceed quicker with projects for Common Council approvals. When applicable, large significant projects will still require separate engineering contracts. **Staff will issue a work order that specifies an agreed upon budget and Foth will provide services at standard billing rates.**

OPTIONS

Without this contract in place, Staff could proceed with projects as previously performed. Those past processes were sometimes cumbersome to get a project started.

FISCAL NOTE

There are “other professional services” and “sundry contractors” line items in department budgets. Staff must still abide with budget and authorization procedures.

RECOMMENDATIONS

Resolution 2021-_____ a resolution to execute a general engineering services contract with Foth Infrastructure & Environment, LLC.

Engineering Department: GEM

STATE OF WISCONSIN; CITY OF FRANKLIN; MILWAUKEE COUNTY

RESOLUTION NO. 2021 - _____

A RESOLUTION TO EXECUTE A GENERAL ENGINEERING SERVICES CONTRACT
WITH FOTH INFRASTRUCTURE & ENVIRONMENT, LLC

WHEREAS, Foth Infrastructure & Environment, LLC is the largest Wisconsin based engineering firm, and the only engineering consultant with an office located in Franklin, Wisconsin; and

WHEREAS, Foth is well qualified to perform a multitude of disciplines, including, ports and harbors, public solid waste, environmental remediation, wastewater treatment, potable water supply, transportation, municipal planning, bridge design, sewer and water, survey and construction administration/observation; and

WHEREAS, Staff often needs quick engineering services with little or no notice and/or advance work for potential larger projects; and

WHEREAS, Departments have “other professional services” and “sundry contractors” line items in budgets in addition to established budget and authorization procedures.

NOW, THEREFORE, BE IT RESOLVED by the Mayor and Common Council of the City of Franklin, that a general engineering services contract be executed with Foth Infrastructure & Environment, LLC.; and

BE IT FURTHER RESOLVED that each and every transaction under the authority granted hereunder shall be subject to the review and approval of the City Director of Finance and Treasurer, for the purpose of only authorizing same upon a finding that reasonable and appropriate funding for such transaction then exists within the City Budget, in the sole and reasonable discretion of the City Director of Finance and Treasurer.”

Introduced at a regular meeting of the Common Council of the City of Franklin this _____ day of _____, 2021 by Alderman _____.

Passed and adopted at a regular meeting of the Common Council of the City of Franklin this _____ day of _____, 2021.

APPROVED:

Stephen R. Olson, Mayor

ATTEST:

Sandra L. Wesolowski, City Clerk

AYES _____ NOES _____ ABSENT _____

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APPROVAL <i>slw</i>	REQUEST FOR COUNCIL ACTION	MTG. DATE September 7, 2021
Reports & Recommendations	AWARD PLEASANT VIEW PARK IMPROVEMENTS TO POBLOCKI PAVING CORPORATION FOR \$680,379.00	ITEM NO. G. 8.

BACKGROUND

Five bids for the Pleasant View Park Improvements were initially received on March 10, 2021. The low bid was \$424,527.00. Staff considered items that could be removed from the bid to a maximum allowable 15% reduction (\$360,850). Common Council discussed the March bid at the April 5, 2021, meeting and decided to reject all bids. Common Council also made a motion to “direct staff to rebid the Pleasant View Park project with trail, items needed for lawn, trash receptacles and logical additions by staff so that bids will be less than the budget.”

DPW staff regraded some areas surrounding the pavilion to eliminate some needed storm sewer work. The project also removed some items (ie picnic tables, play equipment, etc) that the have been funded with other methods. The remaining of the project unit price items were reconfigured into a base bid with alternates so that if prices for the total project remained high, alternates could be removed and awarded without exceeding a 15% deduction. The revised project was re-advertised and bids were received on August 11, 2021.

ANALYSIS

Only one bid was received on August 11, 2021. The lone bidder was Poblocki Paving Corp. A comparison of the first and second bids are as follows:

<u>Bidder</u>	<u>4/5/21 Bid</u>	<u>8/11/21 Bid</u>
Western Contractors	\$424,527.00	-
Payne & Dolan	\$431,670.72	-
The Wanasek Corp	\$490,553.00	-
Poblocki Paving	\$495,640.00	\$680,379.00
Super Western	\$550,156.00	-

The intent of the August 11, 2021, rebid was to award a base bid with alternates that may be easily removed. The current bid consists of the following:

<u>Item / Alternate Bid</u>	<u>Price</u>
Base Bid (Pickleball courts)	\$388,587
Alternate 1 (10-foot path connection to Pleasant View Reserve)	\$71,676
Alternate 2 (Parking lot expansion)	\$75,724
Alternate 3 (Sand volleyball court)	\$32,274
Alternate 4 (Relocate path near pavilion)	\$44,371
Alternate 5 (Trash enclosure/pad)	\$27,047
Alternate 6 (Landscaping)	\$40,700
Total Base + All Alternates	\$680,379

GRAEF has provided a recommendation of award with a spreadsheet showing unit prices for all bid items.

This project has an approved budget of \$300,000 that includes \$141,000 of park impact fees. There are not sufficient funds to award this project. As noted in in April, the budget was set before the project scope was set.

Conversations with Poblocki indicated that the increase in materials and the aggressive schedule to complete the project in 2021 both played a significant part in the dramatic increase in bids.

Staff recommends to reject all bids and rebid the project in January. The substantive change would be allowing a more flexible schedule in 2022.

OPTIONS

- A. Reject all bids, revise the scope and rebid in January 2022. Or
- B. Prepare a resolution to award bid to Poblocki Paving Corporation for Base Bid plus selected Alternates. Or
- C. Other direction to staff.

FISCAL NOTE

There are not sufficient funds to award this project. Finance may have some suggestions on where to identify additional resources.

RECOMMENDATION

(OPTION A) Motion to reject all bids, and direct Staff to revise the scope for Pleasant View Park Improvements and rebid in January 2022.

Engineering Department: GEM

APPROVAL <i>slw</i>	REQUEST FOR COUNCIL ACTION	MTG. DATE September 7, 2021
Reports & Recommendations	RESOLUTION TO PURCHASE 0.470 ACRES AT 10100 S. 60TH STREET FROM ZETA COMPANY FOR \$40,900	ITEM NO. <i>G.9.</i>

BACKGROUND

Franklin is planning to replace a “temporary” Industrial Park lift station with a permanent installation. The bids were received on July 29, 2021, and award is expected to occur at the September 7, 2021, Common Council meeting.

This lift station will be located on Lot 2 of a two lot Certified Survey Map (CSM) that was approved by Common Council on June 15, 2021. The CSM is in the process of being approved by Milwaukee County. There is no Tax Key Number assigned yet.

ANALYSIS

The current owner, Zeta Company, has been very cooperative with staff in the development of this project. Zeta has agreed to a value based on the value used to compute the property taxes of the entire parcel prior to the CSM:

\$960,700 (value of land)
11.040 acres (Total Lots 1 and 2 of CSM)
\$87,019.93/acre

Purchasing 0.470 acres @ \$87,019.93/acre = \$40,900

Staff is scheduling Closing and is expected to occur within the next week.

OPTIONS

Approve or Deny with further direction to Staff

FISCAL NOTE

The cost of the property is anticipated in the project costs for the Industrial Park Lift Station.

RECOMMENDATION

Resolution 2021-_____ a resolution to purchase 0.470 acres at 10100 S. 60th Street from Zeta Company for \$40,900, plus applicable closing costs (not to exceed a total of \$50,000).

STATE OF WISCONSIN: CITY OF FRANKLIN: MILWAUKEE COUNTY

RESOLUTION NO. 2021 - _____

A RESOLUTION TO PURCHASE 0.470 ACRES AT
10100 S. 60TH STREET FROM ZETA COMPANY FOR \$40,900

WHEREAS, the City of Franklin is replacing a “temporary” Industrial Park lift station with a permanent installation; and

WHEREAS, a two-lot Certified Survey Map NO. _____ has been created to create a 0.470 acre parcel to accommodate the construction of a permanent lift station site; and

WHEREAS Zeta Company has been accommodating for the City to plan, design, and construction this vital public infrastructure; and

WHEREAS, Zeta Company is a willing seller to sell Lot 2 of the newly created CSM at a price of \$40,900.

NOW, THEREFORE, BE IT RESOLVED by the Mayor and Common Council of the City of Franklin, that the City of Franklin purchase Lot 2 of CSM _____ from Zeta Company for \$40,900.

Introduced at a regular meeting of the Common Council of the City of Franklin this _____ day of _____, 2021 by Alderman _____.

Passed and adopted at a regular meeting of the Common Council of the City of Franklin this _____ day of _____, 2021.

APPROVED:

Stephen R. Olson, Mayor

ATTEST:

Sandra L. Wesolowski, City Clerk

AYES _____ NOES _____ ABSENT _____

APPROVAL <i>slw</i>	REQUEST FOR COUNCIL ACTION	MTG. DATE September 7, 2021
Reports & Recommendations	RESOLUTION TO AWARD CONSTRUCTION OF SOUTH 60TH STREET SANITARY LIFT STATION REPLACEMENT (10100 S. 60TH STREET) TO JH HASSINGER, INC. FOR \$2,376,500.00	ITEM NO. <i>G.10.</i>

BACKGROUND

GRAEF-USA Inc. has been working on design documents for the replacement for the Industrial Park lift station (10100 S. 60th Street). The City had planned to abandon the lift station by spending approximately \$3.5 million for a gravity flow solution but Waukesha's bidders bid this portion for approximately \$13 million. This new lift station will have permanent features, such as an enclosed building for pump controls, a natural gas generator, and additional features to prevent clogging.

With the assistance of legal staff, land acquisition is being finalized.

ANALYSIS

Four bids were received on July 28, 2021.

\$2,376,500.00	JH Hassinger, Inc.
\$2,424,000.00	PTS Contractors, Inc.
\$2,437,000.00	Staab Construction Corp.
\$3,416,194.00	UPI Construction, LLC

GRAEF has assisted Staff with the review of the submitted bids, checked references, and are recommending that JH Hassinger, Inc. be awarded the project.

Staff is working the land owner and title company to close on the property. The notice to proceed will be dependent on closing.

OPTIONS

- A. Award construction and notice to proceed of lift station to JH Hassinger, Inc. or
- B. Reject all bids.

FISCAL NOTE

This is an underground project and unknowns are expected. 15% (\$356,475) Construction Contingency is typically recommended for potential change orders and that is very close to the amount remaining .

202Total Budget	\$3,000
Graef Design Contract (with additions)	-228
Land Acquisition (not including Closing Costs)	-40
JH Hassinger Construction	-2,376
Amount Remaining for Construction Contingency	\$354

Financing for the project is a planned Sanitary Sewer Fund Bond Sale. The Authorizing Bonding resolution is scheduled for consideration at the Sept 21, 2021 Council meeting.

Note: electors can require a referendum on the proposed bond sale. Absent the Bond Sale the Sanitary Sewer Fund could not finance the project.

RECOMMENDATION

(Option A) Motion to approve Resolution 2021-_____ a resolution to award construction of S. 60th Street Sanitary Lift Station replacement (10100 S. 60th Street) to JH Hassinger, Inc. for \$2,376,500.00 and issue a Notice to Proceed to accommodate purchase of property.

Engineering: GEM

STATE OF WISCONSIN : CITY OF FRANKLIN : MILWAUKEE COUNTY

RESOLUTION NO. 2021 - _____

RESOLUTION TO AWARD CONSTRUCTION OF
SOUTH 60TH STREET SANITARY LIFT STATION REPLACEMENT (10100 S. 60TH STREET)
TO JH HASSINGER, INC. FOR \$2,376,500.00

WHEREAS, the City of Franklin is replacing a temporary Industrial Park Lift Station with a permanent lift station at 10100 S. 60th Street; and

WHEREAS, GRAEF-USA, Inc. prepared construction documents for the project and it was publicly bid in accordance with applicable Franklin policies and Wisconsin Statutes; and

WHEREAS, four bids were received on July 28, 2021 and JH Hassinger, Inc., Menomonee Falls, Wisconsin was the lowest responsive and responsive bidder.

NOW, THEREFORE, BE IT RESOLVED, by the Mayor and Common Council of the City of Franklin, Wisconsin, to authorize a construction contract with JH Hassinger, Inc. for the construction of South 60th Street Sanitary Lift Station Replacement; and

BE IT FURTHER RESOLVED, that JH Hassinger be issued a Notice to Proceed on _____, 2021.

NOW BE IT FURTHER RESOLVED that the

Introduced at a regular meeting of the Common Council of the City of Franklin the _____ day of _____, 2021, by Alderman _____.

PASSED AND ADOPTED by the Common Council of the City of Franklin on the _____ day of _____, 2021.

APPROVED:

Stephen R. Olson, Mayor

ATTEST:

Sandra L. Wesolowski, City Clerk

AYES _____ NOES _____ ABSENT _____

South 60th Street Sanitary Lift Station Replacement (#7920897)
 Owner: City of Franklin
 Solicitor: Graef-USA Inc
 07/28/2021 04:00 PM CDT

Section Title	Line Item	Item Code	Item Description	UoffM	Quantity	JH Hassinger Inc			PTS Contractors, Inc			Staab Construction Corporation			UPI Construction LLC		
						Unit Price	Extension		Unit Price	Extension		Unit Price	Extension		Unit Price	Extension	
1- Schedule of Values																	
	1		1 General Requirements	LS	1	\$250,000.00	\$2,376,500.00	10.5%	\$201,755.00	\$2,424,000.00	8.3%	\$115,000.00	\$2,437,000.00	4.7%	\$75,000.00	\$3,416,194.00	2.2%
	2		2 Existing Conditions	LS	1	\$265,000.00	\$265,000.00	11.2%	\$48,845.00	\$48,845.00	2.0%	\$30,000.00	\$30,000.00	1.2%	\$50,000.00	\$50,000.00	1.5%
	3		3 Concrete	LS	1	\$250,000.00	\$250,000.00	10.5%	\$370,560.00	\$370,560.00	15.3%	\$450,000.00	\$450,000.00	18.5%	\$750,000.00	\$750,000.00	22.0%
	4		4 Masonry	LS	1	\$90,000.00	\$90,000.00	3.8%	\$105,875.00	\$105,875.00	4.4%	\$60,000.00	\$60,000.00	2.5%	\$75,000.00	\$75,000.00	2.2%
	5		5 Metals	LS	1	\$20,000.00	\$20,000.00	0.8%	\$42,350.00	\$42,350.00	1.7%	\$18,000.00	\$18,000.00	0.7%	\$10,000.00	\$10,000.00	0.3%
	6		6 Wood, Plastics, and Comp	LS	1	\$25,000.00	\$25,000.00	1.1%	\$42,350.00	\$42,350.00	1.7%	\$34,000.00	\$34,000.00	1.4%	\$5,000.00	\$5,000.00	0.1%
	7		7 Thermal and Moisture Prot	LS	1	\$11,000.00	\$11,000.00	0.5%	\$132,345.00	\$132,345.00	5.5%	\$70,000.00	\$70,000.00	2.9%	\$6,500.00	\$6,500.00	0.2%
	8		8 Openings	LS	1	\$59,000.00	\$59,000.00	2.5%	\$26,470.00	\$26,470.00	1.1%	\$20,000.00	\$20,000.00	0.8%	\$7,500.00	\$7,500.00	0.2%
	9		9 Finishes	LS	1	\$16,000.00	\$16,000.00	0.7%	\$1,060.00	\$1,060.00	0.0%	\$1,000.00	\$1,000.00	0.0%	\$10,000.00	\$10,000.00	0.3%
	10		10 Specialties	LS	1	\$2,500.00	\$2,500.00	0.1%	\$2,650.00	\$2,650.00	0.1%	\$4,000.00	\$4,000.00	0.2%	\$2,500.00	\$2,500.00	0.1%
	11		11 Plumbing	LS	1	\$40,000.00	\$40,000.00	1.7%	\$42,350.00	\$42,350.00	1.7%	\$124,000.00	\$124,000.00	5.1%	\$65,000.00	\$65,000.00	1.9%
	12		12 Heating, Ventilation and	LS	1	\$419,000.00	\$419,000.00	17.6%	\$444,675.00	\$444,675.00	18.3%	\$450,000.00	\$450,000.00	18.5%	\$430,000.00	\$430,000.00	12.6%
	13		13 Electrical	LS	1	\$313,000.00	\$313,000.00	13.2%	\$376,265.00	\$376,265.00	15.5%	\$681,000.00	\$681,000.00	27.9%	\$768,694.00	\$768,694.00	22.5%
	14		14 Earthwork	LS	1	\$30,000.00	\$30,000.00	1.3%	\$51,305.00	\$51,305.00	2.1%	\$35,000.00	\$35,000.00	1.4%	\$85,000.00	\$85,000.00	2.5%
	15		15 Exterior Improvements	LS	1	\$222,000.00	\$222,000.00	9.3%	\$114,350.00	\$114,350.00	4.7%	\$120,000.00	\$120,000.00	4.9%	\$610,000.00	\$610,000.00	17.9%
	16		16 Utilities	LS	1	\$30,000.00	\$30,000.00	1.3%	\$237,825.00	\$237,825.00	9.8%	\$60,000.00	\$60,000.00	2.5%	\$150,000.00	\$150,000.00	4.4%
	17		17 Process Piping	LS	1	\$188,000.00	\$188,000.00	7.9%	\$19,915.00	\$19,915.00	0.8%	\$15,000.00	\$15,000.00	0.6%	\$75,000.00	\$75,000.00	2.2%
	18		18 Material Processing and H	LS	1	\$18,000.00	\$18,000.00	0.8%	\$97,405.00	\$97,405.00	4.0%	\$90,000.00	\$90,000.00	3.7%	\$75,000.00	\$75,000.00	2.2%
	19		19 Process Gas and Liquid Ha	LS	1	\$92,000.00	\$92,000.00	3.9%	\$36,000.00	\$36,000.00	1.5%	\$36,000.00	\$36,000.00	1.5%	\$36,000.00	\$36,000.00	1.1%
	20X		SCADA Allowance	LS	1	\$36,000.00	\$36,000.00	1.5%	\$36,000.00	\$36,000.00	1.5%	\$36,000.00	\$36,000.00	1.5%	\$36,000.00	\$36,000.00	1.1%
Base Bid Total							\$2,376,500.00	100.0%	\$2,424,000.00		100.0%	\$2,437,000.00		100.0%	\$3,416,194.00		100.0%

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APPROVAL <i>Slw</i>	REQUEST FOR COUNCIL ACTION	MTG. DATE September 7, 2021
Reports & Recommendations	AUTHORIZE THE RELEASE OF LETTER OF CREDIT NO. 1010031457 FROM MIDLAND STATE BANK FOR RYANWOOD MANOR SUBDIVISION PHASE 1 AND LETTER OF CREDIT NO. 1010032364 FOR RYANWOOD MANOR SUBDIVISION PHASE 2 LOCATED WEST OF S. 76TH STREET AND NORTH OF W. OAKWOOD ROAD AS RECOMMENDED BY THE ENGINEERING DEPARTMENT	ITEM NO. <i>G.11.</i>

BACKGROUND

Pursuant to the development of the Ryanwood Manor Subdivision, which is located west of S. 76th Street and north of W. Oakwood Road, please be advised that the developer has a letter of credit for Phase 1 and Phase 2 for all the required improvements as listed in the development agreement.

ANALYSIS

All the public improvements have been installed to City Specification including the final lift of asphalt (8-10-2021) with one-year warranty. The current amount in Letter of Credit No. 1010031457 for Phase 1 is \$120,963.53 and is recommended to be released. The current amount in Letter of Credit No. 1010032364 for Phase 2 is \$153,971.42 and is recommended to be released.

The City is receiving a check from the developer to hold \$37,200.00 in escrow to plant the remaining 39 street trees in Phase 1 and the remaining 54 street trees in Phase 2.

OPTIONS

Approve or Deny

FISCAL NOTE

None

RECOMMENDATION

Authorize the release of Letter of Credit No. 1010031457 from Midland State Bank for Ryanwood Manor Subdivision Phase 1 and Letter of Credit No. 1010032364 for Ryanwood Manor Subdivision Phase 2 located west of S. 76th Street and north of W. Oakwood Road as recommended by the Engineering Department.

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APPROVAL <i>Slw</i>	REQUEST FOR COUNCIL ACTION	MTG. DATE September 7, 2021
Reports & Recommendations	A RESOLUTION TO EXECUTE CHANGE ORDERS NO. 2 AND NO. 3 TO DF TOMASINI CONTRACTORS, INC. FOR THE CONSTRUCTION OF 2020 MARQUETTE AVENUE ROAD IN THE TOTAL AMOUNT OF \$8,856.75	ITEM NO. <i>G.12.</i>

BACKGROUND

On November 2, 2020, Common Council awarded a construction contract to DF Tomasini for the construction of Marquette Avenue. As with any construction project, there are unknown and unexpected issues that arise and must be addressed.

ANALYSIS

Enclosed are two change orders that Staff believes is justified for reimbursement to the contractor.

Change Order #2 \$7,000.00: Increase of price to install storm drain inlets (as required by new City Standards), inlet detail changed requiring 10' of 6" perforated pipe outside of the inlets and Cretex pro rings for adjustments.

Change Order #3 \$1,856.75: High pressure gas line was hydro excavated and determined to be in conflict. High pressure gas main that was not showed on drawings but was located byUSIC happened to be in conflict with proposed Sanitary Manhole #1. Revisions were made to the plans because of the gas line conflict, including changes made to Sanitary Manhole #1. The base section Sanitary Manhole #1 was fabricated based on the original plans and approved shop drawings and had to be reordered.

OPTIONS

- A. Authorize Change Order No. 2 and Change Order No.3 in the amount of \$8,856.75 to DF Tomasini
- B. Provide other direction to Staff. Note that decisions were made and did not allow for Common Council calendar for approval.

FISCAL NOTE

There is \$924,955 available for this project. Considering these change orders, there is \$3,040.26 left for additional construction contingencies.

\$737,954.90	Amount of bid awarded to DF Tomasini (Contractor)
+ \$123,411.00	Lynch Service Contract, Resolution No. 2020-7692
+ \$103,348.82	Change Order #1, Execute change order #1, Resolution No. 2021-7719
+ \$6,343.27	Relocate pole and guywire for 7475 S 49 th St, Resolution No. 2021-7741
+ \$7,000.00	Change Order #2, Inlet Detail Modifications
+ \$1,856.75	Change Order #3, Hydro Vac for gas main conflict with EX MH
- \$58,000.00	Reduction of Lynch Service Contract, Resolution No. 2021-7751
\$921,914.74	Amount at contract after change order #2 and change order #3

RECOMMENDATION

(Option A) Resolution 2021-_____, a resolution to execute Change Order No. 2 in the amount of \$7,000 and Change Order No. 3 in the amount of \$1,856.75 to DF Tomasini Contractors, Inc. for the construction of 2020 Marquette Avenue Road.

Engineering Department: GEM

STATE OF WISCONSIN: CITY OF FRANKLIN: MILWAUKEE COUNTY

RESOLUTION NO. 2021 - _____

A RESOLUTION TO EXECUTE CHANGE ORDERS
NO. 2 AND NO. 3 TO DF TOMASINI CONTRACTORS, INC. FOR THE CONSTRUCTION
OF 2020 MARQUETTE AVENUE ROAD IN THE TOTAL AMOUNT OF \$8,856.75

WHEREAS, the City of Franklin awarded a contract to DF Tomasini Contractors, Inc. for the 2020 Marquette Avenue Road Project; and

WHEREAS, the project was a unit price contract totaling \$737,954.90 per the bid quantities and adjusted with Change Order No. 1 (Resolution No. 2021-7719) to \$841,303.72. and

WHEREAS, a Change Order No. 2 is needed to accommodate new city standards for storm sewer inlets; and

WHEREAS, a Change Order No. 3 is needed to address extra efforts by the contractor concerning an unknown high pressure gas main; and

WHEREAS, staff has reviewed and negotiated fair prices with the contractor and are recommending to Common Council that the change orders be executed.

NOW, THEREFORE, BE IT RESOLVED by the Mayor and Common Council of the City of Franklin, to execute Change Order No. 2 in the amount of \$7,000 and Change Order No. 3 in the amount of \$1,856.75 to DF Tomasini Contractors, Inc. for the construction of 2020 Marquette Avenue Road.

Introduced at a regular meeting of the Common Council of the City of Franklin this _____ day of _____, 2021 by Alderman _____.

Passed and adopted at a regular meeting of the Common Council of the City of Franklin this _____ day of _____, 2021.

APPROVED:

Stephen R. Olson, Mayor

ATTEST:

Sandra L. Wesolowski, City Clerk

AYES _____ NOES _____ ABSENT _____

CHANGE ORDER
CITY OF FRANKLIN
DEPARTMENT OF ENGINEERING

Change Order No: 02

Dated: August 05, 2021

PROJECT NAME Marquette Avenue Road Project

PROJECT LOCATION W. Marquette Avenue between S. 51st Street and S. 49th Street

CONTRACTOR: DF Tomasini Contractors, Inc.

Nature of the Changes: Increase of price to install storm drain inlets (as required by new City Standards), inlet detail changed requiring 10' of 6" perforated pipe outside of the inlets and Cretex pro rings for adjustments.

These changes result in the following adjustment of Contract Price and Contract Time: (CITY CONTRACT ONLY)

Original Contract Price \$737,954.90

Change order No.1 Price \$103,348.82

Contract price prior to this Change Order \$841,303.72

Net **INCREASE** resulting from this Change Order \$7,000.00

Current contract price including this Change Order \$848,303.72

Net (Increase) in time resulting from this Change Order Increase 0 calendar days

The above changes are Approved by:

Mayor

City Clerk

Contractor:

By: Stephen R. Olson

By: Sandra L. Wesolowski

By: _____

Date: _____

Date: _____

Date: _____

Director of Finance & Treasurer

City Attorney

By: Paul Rotzenberg

By: Jesse A. Wesolowski

Date: _____

Date: _____



D.F. Tomasini Contractors, Inc.
N70 W25176 Indian Grass Lane - Sussex, WI 53089
Phone: (262) 820-8300 Fax: (262) 820-8400

**CONTRACT CHANGE /
MODIFICATION
2**

PROJECT NAME: Marquette Ave Road Project

PROJECT # 20.1013

PROJECT MANAGER / FOREMAN: Jake Baneck

JOB# 2177

PROJECT ENGINEER OR CUSTOMER: Owner: City of Franklin Engineer: Lynch & Associates

D.F. Tomasini Contractors is requesting to make the following changes / modifications as stated below for compensation on the project listed above:

QTY.	UOM	DESCRIPTION	UNIT PRICE	TOTAL
14	EA	Inlet Detail Modifications	\$500.00	\$7,000.00
			GRAND TOTAL:	\$7,000.00

REASON FOR CHANGE / MODIFICATION:

City of Franklin changed the detail for the inlets on the project, requiring 10' of 6" perforated pipe out of the sides of the inlets and cretex pro rings for adjustments.

NOTE:

ACCEPTED: The above prices and specification of this change / modification are satisfactory and are hereby accepted. All work, which is the subject of this change / modification is to be performed under the same terms and conditions as specified in the original contract unless otherwise stipulated.

D.F. Tomasini Contractors, Inc.

Company Name

Signature of Authorized Agent

5/4/21

Date of Acceptance

Owner: City of Franklin Engineer: Lynch & Associates

Project Engineer / Customer

Signature of Authorized Agent

6/1/2021

Date of Acceptance

CHANGE ORDER
CITY OF FRANKLIN
DEPARTMENT OF ENGINEERING

Change Order No: 03

Dated: August 05, 2021

PROJECT NAME Marquette Avenue Road Project

PROJECT LOCATION W. Marquette Avenue between S. 51st Street and S. 49th Street

CONTRACTOR: DF Tomasini Contractors, Inc.

Nature of the Changes: High pressure gas line was hydro excavated and determined to be in conflict. High pressure gas main that was not showed on drawings but was located byUSIC happened to be in conflict with proposed Sanitary Manhole #1. Revisions were made to the plans because of the gas line conflict, including changes made to Sanitary Manhole #1. The base section Sanitary Manhole #1 was fabricated based on the original plans and approved shop drawings and had to be reordered.

These changes result in the following adjustment of Contract Price and Contract Time: (CITY CONTRACT ONLY)

Original Contract Price \$737,954.90

Change order No.1 through No.2 Price +\$110,348.82

Contract price prior to this Change Order \$848,303.72

Net **INCREASE** resulting from this Change Order +\$1,856.75

Current contract price including this Change Order \$850,160.47

Net (Increase) in time resulting from this Change Order Increase 0 calendar days

The above changes are Approved by:

Mayor

City Clerk

Contractor:

By: Stephen R. Olson

By: Sandra L. Wesolowski

By: _____

Date: _____

Date: _____

Date: _____

Director of Finance & Treasurer

City Attorney

By: Paul Rotzenberg

By: Jesse A. Wesolowski

Date: _____

Date: _____



D.F. Tomasini Contractors, Inc.
N70 W25176 Indian Grass Lane - Sussex, WI 53089
Phone: (262) 820-8300 Fax: (262) 820-8400

**CONTRACT CHANGE /
 MODIFICATION
 # 3**

PROJECT NAME: Marquette Ave Road Project

PROJECT # 20.1013

PROJECT MANAGER / FOREMAN: Jake Baneck

JOB# 2177

PROJECT ENGINEER OR CUSTOMER: Owner: City of Franklin Engineer: Lynch & Associates

D.F. Tomasini Contractors is requesting to make the following changes / modifications as stated below for compensation on the project listed above:

QTY.	UOM	DESCRIPTION	UNIT PRICE	TOTAL
4	Hrs	Hydro Vac & Operator	\$250.00	\$1,000.00
1	LS	Dump Fee	125.00	125.00
1	EA	Sanitary Manhole #1 Base Section	731.75	731.75
GRAND TOTAL:				\$1,856.75

REASON FOR CHANGE / MODIFICATION:

High pressure gas main that is not shown on drawings was located by USIC in conflict with new Sanitary Manhole #1. Gas line was hydro excavated and determined to be in conflict.

NOTE:

Revisions were made to the plans because of the gas line conflict, including changes made to Sanitary Manhole #1. The base section Sanitary Manhole #1 was already fabricated based on the original plans and approved shop drawings.

ACCEPTED: The above prices and specification of this change / modification are satisfactory and are hereby accepted. All work, which is the subject of this change / modification is to be performed under the same terms and conditions as specified in the original contract unless otherwise stipulated.

D.F. Tomasini Contractors, Inc.

Company Name



Signature of Authorized Agent

5/24/2021

Date of Acceptance

Owner: City of Franklin Engineer: Lynch & Associates

Project Engineer / Customer



Signature of Authorized Agent

8/5/2021

Date of Acceptance

APPROVAL <i>Slw</i>	REQUEST FOR COUNCIL ACTION	MTG. DATE September 7, 2021
Reports & Recommendations	A RESOLUTION TO ISSUE FINAL CHANGE ORDER NO. 4 FOR PLEASANT VIEW PARK PAVILION (4901 W. EVERGREEN STREET) TO RAY STADLER CONSTRUCTION COMPANY IN THE AMOUNT OF \$3,000 SAVINGS	ITEM NO. <i>G.13.</i>

BACKGROUND

The completion of the Pleasant View Pavilion has taken much longer than anticipated. Staff believes that many issues stem from COVID19 related complications with subcontractors. The final occupancy was additionally held up with punch list items performed to Staff's satisfaction and conforming to Franklin Inspection Services comments concerning a roof detail.

ANALYSIS

Two 12" vent pipes were not flashed through the roof per plan. The method used to flash the pipes is acceptable, but not quite as robust as the original design. After discussion with the architect and other roofing companies, Staff and the contractor have agreed that a \$3,000 credit would be given to the City because of this.

This \$3,000 credit will be change order No. 4 and will allow the project to be closed.

OPTIONS

Approve or Deny with further direction to Staff

FISCAL NOTE

This savings may be returned to the general fund but will be requested as an appropriation for park maintenance if future roof repairs on the Pavilion are needed.

RECOMMENDATION

Resolution 2021-_____ a resolution to issue final Change Order No. 4 to Ray Stadler Construction Company in the amount of \$3,000 savings.

STATE OF WISCONSIN: CITY OF FRANKLIN: MILWAUKEE COUNTY

RESOLUTION NO. 2021 - _____

RESOLUTION TO ISSUE FINAL CHANGE ORDER NO. 4 FOR
PLEASANT VIEW PARK PAVILION (4901 W. EVERGREEN STREET)
TO RAY STADLER CONSTRUCTION COMPANY IN THE AMOUNT OF \$3,000 SAVINGS

WHEREAS, the Common Council awarded a lump sum contract on June 18, 2019, to Ray Stadler Construction Co. for the construction of the Pleasant View Pavilion in Pleasant View Park-4901 W. Evergreen Street (Project 2019-3) in the amount of \$544,000; and

WHEREAS, the Common Council previously authorized three Change Orders to bring the project total to \$578,642.00; and

WHEREAS, modifications to vent pipes protruding through the roofing was installed differently than what was shown on the plans; and

WHEREAS, the modification is acceptable to Staff, but not as robust as the original design; and

WHEREAS, Staff has reviewed the changes with the project architect;

NOW, THEREFORE, BE IT RESOLVED by the Mayor and Common Council of the City of Franklin, that the Mayor and City Clerk are authorized and directed to execute Change Order No. 4 to decrease the total contract amount by \$3,000 savings to \$575,642.00.

Introduced at a regular meeting of the Common Council of the City of Franklin this ___th day of _____, 2021 by Alderman _____.

Passed and adopted at a regular meeting of the Common Council of the City of Franklin this ___th day of _____ 2021.

APPROVED:

Stephen R. Olson, Mayor

ATTEST:

Sandra L. Wesolowski, City Clerk

AYES _____ NOES _____ ABSENT _____

CHANGE ORDER
CITY OF FRANKLIN
DEPARTMENT OF ENGINEERING

ITEM G.9
REVISED 8/4/20

Change Order No: 04

Dated: August 17, 2021

PROJECT NAME Pleasant View Pavilion in Pleasant View Park

PROJECT LOCATION 4901 W. Evergreen Street

CONTRACTOR: Ray Stadler Construction Co.

Contract For Pleasant View Pavilion in Pleasant View Park

Nature of the Changes:

- City of Franklin to receive a \$3,000.00 credit for future maintenance/repair of building.

These changes result in the following adjustment of Contract Price and Contract Time: (CITY CONTRACT ONLY)

Original Contract Price \$ 544,000.00

Contract price prior to this Change Order \$ 578,642.00

Net Increase resulting from this Change Order \$ (3,000.00)

Current contract price including this Change Order \$ 575,642.00

Net (Increase/Decrease) in time resulting from this Change Order Increase 0 calendar days

The above changes are Approved by:

Mayor City Clerk Contractor:

By: Stephen R Olson By: Sandra L Wesolowski By: _____

Date: _____ Date _____ Date: _____

Director of Finance & Treasurer City Attorney

By: Paul Rotzenberg By: Jesse A. Wesolowski

Date _____ Date: _____

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APPROVAL <i>Slw</i>	REQUEST FOR COUNCIL ACTION	MEETING DATE Sept 7, 2021
REPORTS & RECOMMENDATIONS	July, 2021 Monthly Financial Report	ITEM NUMBER <i>G.14.</i>

Background

The July, 2021 Financial Report is attached.

The Finance Committee reviewed this report at its Aug 25, 2021 meeting and recommends its acceptance.

The Director of Finance & Treasurer will be available to answer any questions.

COUNCIL ACTION REQUESTED

Receive and place on file.

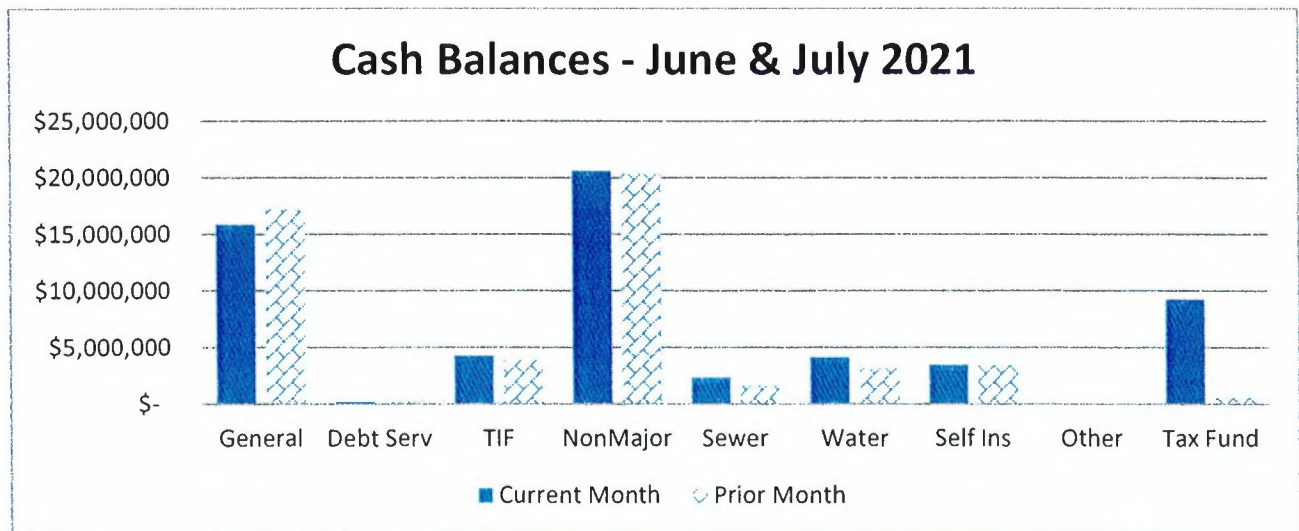


Date: Aug 13, 2021
 To: Mayor Olson, Common Council and Finance Committee Members
 From: Paul Rotzenberg, Director of Finance & Treasurer
 Subject: July 2021 Financial Report

The July, 2021 financial reports for the General Fund, Debt Service Fund, TID Funds, Solid Waste Fund, American Rescue Plan, Capital Outlay Fund, Equipment Replacement Fund, Street Improvement Fund, Capital Improvement Fund, Development Fund, Utility Development, Self Insurance Fund, and Post Employment Insurance Fund are attached.

The budget allocation is completed using an average of the last five years actual spending against the Original Budget. Caution is advised in that spending patterns may have changed. Comments on specific and trending results are provided below to aid the reader in understanding or explaining current year financial results.

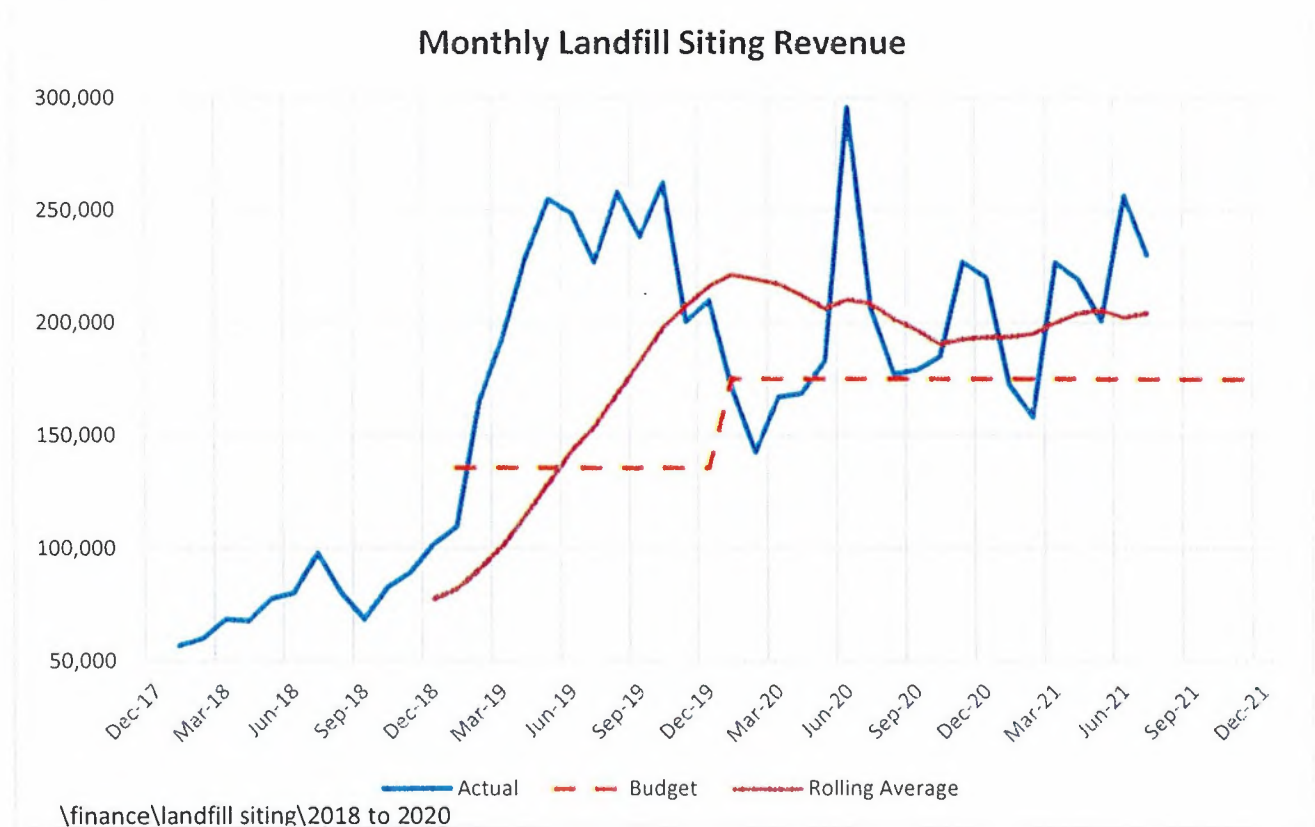
Cash & Investments Summary – is provided to aid in understanding the resources available to meet current activities. Cash & investments are positions with safety and liquidity as primary objectives as stated in the City’s Investment policy. Investment returns are secondary in the investment decisions, while return potential is not ignored. Cash & Investments in the Governmental Funds totaling \$40.9 million decreased \$526,000 since last month. The biggest decline was in General Fund supporting labor costs.



Investment balances have been reduced at Institutional Capital Management. As the returns on short term investments have declined, Certificates of Deposit have become more attractive. Our relationship with American Deposit Management has provided the tool to tap the bank CD market. However, in anticipation that the yield curve will steepen, CD's have been limited to 24 months or less.

The increase in the Property Tax Fund resulted from collection of the School Levy Credit.

Landfill Siting Resources – are spread across multiple capital funds and the General Fund. This resource is currently performing approximately 15% better than the \$2.1 million budget. July's receipt (collected in August) will be \$230,000 (compared to \$206,000 in July 2020). The current annualized run rate is \$2.45 million. The accompanying chart illustrates the current trend. Most of this resource is credited to the Capital funds. Resources in excess of budget will be evenly credited to Equipment Replacement and Street Improvement Funds absent other direction.



GENERAL FUND revenues of \$21.9 million are \$683,000 over budget. Tax collections were a little faster this year than prior years. That \$266,000 favorable variance will disappear. Ambulance resources are rather strong this year (\$130,000 over budget). Investment income was \$149,000 less than budget with continued very low rates of return.

Year to Date expenditures of \$15.3 million are \$855,000 under spent. Delays in hiring and legal costs are holding Gen Government under budget. Police vacancies early in the year now nearly filled, but the under spending will stay with us. Salt orders pushed Public Works over budget.

A \$6.6 million surplus is \$1.5 million favorable to budget – above half excess resources and half under spending.

DEBT SERVICE – Debt payments were made March 1 as required.

TIF Districts – The TID's collected the \$3.7 million increment in January as expected. Debt service represents the bulk of the activity in the TID's so far this year.

TID 3 – The 2021 increment was collected and State shared revenue received. The TID retired \$965,000 of debt along with \$1,050,000 Municipal Revenue Obligation. The TID has a \$912,000 fund balance.

TID 4 – The \$1.1 million 2021 increment was collected as was \$86,000 in State Shared revenue. \$1.2 million of Advances were repaid. There are \$847,000 of contractor payments still due. The TID has a \$392,000 deficit related to the \$1.3 remaining Advance outstanding. The TID does have \$850,000 of encumbrances that will likely get paid in 2021, raising the deficit back to the interfund advance.

TID 5 – The \$648,000 2021 Increment was collected. \$300,000 of debt service was paid. The TID has an \$833,000 fund balance related to capitalized interest.

TID 6 – There is no 2021 Increment. \$120,000 of debt service was made from capitalized interest. The TID has a \$433,000 fund balance.

TID 7 – There is nolittle activity in TID7 at this time. The \$1.2 million deficit represents the \$1.5 million advance to partially fund the developer mortgage.

TID 8 – There is no development activity in TID8 at this time.

SOLID WASTE FUND – Tippage resources are running stronger than budget and prior years. 2020 missed the December tippage resource which ended up in January 2021. That is part of the reason for the overage. Other activity is occurring as budgeted.

AMERICAN RESCUE PLAN – a Federal grant related to the Pandemic. This is the first half of the grant which was received in June 2021. The second payment will occur in June 2022.

No spending has occurred as yet.

CAPITAL OUTLAY FUND – Resources are as expected.

The Police have ordered several squads, and Highway has ordered much of the equipment budgeted for 2021.

EQUIPMENT REPLACEMENT FUND – Resources are as expected so far in 2021

The Fire department has ordered the radios planned for 2021. The highway department has begun the snow plow orders.

STREET IMPROVEMENT FUND – Intergovernmental resources get released over four payments, the last in November.

The 2021 street improvement program has been awarded for less than budget. The Highway Dept is charging various supply costs to this program as they related to streets involved in the program.

CAPITAL IMPROVEMENT FUND – Resources have not been received as yet. The Debt Offering will occur in the fourth quarter.

Expenditures relate to projects started in 2020, most significantly, Marquette Ave construction. Most of the spending relates to contract commitments, with cash disbursements yet to occur. A project listing is also attached.

DEVELOPMENT FUND – The \$377,000 of resources relate to new housing starts in Aspen Woods and Ryan Wood Manor.

Transfers to Debt Service account for all the use of Public Safety resources. While the park expenditures relate to commitments to developers on new subdivisions as well as 2021 qualifying park expenditures.

There are Fire, Library, Police and Transportation fees that can be transferred out, however, Common Council has not directed where they should go now that the related project Debt Service has been retired.

UTILITY DEVELOPMENT FUND – There has been little activity in this fund in 2021.

SELF INSURANCE FUND – Resources are as expected.

The \$1.65 million of claims have been 4% greater than expected and 2020 Stop Loss recoveries have reduced the net claims costs.

The fund generated a \$1,750 deficit this year compared to a \$703,000 surplus last year. A budgeted premium holiday is scheduled for late in the year. The fund has a healthy \$3.2 million fund balance.

RETIREE HEALTH FUND – Benefit payments of \$237,000 are 19% greater than 2020 thru July. Still the fund is generating an underwriting surplus, which is not typically expected given the demographics of the covered group.

Investment results have been stellar, with a \$844,000 gain (net of \$47,000 of internal management fees), compared to a \$70,000 loss in 2020. Total Trust assets are 32% greater than 2020 at this time. Markets are volatile, so put investment results into perspective with a longer view.

City of Franklin
Cash & Investments Summary
July 31, 2021

	Cash	American Deposit Management	Institutional Capital Management	Local Government Invest Pool	Total	Prior Month Total
General Fund	\$ 1,570,394	\$ 8,813,445	\$ 2,182,932	\$ 3,287,399	\$ 15,854,169	\$ 17,123,272
Debt Service Funds	6,874	198,023	-	-	204,897	204,838
TIF Districts	13,517	4,239,558	-	-	4,253,075	3,804,019
Nonmajor Governmental Funds	834,525	14,917,113	4,835,383	-	20,587,021	20,293,408
Total Governmental Funds	2,425,310	28,168,139	7,018,315	3,287,399	40,899,162	41,425,536
Sewer Fund	541,889	1,808,766	-	-	2,350,655	1,605,313
Water Utility	73,212	3,257,421	782,520	-	4,113,152	3,126,806
Self Insurance Fund	8,668	560,746	2,879,386	-	3,448,800	3,382,270
Other Designated Funds	16,094	-	-	-	16,094	13,869
Total Other Funds	639,864	5,626,933	3,661,906	-	9,928,702	8,128,258
Total Pooled Cash & Investments	3,065,174	33,795,072	10,680,221	3,287,399	50,827,865	49,553,794
Property Tax Fund	112,157	9,145,466	-	-	9,257,623	539,083
Total Trust Funds	112,157	9,145,466	-	-	9,257,623	539,083
Grand Total Cash & Investments	3,177,331	42,940,538	10,680,221	3,287,399	60,085,488	50,092,877
Average Floating Rate of Return		0 04%	2 02%	0 04%		
Avg Weighted Rate of Return - CD's		0 76%				
Maturities:						
Demand	3,177,331	32,894,785	22,814	3,287,399	39,382,329	29,375,795
Fixed Income & Equities						
2021 - Q2	-	-	-	-	-	-
2021 - Q3	-	500,000	1,003,281	-	1,503,281	1,505,156
2021 - Q4	-	-	4,520,215	-	4,520,215	4,527,263
2022 - Q1	-	2,752,876	2,068,576	-	4,821,452	4,824,762
2022 - Q2	-	267,876	-	-	267,876	267,876
2022	-	2,700,000	2,551,492	-	5,251,492	5,253,349
2023	-	3,825,000	513,843	-	4,338,843	4,338,676
	3,177,331	42,940,538	10,680,221	3,287,399	60,085,489	50,092,877

City of Franklin
2021 Financial Report
General Fund Summary
For the Seven months ended July 31, 2021

Revenue	2021 Annual Budget	2021 Amended Budget	2021 Year-to-Date Budget	2021 Year-to-Date Actual	Var to Budget Surplus (Deficiency)
Property Taxes	\$ 19,196,900	\$ 19,196,900	\$ 16,488,942	\$ 16,755,028	\$ 266,086
Other Taxes	614,900	614,900	250,834	383,564	132,730
Intergovernmental Revenue	1,785,400	1,785,400	1,046,560	1,107,306	60,746
Licenses & Permits	1,111,150	1,111,150	679,738	810,309	130,571
Law and Ordinance Violations	490,000	490,000	315,920	277,203	(38,717)
Public Charges for Services	2,424,650	2,424,650	1,350,291	1,622,002	271,711
Intergovernmental Charges	203,200	203,200	105,527	109,332	3,805
Investment Income	359,718	359,718	225,866	76,752	(149,114)
Sales of Capital Assets	10,250	10,250	6,514	538	(5,976)
Miscellaneous Revenue	123,000	123,000	82,644	112,764	30,120
Transfer from Other Funds	1,050,000	1,050,000	646,496	628,036	(18,460)
Total Revenue	\$ 27,369,168	\$ 27,369,168	\$ 21,199,332	\$ 21,882,834	\$ 683,502
Expenditures	2021 Annual Budget	2021 Amended Budget	2021 Year-to-Date Budget	2021 Year-to-Date Actual	Var to Budget Surplus (Deficiency)
General Government	\$ 3,160,403	\$ 3,184,744	\$ 1,984,390	\$ 1,770,542	E \$ 213,848
Public Safety	18,352,063	18,472,161	10,711,332	10,288,146	E 423,186
Public Works	4,288,736	4,586,454	2,383,062	2,633,926	E (250,864)
Health and Human Services	713,239	713,239	384,035	388,988	(4,953)
Other Culture and Recreation	231,343	242,486	135,920	200,485	E (64,565)
Conservation and Development	599,884	617,257	344,827	312,108	E 32,719
Contingency and Unclassified	2,762,500	2,722,500	174,873	-	174,873
Transfers to Other Funds	11,000	361,000	-	-	-
Encumbrances				(330,376)	330,376
Total Expenditures	\$ 30,119,168	\$ 30,899,841	\$ 16,118,439	\$ 15,263,819	\$ 854,620
Excess of revenue over (under) expenditures	(2,750,000)	(3,530,673)	<u>\$ 5,080,893</u>	6,619,015	<u>\$ 1,538,122</u>
Fund balance, beginning of year	<u>9,199,013</u>	<u>9,199,013</u>		<u>9,199,013</u>	
Fund balance, end of period	<u>\$ 6,449,013</u>	<u>\$ 5,668,340</u>		<u>\$ 15,818,028</u>	

E Represents an encumbrance for current year from prior year

**City of Franklin
Debt Service Funds
Balance Sheet
July 31, 2021 and 2020**

	2021			2020		
	Special Assessment	Debt Service	2021 Total	Special Assessment	Debt Service	2020 Total
Assets						
Cash and investments	\$ 204,909	\$ (13)	\$ 204,896	\$ 787,665	\$ 351,036	\$ 1,138,701
Special assessment receivable	15,839	-	15,839	30,255	-	30,255
Total Assets	\$ 220,748	\$ (13)	\$ 220,735	\$ 817,920	\$ 351,036	\$ 1,168,956
Liabilities and Fund Balance						
Unearned & unavailable revenue	\$ 15,839	\$ -	\$ 15,839	\$ 30,255	\$ -	\$ 30,255
Unassigned fund balance	204,909	(13)	204,896	787,665	351,036	1,138,701
Total Liabilities and Fund Balance	\$ 220,748	\$ (13)	\$ 220,735	\$ 817,920	\$ 351,036	\$ 1,168,956

**Statement of Revenue, Expenses and Fund Balance
For the Seven months ended July 31, 2021 and 2020**

	2021				2020		
	Special Assessment	Debt Service	2021 Year-to-Date Actual	2021 Original Budget	Special Assessment	2020 Debt Service	2020 Year-to-Date Actual
Revenue							
Property Taxes	\$ -	\$ 1,100,000	\$ 1,100,000	\$ 1,100,000	\$ -	\$ 1,100,000	\$ 1,100,000
Special Assessments	2,854	-	2,854	21,000	6,221	-	6,221
Investment Income	927	400	1,327	15,000	13,814	3,317	17,131
Total Revenue	3,781	1,100,400	1,104,181	1,136,000	20,035	1,103,317	1,123,352
Expenditures:							
Debt Service							
Principal	-	1,480,000	1,480,000	1,480,000	-	1,425,000	1,425,000
Interest	-	75,856	75,856	135,763	-	74,962	74,962
Bank Fees	-	1,200	1,200	1,600	-	1,200	1,200
Total expenditures	-	1,557,056	1,557,056	1,617,363	-	1,501,162	1,501,162
Transfers in	-	180,900	180,900	479,895	-	397,950	397,950
Transfers out	-	-	-	(25,886)	-	-	-
Net change in fund balances	3,781	(275,756)	(271,975)	(27,354)	20,035	105	20,140
Fund balance, beginning of year	201,128	275,743	476,871	476,871	767,630	350,931	1,118,561
Fund balance, end of period	\$ 204,909	\$ (13)	\$ 204,896	\$ 449,517	\$ 787,665	\$ 351,036	\$ 1,138,701

City of Franklin
Consolidating TID Funds
Balance Sheet
July 31, 2021 and 2020

	Northwestern Mutual TID.3	Ascension Hospital TID.4	Ballpark Commons TID.5	Loomis & Ryan TID.6	Velo Village TID.7	S 27th Business Park TID.8	Total
Assets							
Cash & Investments	\$ 1,777,490	\$ 970,767	\$ 833,435	\$ 433,084	\$ 245,897	\$ (7,597)	\$ 4,253,076
Accounts Receivables	-	-	-	-	4,500,000	-	4,500,000
Total Assets	\$ 1,777,490	\$ 970,767	\$ 833,435	\$ 433,084	\$ 4,745,897	\$ (7,597)	\$ 8,753,076
Liabilities and Fund Balance							
Accounts Payable	\$ -	\$ 63,601	\$ -	\$ -	\$ -	\$ 2,554	\$ 66,155
Accrued Liabilities	865,126	-	-	-	-	-	865,126
Advances from Other Funds	-	1,300,000	-	-	1,500,000	100,000	2,900,000
Deferred Inflow	-	-	-	-	4,500,000	-	4,500,000
Total Liabilities	865,126	1,363,601	-	-	6,000,000	102,554	8,331,281
Ending Fund Balance	912,364	(392,834)	833,435	433,084	(1,254,103)	(110,151)	421,795
Total Liabilities and Fund Balance	\$ 1,777,490	\$ 970,767	\$ 833,435	\$ 433,084	\$ 4,745,897	\$ (7,597)	\$ 8,753,076

Statement of Revenue, Expenses and Fund Balance
For the Seven months ended July 31, 2021 and 2020

	Northwestern Mutual TID.3	Ascension Hospital TID.4	Ballpark Commons TID.5	Loomis & Ryan TID.6	Velo Village TID.7	S 27th Business Park TID.8	Total
Revenue							
General Property Tax Levy	\$ 2,067,579	\$ 1,160,642	\$ 478,853	\$ -	\$ 11,911	\$ -	\$ 3,718,985
Payment in Lieu of Tax	62,938	58,830	170,170	-	-	-	291,938
State Exempt Aid	537,629	86,049	25,643	-	-	-	649,321
Investment Income	2,041	1,068	109	461	136,139	-	139,818
Miscellaneous revenue	-	-	-	89	-	-	89
Total revenue	2,670,187	1,306,589	674,775	550	148,050	-	4,800,151
Expenditures							
Debt Service Principal	\$ 965,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 965,000
Debt Service Interest & Fees	35,100	15,625	300,315	120,688	77,570	-	549,298
Administrative Expenses	2,870	2,870	7,560	24,184	3,570	27,124	68,178
Professional Services	4,064	198,151	17,501	8,708	2,100	37,502	268,026
Capital outlay	-	809,366	-	69,663	-	47,431	926,460
Development Incentive & Obligation Payments	1,050,225	(847,013)	(16,279)	(69,663)	(1,600)	(65,117)	1,050,225
Encumbrances	-	178,999	309,097	153,580	81,640	46,940	(999,672)
Total expenditures	2,057,259	1,277,999	365,678	(153,030)	66,410	(46,940)	1,972,636
Excess of revenue over expenditures	612,928	(1,520,424)	467,757	586,114	(1,320,513)	(63,211)	(1,550,841)
Fund balance beginning of year	299,436	(392,834)	833,435	433,084	(1,254,103)	(110,151)	421,795
Fund balance end of period	\$ 912,364	\$ (392,834)	\$ 833,435	\$ 433,084	\$ (1,254,103)	\$ (110,151)	\$ 421,795

City of Franklin
Tax Increment Financing District #3 - Northwestern Mutual
Balance Sheet
July 31, 2021 and 2020

<u>Assets</u>	2021	2020
Cash & investments	\$ 1,777,490	\$ 1,201,618
Total Assets	<u>\$ 1,777,490</u>	<u>\$ 1,201,618</u>
<u>Liabilities and Fund Balance</u>		
Accrued Liabilities	\$ 865,126	\$ 865,126
Total Liabilities	<u>865,126</u>	<u>865,126</u>
Assigned fund balance	912,364	336,492
Total Liabilities and Fund Balance	<u>\$ 1,777,490</u>	<u>\$ 1,201,618</u>

Statement of Revenue, Expenses and Fund Balance
For the Seven months ended July 31, 2021 and 2020

	2021 Annual Budget	2021 Year-to-Date Budget	2021 Year-to-Date Actual	2020 Year-to-Date Actual
Revenue				
General property tax levy	\$ 2,107,000	\$ 2,107,000	\$ 2,067,579	\$ 1,401,748
Payment in Lieu of Taxes	62,000	36,167	62,938	-
State exempt aid	537,440	511,840	537,629	510,053
Bond proceeds	-	-	2,041	7,891
Total revenue	<u>2,706,440</u>	<u>2,655,007</u>	<u>2,670,187</u>	<u>1,919,692</u>
Expenditures				
Debt service principal	965,000	965,000	965,000	665,000
Debt service interest & fees	55,795	15,664	35,100	45,075
Administrative expenses	4,920	2,870	2,870	4,130
Professional services	150	88	4,064	900
Development incentive & obligation payments	1,050,225	1,050,225	1,050,225	760,005
Total expenditures	<u>2,076,090</u>	<u>2,033,847</u>	<u>2,057,259</u>	<u>1,475,110</u>
Revenue over (under) expenditures	630,350	621,160	612,928	444,582
Transfers In (out)	-	14,807	-	-
Fund balance, beginning of year	<u>304,981</u>	<u>299,436</u>	<u>299,436</u>	<u>(108,090)</u>
Fund balance, end of period	<u>\$ 935,331</u>	<u>\$ 935,403</u>	<u>\$ 912,364</u>	<u>\$ 336,492</u>

City of Franklin
Tax Increment Financing District #4 - Ascension Hospital
Balance Sheet
July 31, 2021 and 2020

<u>Assets</u>	2021	2020
Cash & investments	\$ 970,767	\$ 2,734,048
Total Assets	<u>\$ 970,767</u>	<u>\$ 2,734,048</u>
<u>Liabilities and Fund Balance</u>		
Accounts Payable	\$ 63,601	\$ 2,072,840
Advances from Other Funds	1,300,000	-
Total Liabilities	<u>1,363,601</u>	<u>2,072,840</u>
Assigned fund balance	(392,834)	661,208
Total Liabilities and Fund Balance	<u>\$ 970,767</u>	<u>\$ 2,734,048</u>

Statement of Revenue, Expenses and Fund Balance
For the Seven months ended July 31, 2021 and 2020

	2021	2021	2021	2021	2020
	Annual	Amended	Year-to-Date	Year-to-Date	Year-to-Date
	Budget	Budget	Budget	Actual	Actual
Revenue					
General Property Tax Levy	\$ 1,183,000	\$ 1,183,000	\$ 1,183,000	\$ 1,160,642	\$ 1,138,802
Payment in Lieu of Tax	50,000	50,000	50,000	58,830	73,889
State Exempt Aid	86,060	86,060	57,118	86,049	53,731
Investment Income	-	-	-	1,068	71,537
Total Revenue	<u>1,319,060</u>	<u>1,319,060</u>	<u>1,290,118</u>	<u>1,306,589</u>	<u>1,337,959</u>
Expenditures					
Debt service interest & fees	36,875	36,875	21,510	15,625	-
Administrative expenses	4,920	4,920	2,870	2,870	17,570
Professional services	-	194,276	113,328	198,151	722,111
Capital outlays	-	281,557	164,241	809,366	7,252,224
Encumbrances	-	-	-	(847,013)	(3,247,214)
Total expenditures	<u>41,795</u>	<u>517,628</u>	<u>301,949</u>	<u>178,999</u>	<u>4,744,691</u>
Revenue over (under) expenditures	1,277,265	801,432	988,169	1,127,590	(3,406,732)
Fund balance, beginning of year	<u>(3,178,830)</u>	<u>(1,520,424)</u>	<u>(1,520,424)</u>	<u>(1,520,424)</u>	<u>4,067,940</u>
Fund balance, end of period	<u>\$ (1,901,565)</u>	<u>\$ (718,992)</u>	<u>\$ (532,255)</u>	<u>\$ (392,834)</u>	<u>\$ 661,208</u>

City of Franklin
Tax Increment Financing District #5
Balance Sheet
July 31, 2021 and 2020

<u>Assets</u>	2021	2020
Cash & investments	\$ 833,435	\$ 862,714
Total Assets	<u>\$ 833,435</u>	<u>\$ 862,714</u>
<u>Liabilities and Fund Balance</u>		
Accounts Payable	\$ -	\$ -
Total Liabilities	-	-
Assigned fund balance	833,435	862,714
Total Liabilities and Fund Balance	<u>\$ 833,435</u>	<u>\$ 862,714</u>

Statement of Revenue, Expenses and Fund Balance
For the Seven months ended July 31, 2021 and 2020

	2021 Annual Budget	2021 Amended Budget	2021 Year-to-Date Budget	2021 Year-to-Date Actual	2020 Year-to-Date Actual
Revenue					
General Property Tax Levy	\$ 501,000	\$ 501,000	\$ 501,000	\$ 478,853	\$ 721,361
Payment in Lieu of Tax	91,600	91,600	53,433	170,170	91,560
State Exempt Aid	25,640	25,640	14,957	25,643	12,883
Investment Income	-	-	-	109	22,198
Total Revenue	<u>838,240</u>	<u>838,240</u>	<u>697,723</u>	<u>674,775</u>	<u>848,002</u>
Expenditures					
Debt service principal	-	-	-	-	4,000,000
Debt service interest & fees	822,646	822,646	324,265	300,315	427,844
Administrative expenses	12,920	12,920	6,921	7,560	4,130
Professional services	150	16,429	8,776	17,501	35,186
Encumbrances	-	-	-	(16,279)	(27,160)
Total expenditures	<u>835,716</u>	<u>851,995</u>	<u>339,962</u>	<u>309,097</u>	<u>4,440,000</u>
Revenue over (under) expenditures	2,524	(13,755)	357,761	365,678	(3,591,998)
Fund balance, beginning of year	<u>541,758</u>	<u>467,757</u>	<u>467,757</u>	<u>467,757</u>	<u>4,454,712</u>
Fund balance, end of period	<u>\$ 544,282</u>	<u>\$ 454,002</u>	<u>\$ 825,518</u>	<u>\$ 833,435</u>	<u>\$ 862,714</u>

City of Franklin
Tax Increment Financing District #6 - Loomis & Ryan
Balance Sheet
July 31, 2021 and 2020

<u>Assets</u>	2021	2020
Cash & investments	\$ 433,084	\$ 5,313,497
Total Assets	<u>\$ 433,084</u>	<u>\$ 5,313,497</u>
<u>Liabilities and Fund Balance</u>		
Accounts Payable	\$ -	\$ 1,320
Total Liabilities	-	1,320
Assigned fund balance	433,084	5,312,177
Total Liabilities and Fund Balance	<u>\$ 433,084</u>	<u>\$ 5,313,497</u>

Statement of Revenue, Expenses and Fund Balance
For the Seven months ended July 31, 2021 and 2020

	2021 Annual Budget	2021 Year-to-Date Budget	2021 Year-to-Date Actual	2020 Year-to-Date Actual
Revenue				
Investment Income	\$ -	\$ -	\$ 461	\$ 25,958
Bond Proceeds	3,000,000	-	-	-
Miscellaneous revenue	-	-	89	-
Total Revenue	<u>3,000,000</u>	<u>-</u>	<u>550</u>	<u>25,958</u>
Expenditures				
Debt service interest & fees	392,850	176,663	120,688	109,850
Administrative expenses	41,480	24,197	24,184	17,570
Professional services	150	150	8,708	29,812
Capital outlays	3,000,000	1,750,000	69,663	-
Encumbrances	-	-	(69,663)	(1,600)
Total expenditures	<u>3,434,480</u>	<u>1,951,010</u>	<u>153,580</u>	<u>155,632</u>
Revenue over (under) expenditures	(434,480)	(1,951,010)	(153,030)	(129,674)
Fund balance, beginning of year	<u>212,851</u>	<u>586,114</u>	<u>586,114</u>	<u>5,441,851</u>
Fund balance, end of period	<u>\$ (221,629)</u>	<u>\$ (1,364,896)</u>	<u>\$ 433,084</u>	<u>\$ 5,312,177</u>

City of Franklin
Tax Increment Financing District #7 - Velo Village
Balance Sheet
July 31, 2021 and 2020

<u>Assets</u>	2021	2020
Cash & investments	\$ 245,897	\$ 394,577
Accounts receivable	4,500,000	4,500,000
Total Assets	<u>\$ 4,745,897</u>	<u>\$ 4,894,577</u>
<u>Liabilities and Fund Balance</u>		
Advances from Other Funds	\$ 1,500,000	\$ 1,745,000
Deferred Inflow	4,500,000	4,500,000
Total Liabilities	<u>6,000,000</u>	<u>6,245,000</u>
Assigned fund balance	(1,254,103)	(1,350,423)
Total Liabilities and Fund Balance	<u>\$ 4,745,897</u>	<u>\$ 4,894,577</u>

Statement of Revenue, Expenses and Fund Balance
For the Seven months ended July 31, 2021 and 2020

	2021 Annual Budget	2021 Amended Budget	2021 Year-to-Date Budget	2021 Year-to-Date Actual	2020 Year-to-Date Actual
Revenue					
General Property Tax Levy	\$ 12,500	\$ 12,500	\$ 12,500	\$ 11,911	\$ -
Investment Income	270,000	270,000	157,500	136,139	14,598
Total Revenue	<u>282,500</u>	<u>282,500</u>	<u>170,000</u>	<u>148,050</u>	<u>14,598</u>
Expenditures					
Debt service interest & fees	153,271	153,271	89,408	77,570	33,507
Administrative expenses	6,120	6,120	3,570	3,570	4,130
Professional services	150	9,250	5,396	2,100	9,629
Capital outlays	-	-	-	-	166,663
Development incentive & obligation payments	-	-	-	-	4,500,000
Encumbrances	-	-	-	(1,600)	(5,900)
Total expenditures	<u>159,541</u>	<u>168,641</u>	<u>98,374</u>	<u>81,640</u>	<u>4,708,029</u>
Revenue over (under) expenditures	122,959	113,859	71,626	66,410	(4,693,431)
Fund balance, beginning of year	<u>3,378,636</u>	<u>(1,320,513)</u>	<u>(1,320,513)</u>	<u>(1,320,513)</u>	<u>3,343,008</u>
Fund balance, end of period	<u>\$ 3,501,595</u>	<u>\$ (1,206,654)</u>	<u>\$ (1,248,887)</u>	<u>\$ (1,254,103)</u>	<u>\$ (1,350,423)</u>

City of Franklin
Tax Increment Financing District #8 - S 27th Business Park
Balance Sheet
July 31, 2021 and 2020

<u>Assets</u>	2021	2020
Cash & investments	\$ (7,597)	\$ (13,862)
Total Assets	<u>\$ (7,597)</u>	<u>\$ (13,862)</u>
<u>Liabilities and Fund Balance</u>		
Accounts Payable	\$ 2,554	\$ 2,116
Advances from Other Funds	\$ 100,000	\$ -
Total Liabilities	<u>102,554</u>	<u>2,116</u>
Assigned fund balance	<u>(110,151)</u>	<u>(15,978)</u>
Total Liabilities and Fund Balance	<u>\$ (7,597)</u>	<u>\$ (13,862)</u>

Statement of Revenue, Expenses and Fund Balance
For the Seven months ended July 31, 2021 and 2020

	2021 Annual Budget	2021 Amended Budget	2021 Year-to-Date Budget	2021 Year-to-Date Actual	2020 Year-to-Date Actual
Revenue					
Bond Proceeds	\$ 6,000,000	\$ 6,000,000	\$ -	\$ -	\$ -
Total Revenue	<u>6,000,000</u>	<u>6,000,000</u>	<u>-</u>	<u>-</u>	<u>-</u>
Expenditures					
Debt service interest & fees	100,000	100,000	58,333	-	-
Administrative expenses	46,480	46,480	27,113	27,124	-
Professional services	623,150	652,402	380,569	37,502	15,978
Capital outlays	5,150,500	5,150,500	3,004,458	47,431	-
Development incentive & obligation payments	2,500,000	2,500,000	1,458,333	-	-
Encumbrances	-	-	-	(65,117)	-
Total expenditures	<u>8,420,130</u>	<u>8,449,382</u>	<u>4,928,806</u>	<u>46,940</u>	<u>15,978</u>
Revenue over (under) expenditures	(2,420,130)	(2,449,382)	(4,928,806)	(46,940)	(15,978)
Fund balance, beginning of year	<u>(63,211)</u>	<u>(63,211)</u>	<u>(63,211)</u>	<u>(63,211)</u>	<u>-</u>
Fund balance, end of period	<u>\$ (2,483,341)</u>	<u>\$ (2,512,593)</u>	<u>\$ (4,992,017)</u>	<u>\$ (110,151)</u>	<u>\$ (15,978)</u>

City of Franklin
Solid Waste Collection Fund
Balance Sheet
July 31, 2021 and 2020

<u>Assets</u>	<u>2021</u>	<u>2020</u>
Cash and investments	\$ 1,329,001	\$ 1,325,792
Tax Receivables	46	46
Accrued Receivables	1,639	251
Total Assets	<u>\$ 1,330,686</u>	<u>\$ 1,326,089</u>
<u>Liabilities and Fund Balance</u>		
Accounts payable	\$ 169,263	\$ -
Due to Other Government	-	2
Accrued salaries & wages	227	460
Restricted fund balance	1,161,196	1,325,627
Total Liabilities and Fund Balance	<u>\$ 1,330,686</u>	<u>\$ 1,326,089</u>

Statement of Revenue, Expenses and Fund Balance
For the Seven months ended July 31, 2021 and 2020

<u>Revenue</u>	<u>2021 Original Budget</u>	<u>2021 YTD Budget</u>	<u>2021 Year-to-Date Actual</u>	<u>2020 Year-to-Date Actual</u>
Grants	\$ 69,000	69,000	\$ 69,357	\$ 68,834
User Fees	1,539,449	1,537,977	1,545,645	1,535,593
Landfill Operations-tippage	370,000	185,427	240,097	184,340
Investment Income	20,000	13,656	1,650	15,381
Sale of Recyclables	-	-	2,789	1,167
Total Revenue	<u>1,998,449</u>	<u>1,806,060</u>	<u>1,859,538</u>	<u>1,805,315</u>
Expenditures:				
Personal Services	16,384	9,451	3,671	8,117
Refuse Collection	766,300	464,264	425,703	357,680
Recycling Collection	718,000	435,130	425,384	356,408
Leaf & Brush Pickups	60,000	22,000	15,345	20,000
Tippage Fees	483,300	238,927	238,681	192,073
Miscellaneous	5,000	3,253	1,965	535
Total expenditures	<u>2,048,984</u>	<u>1,173,025</u>	<u>1,110,749</u>	<u>934,813</u>
Revenue over (under) expenditures	(50,535)	<u>633,035</u>	748,789	870,502
Fund balance, beginning of year	<u>466,131</u>		<u>412,407</u>	<u>455,125</u>
Fund balance, end of period	<u>\$ 415,596</u>		<u>\$ 1,161,196</u>	<u>\$ 1,325,627</u>

**City of Franklin
American Rescue Plan
Balance Sheet
July 31, 2021 and 2020**

<u>Assets</u>	<u>2021</u>	<u>2020</u>
Cash and investments	\$ 1,874,143	\$ -
Accounts receivable	-	-
Total Assets	<u>\$ 1,874,207</u>	<u>\$ -</u>
<u>Liabilities and Fund Balance</u>		
Accounts payable	\$ -	\$ -
Assigned fund balance	1,874,207	-
Total Liabilities and Fund Balance	<u>\$ 1,874,207</u>	<u>\$ -</u>

**Comparative Statement of Revenue, Expenses and Fund Balance
For the Seven months ended July 31, 2021 and 2020**

	2021 Original Budget	2021 Amended Budget	2021 Year-to-Date Budget	2021 Year-to-Date Actual	2020 Year-to-Date Actual
Revenue:					
Intergovernmental	\$ -	\$ -	\$ -	\$ 1,874,207	\$ -
Total revenue	-	-	-	1,874,207	-
Expenditures:					
Personal Services	-	-	-	-	-
Total expenditures	-	-	-	-	-
Revenue over (under) expenditures	-	-	-	1,874,207	-
Fund balance, beginning of year	-	-		-	-
Fund balance, end of period	\$ -	\$ -		\$ 1,874,207	\$ -

**City of Franklin
Capital Outlay Fund
Balance Sheet
July 31, 2021 and 2020**

<u>Assets</u>	<u>2021</u>	<u>2020</u>
Cash and investments	\$ 922,297	\$ 771,157
Accounts Receivables	7,676	2,754
Total Assets	<u>\$ 929,973</u>	<u>\$ 773,911</u>
<u>Liabilities and Fund Balance</u>		
Accounts payable	\$ 23,908	\$ 6,500
Assigned fund balance	906,065	767,411
Total Liabilities and Fund Balance	<u>\$ 929,973</u>	<u>\$ 773,911</u>

**Statement of Revenue, Expenses and Fund Balance
For the Seven months ended July 31, 2021 and 2020**

<u>Revenue</u>	<u>2021 Original Budget</u>	<u>2021 Amended Budget</u>	<u>2021 Year-to-Date Budget</u>	<u>2021 Year-to-Date Actual</u>	<u>2020 Year-to-Date Actual</u>
Property Taxes	\$ 296,000	\$ 296,000	\$ 296,000	\$ 296,000	\$ 295,700
Grants	15,000	15,000	8,750	13,563	16,943
Landfill Siting	904,100	904,100	622,756	542,735	285,672
Investment Income	7,800	7,800	4,550	987	9,943
Miscellaneous Revenue	41,250	41,250	23,533	36,708	23,107
Notes Proceeds	542,000	542,000	-	-	-
Total Revenue	<u>1,806,150</u>	<u>1,806,150</u>	<u>955,589</u>	<u>889,993</u>	<u>631,365</u>
Expenditures:					
General Government	55,200	82,194	30,638	22,874 E	93,066
Public Safety	619,535	703,105	357,148	488,714 E	381,069
Public Works	551,000	648,849	415,811	592,713 E	68,647
Health and Human Services	-	-	-	-	900
Culture and Recreation	364,000	429,000	200,162	149,993 E	-
Conservation and Development	180,000	180,000	105,000	187,190 E	1,467
Contingency	40,650	40,650	16,851	-	-
Encumbrances	-	-	-	(765,313)	(189,484)
Total expenditures	<u>1,810,385</u>	<u>2,083,798</u>	<u>1,125,610</u>	<u>676,171</u>	<u>355,665</u>
Revenue over (under) expenditures	(4,235)	(277,648)	<u>(170,021)</u>	213,822	275,700
Fund balance, beginning of year	<u>311,711</u>	<u>692,243</u>		<u>692,243</u>	<u>491,711</u>
Fund balance, end of period	<u>\$ 307,476</u>	<u>\$ 414,595</u>		<u>\$ 906,065</u>	<u>\$ 767,411</u>

A Portion of Municipal Building, Police, Highway & Parks appropriations are contingent upon Landfill Siting revenue growth

E- Encumbrances

**City of Franklin
Equipment Replacement Fund
Balance Sheet
July 31, 2021 and 2020**

<u>Assets</u>	<u>2021</u>	<u>2020</u>
Cash and investments	\$ 2,087,579	\$ 2,608,752
Total Assets	<u>\$ 2,087,579</u>	<u>\$ 2,608,752</u>
<u>Liabilities and Fund Balance</u>		
Accounts payable	\$ -	\$ 52,207
Assigned fund balance	2,087,579	2,556,545
Total Liabilities and Fund Balance	<u>\$ 2,087,579</u>	<u>\$ 2,608,752</u>

**Comparative Statement of Revenue, Expenses and Fund Balance
For the Seven months ended July 31, 2021 and 2020**

	<u>2021 Original Budget</u>	<u>2021 Amended Budget</u>	<u>2021 Year-to-Date Budget</u>	<u>2021 Year-to-Date Actual</u>	<u>2020 Year-to-Date Actual</u>
Revenue:					
Landfill	\$ 604,400	\$ 604,400	\$ 397,255	\$ 357,720	\$ 294,470
Investment Income	37,400	37,400	21,817	726	57,728
Grants	-	-	-	-	178,624
Property Sales	30,000	30,000	11,653	-	-
Total revenue	<u>671,800</u>	<u>671,800</u>	<u>430,725</u>	<u>358,446</u>	<u>530,822</u>
Expenditures:					
Public Safety	361,500	391,668	247,762	355,304 E	248,353
Public Works	807,000	1,047,130	633,359	846,612 E	798,503
Encumbrances	-	-	-	(541,213)	(544,517)
Total expenditures	<u>1,168,500</u>	<u>1,438,798</u>	<u>881,121</u>	<u>660,703</u>	<u>502,339</u>
Revenue over (under) expenditures	<u>(496,700)</u>	<u>(766,998)</u>	<u>(450,396)</u>	<u>(302,257)</u>	<u>28,483</u>
Fund balance, beginning of year	<u>2,130,162</u>	<u>2,389,836</u>		<u>2,389,836</u>	<u>2,528,062</u>
Fund balance, end of period	<u>\$ 1,633,462</u>	<u>\$ 1,622,838</u>		<u>\$ 2,087,579</u>	<u>\$ 2,556,545</u>

**City of Franklin
Street Improvement Fund
Balance Sheet
July 31, 2021 and 2020**

<u>Assets</u>	<u>2021</u>	<u>2020</u>
Cash and investments	\$ 1,458,959	\$ 1,293,533
Total Assets	<u>\$ 1,458,959</u>	<u>\$ 1,293,533</u>
<u>Liabilities and Fund Balance</u>		
Accounts payable	\$ 8,001	\$ 3,017
Assigned fund balance	1,450,958	1,290,516
Total Liabilities and Fund Balance	<u>\$ 1,458,959</u>	<u>\$ 1,293,533</u>

**Statement of Revenue, Expenses and Fund Balance
For the Seven months ended July 31, 2021 and 2020**

	<u>2021 Original Budget</u>	<u>2021 Amended Budget</u>	<u>2021 Year-to-Date Totals</u>	<u>2020 Year-to-Date Totals</u>
Revenue:				
Landfill Siting	\$175,000	\$175,000	\$98,670	\$212,886
Investment Income	7,500	7,500	602	7,056
Intergovernmental Resources	1,074,500	1,074,500	803,643	600,000
Total revenue	<u>1,257,000</u>	<u>1,257,000</u>	<u>902,915</u>	<u>819,942</u>
Expenditures:				
Street Reconstruction Program - Current Year	1,000,000	1,000,000	943,550 E	1,107,652
Encumbrances	-	-	(888,603)	(1,072,019)
Total expenditures	<u>1,000,000</u>	<u>1,000,000</u>	<u>54,947</u>	<u>35,633</u>
Revenue over (under) expenditures	257,000	257,000	847,968	784,309
Fund balance, beginning of year	<u>506,207</u>	<u>602,990</u>	<u>602,990</u>	<u>506,207</u>
Fund balance, end of period	<u>\$ 763,207</u>	<u>\$ 859,990</u>	<u>\$ 1,450,958</u>	<u>\$ 1,290,516</u>

**City of Franklin
Capital Improvement Fund
Balance Sheet
July 31, 2021 and 2020**

<u>Assets</u>	<u>2021</u>	<u>2020</u>
Cash and investments	\$ 1,921,563	\$ 1,201,592
Accrued receivables	516,950	951,949
Total Assets	<u>\$ 2,438,513</u>	<u>\$ 2,153,541</u>
<u>Liabilities and Fund Balance</u>		
Accounts payable	\$ (220)	\$ 43,159
Contracts Payable	51,133	78,883
Miscellaneous Payables	-	172,000
Deferred Inflow	508,000	943,000
Assigned fund balance	1,879,600	916,499
Total Liabilities and Fund Balance	<u>\$ 2,438,513</u>	<u>\$ 2,153,541</u>

**Statement of Revenue, Expenses and Fund Balance
For the Seven months ended July 31, 2021 and 2020**

	<u>2021 Original Budget</u>	<u>2021 Amended Budget</u>	<u>2021 Year-to-Date Budget</u>	<u>2021 Year-to-Date Totals</u>	<u>2020 Year-to-Date Totals</u>
Revenue:					
Block Grants	\$ -	\$ -	\$ -	\$ -	\$ 173,365
Other Grants-NEXT Gen 911 Grant	-	65,000	-	14,327	-
DPW Charges	-	-	-	-	2,041
Landfill Siting	51,500	51,500	12,303	24,682	122,578
Transfers from Other Funds	5,000,000	5,000,000	-	-	-
Transfers from General Funds	-	350,000	-	-	-
Transfers from Impact Fees	2,209,750	2,294,545	329,709	42,608	49,079
Transfers from Connection Fees	1,140,000	1,140,000	665,000	-	-
Bond Proceeds	1,458,000	1,458,000	850,500	-	-
Donations	86,000	86,000	86,000	-	-
Investment Income	5,000	5,000	2,917	2,337	14,233
Total revenue	<u>9,950,250</u>	<u>10,450,045</u>	<u>1,946,429</u>	<u>83,954</u>	<u>361,296</u>
Expenditures:					
General Government	350,000	712,408	12,408	(56,873) E	109,268
Public Safety	499,500	694,572	111,778	209,715 E	1,204,798
Public Works	252,000	1,203,775	102,741	1,111,960 E	415,203
Culture and Recreation	410,000	692,301	198,686	265,015 E	239,920
Sewer & Water	8,140,000	8,140,000	4,111,667	-	242,496
Contingency	150,000	84,065	126,851	170 E	27,170
Bond/Note Issuance Cost	100,000	100,000	-	-	-
Encumbrances	-	-	-	(1,302,840)	(781,582)
Total expenditures	<u>9,901,500</u>	<u>11,627,121</u>	<u>4,664,131</u>	<u>227,147</u>	<u>1,457,273</u>
Revenue over (under) expenditures	48,750	(1,177,076)	<u>(2,717,702)</u>	(143,193)	(1,095,977)
Fund balance, beginning of year	<u>396,395</u>	<u>2,022,793</u>	<u>2,022,793</u>	<u>2,022,793</u>	<u>2,012,476</u>
Fund balance, end of period	<u>\$ 445,145</u>	<u>\$ 845,717</u>	<u>\$ 1,879,600</u>	<u>\$ 916,499</u>	

City of Franklin
Capital Improvement Fund
Budget 2021

Project/Name	Activity	Amended				Actual Thru June 30, 2021			
		Total	Funding Source	Amount	Net City Funds	Total	Funding Source	Amount	Net City Funds
Landfill Siting Revenue					\$ 51,500				\$ 24,682
Investment Income		5,000			5,000				2,337
Total Revenue		5,000			56,500	-			27,019
GENERAL GOVERNMENT									
City Hall Roof, HVAC		10,013			10,013	(59,268)			(59,268)
Historical Society - Barn		2,395			2,395	2,395			2,395
PARK DEVELOPMENT									
Pleasant View Park - improvements	Park	300,000	Park Impact Fees	141,000	159,000		Park Impact Fees		
Pleasant View Park pavilion	Park	19,287		205	19,082	23,839	Park Impact Fees	9,400	14,439
116th Street Trail - design	Park	100,762		60,000	40,762	100,454	Park Impact Fees	10,406	90,048
Park Signage	Park	20,000			20,000				-
Church Street pathway	Park	75,000	Park Impact Fees	53,250	21,750		Park Impact Fees		-
Ernie Lake aeration system	Park	15,000			15,000	13,470			13,470
Pleasant View Park - Improvement Planning	Park	88,616	Park Impact Fees	40,090	48,526	88,616	Park Impact Fees	22,802	65,814
Ryan Creek Trail Master Plan	Park	57,000			57,000				
Ryan Creek - Ryan Meadows Segment	Park	87,400			87,400				
Land Purchase - ROW - Water Tower Park	Park	3,636			3,636	3,636			3,636
Public Safety									
Replace roof @ Police Dept	Pub Safety	127,500			127,500				-
Video Surveillance Cameras - replacement @ Police Bldg	Pub Safety	247,000			247,000				-
911 Phone system - replacement	Pub Safety	125,000		65,000	60,000	109,458		14,327	95,131
Indoor Shooting Range	Pub Safety	39,054			39,054	-			-
In Squad Video Storage		58,000			58,000				-
Fire Station Specific Alerting	Pub Safety	37,313			37,313	38,089			38,089
Inspection Software	Pub Safety	60,705			60,705	62,168			62,168
Public Works									
Marquette Ave construction - 49th to 51st	Pub Wrks	981,455	Grant	86,000	895,455	972,836	Grant		972,836
S 51st/Drexel Roundabout	Pub Wrks	9,003			9,003	9,003			9,003
S 68th St/Loomis to Puetz - sight line	Pub Wrks	27,741			27,741	27,741			27,741
Water Main on W Minnesota Ave	Pub Wrks	140,000	Utility Development	140,000	-		Utility Development		-
Water Tower in Southwest Zone	Pub Wrks	4,000,000	Water Impact Fees	2,000,000	2,000,000		Water Impact Fees		-
Highway Building addition - design work	Pub Wrks	30,000	Water Fund	2,000,000	(2,000,000)	23,375	Water Fund		-
Replace Industrial Park temporary Lift Station	Pub Wrks	3,000,000	Transfer in from Sewer Fund	3,000,000	-		Transfer in from Sewer Fund		-
Curb replacements	Pub Wrks	35,000			35,000	-			-
Traffic Signals - Emergency Veh Preemption	Pub Wrks	32,375			32,375	32,375			32,375
Rawson Homes - Storm sewer	Pub Wrks					18,207			18,207
Land purchase - ROW - 51st & Drexel	Pub Wrks	13,800			13,800	13,800			13,800
Muni Buildings Improvements		700,000		350,000	350,000				-
Total Approved Projects		10,443,055		7,935,545	2,507,510	1,480,194		56,935	1,423,259
PROJECTS PENDING APPROVAL									
Water Projects	Utility	500,000	Water	500,000	-		Water		
Sewer Projects	Utility	500,000	Sewer Connection Fees	500,000	-		Sewer Connection Fees		
Contingency		84,065			84,065	170			170
Encumbrances									(1,253,218)
Total Projects		11,527,120		8,935,545	2,591,575	1,480,364		56,935	170,211
Net Revenue (Expenditures)					(2,535,075)				(143,192)
Loan Proceeds					1,458,000				
Transaction fees					(100,000)				
Net Rev (Expenditures)					(1,177,075)				(143,192)
Beginning Fund balance					2,022,793				2,022,793
Ending Fund Balance					\$ 845,718				\$ 1,879,601

** When contract awarded a \$58,000 reduction in an construction engineering contract was anticipated

**City of Franklin
Development Fund
Balance Sheet
July 31, 2021 and 2020**

<u>Assets</u>	<u>2021</u>	<u>2020</u>
Cash and investments	\$ 6,617,141	\$ 7,142,888
Impact fees receivable	-	232,640
Due From TID's	2,800,000	1,745,000
Total Assets	\$ 9,417,141	\$ 9,120,528
<u>Liabilities and Fund Balance</u>		
Accrued Liabilities	\$ 337,643	\$ 475,463
Unearned Revenue - Other	-	232,640
Assigned fund balance	9,079,498	8,412,425
Total Liabilities and Fund Balance	\$ 9,417,141	\$ 9,120,528

**Comparative Statement of Revenue, Expenses and Fund Balance
For the Seven months ended July 31, 2021 and 2020**

	<u>2021 Original Budget</u>	<u>2021 Amended Budget</u>	<u>2021 Year-to-Date Budget</u>	<u>2021 Year-to-Date Actual</u>	<u>2020 Year-to-Date Actual</u>
Revenue:					
Impact Fee Parks	\$ 146,117	\$ 146,117	\$ 74,973	\$ 64,698	\$ 148,933
Southwest Sewer Service Area	112,000	112,000	39,650	83,865	60,435
Administration	7,535	7,535	3,915	2,249	2,475
Water	498,000	498,000	238,551	140,285	169,405
Transportation	158,825	158,825	82,135	30,400	9,516
Fire Protection	108,875	108,875	56,183	20,835	23,345
Law Enforcement	124,750	124,750	66,215	23,853	41,025
Library	24,750	24,750	13,175	11,350	41,348
Total Impact Fees	1,180,852	1,180,852	574,797	377,535	496,482
Investment Income	106,250	106,250	61,979	4,189	107,449
Interfund Interest Income	79,250	79,250	46,229	29,567	2,686
Total revenue	1,366,352	1,366,352	683,005	411,291	606,617
Expenditures:					
Other Professional Services	15,000	18,321	8,711	3,321 E	29,184
Transfer to Debt Service					
Law Enforcement	205,182	205,182	114,382	180,900	199,856
Fire	42,941	42,941	30,945	-	39,863
Transportation	71,886	71,886	30,975	-	64,249
Library	134,000	134,000	72,124	-	93,982
Total Transfers to Debt Service	454,009	454,009	248,426	180,900	397,950
Transfer to Capital Improvement Fund					
Park	1,259,250	1,344,045	190,390	135,608 E	49,079
Water	2,000,000	2,000,000	1,166,666	-	-
Total Transfers to Capital Improve	3,259,250	3,344,045	1,357,056	135,608	49,079
Capital Improvements					
Park	-	-	-	-	25,285
Sewer Fees	75,000	75,000	43,750	-	-
Water Fees	250,000	250,000	125,000	-	554,760
Encumbrances	-	-	-	(96,321)	(28,606)
Total expenditures	4,053,259	4,141,375	1,782,943	223,508	1,027,652
Revenue over (under) expenditures	(2,686,907)	(2,775,023)	(1,099,938)	187,783	(421,035)
Fund balance, beginning of year	8,528,646	8,891,715		8,891,715	8,833,460
Fund balance, end of period	\$ 5,841,739	\$ 6,116,692		\$ 9,079,498	\$ 8,412,425

**City of Franklin
Utility Development Fund
Balance Sheet
July 31, 2021 and 2020**

<u>Assets</u>	<u>2021</u>	<u>2020</u>
Cash and investments - Water	\$ 1,052,221	\$ 1,010,734
Cash and investments - Sewer	1,368,214	1,283,999
Special Assessment - Water Current	39,388	60,216
Special Assessment - Water Deferred	127,977	136,365
Special Assessment - Sewer Current	105,205	143,426
Reserve for Uncollectible	-	(16,777)
Total Assets	<u>\$ 2,693,005</u>	<u>\$ 2,617,963</u>
 <u>Liabilities and Fund Balance</u>		
Unearned Revenue	\$ 272,570	\$ 323,231
Total Fund Balance	2,420,435	2,294,732
Total Liabilities and Fund Balance	<u>\$ 2,693,005</u>	<u>\$ 2,617,963</u>

**Comparative Statement of Revenue, Expenses and Fund Balance
For the Seven months ended July 31, 2021 and 2020**

	<u>2021 Original Budget</u>	<u>2021 Year-to-Date Budget</u>	<u>2021 Year-to-Date Actual</u>	<u>2020 Year-to-Date Actual</u>
Revenue:				
Special Assessments-				
Water	\$ 45,000	\$ 18,716	\$ 12,720	\$ 48,906
Sewer	40,000	11,270	-	19,488
Connection Fees-				
Sewer	40,000	20,287	15,944	19,702
 Total Assessments & Connection Fees	 125,000	 50,273	 28,664	 88,096
Special Assessment Interest	-	-	152	292
Investment Income	17,500	10,208	3,442	11,547
Total revenue	<u>142,500</u>	<u>60,481</u>	<u>32,258</u>	<u>99,935</u>
 Transfer to Capital Improvement Fund				
Water	500,000	291,666	-	-
Sewer	500,000	291,667	-	-
Total Transfers to Capital Improven	<u>1,000,000</u>	<u>583,333</u>	<u>-</u>	<u>-</u>
Revenue over (under) expenditures	(857,500)	(522,852)	32,258	99,935
Fund balance, beginning of year	<u>2,373,797</u>	<u>2,388,177</u>	<u>2,388,177</u>	<u>2,194,797</u>
Fund balance, end of period	<u>\$ 1,516,297</u>	<u>\$ 1,865,325</u>	<u>\$ 2,420,435</u>	<u>\$ 2,294,732</u>

**City of Franklin
Self Insurance Fund - Actives
Balance Sheet
July 31, 2021 and 2020**

<u>Assets</u>	2021	2020
Cash and investments	\$ 3,554,248	\$ 3,366,483
Accounts receivable	324	324
Total Assets	\$ 3,554,572	\$ 3,366,807
<u>Liabilities and Net Assets</u>		
Accounts payable	\$ 892	\$ -
Claims payable	311,800	175,000
Unrestricted net assets	3,241,880	3,191,807
Total Liabilities and Fund Balance	\$ 3,554,572	\$ 3,366,807

**City of Franklin Self Insurance Fund - Actives
Statement of Revenue, Expenses and Fund Balance
For the Seven months ended July 31, 2021 and 2020**

	2021 Original Budget	2021 Year-to-Date Budget	2021 Year-to-Date Actual	2020 Year-to-Date Actual
Revenue				
Medical Premiums-City	\$ 2,213,369	\$ 1,304,650	\$ 1,342,101	\$ 1,424,734
Medical Premiums-Employee	478,630	282,184	255,761	290,973
Other - Invest Income, Rebates	159,800	93,217	37,778	154,125
Medical Revenue	<u>2,851,799</u>	<u>1,680,051</u>	<u>1,635,640</u>	<u>1,869,832</u>
Dental Premiums-City	112,000	66,624	97,651	69,725
Dental Premiums-Retirees	3,600	2,698	1,944	1,944
Dental Premiums-Employee	60,000	35,702	35,080	33,917
Dental Revenue	<u>175,600</u>	<u>105,024</u>	<u>134,675</u>	<u>105,586</u>
Total Revenue	<u>3,027,399</u>	<u>1,785,075</u>	<u>1,770,315</u>	<u>1,975,418</u>
Expenditures:				
Medical				
Medical claims	1,848,536	1,006,177	1,102,712	501,952
Prescription drug claims	-	-	106,909	102,559
Refunds-Stop Loss Coverage	-	-	(78,272)	(5,394)
Total Claims	<u>1,848,536</u>	<u>1,006,177</u>	<u>1,131,349</u>	<u>599,117</u>
Medical Claim Fees	107,041	65,650	101,113	88,854
Stop Loss Premiums	540,610	316,867	308,804	301,947
Other - Miscellaneous	177,245	74,547	11,710	13,073
HSA Contributions	224,650	129,606	76,750	180,281
Plan Administration	-	-	27,475	-
Total Medical Costs	<u>2,898,082</u>	<u>1,592,847</u>	<u>1,657,201</u>	<u>1,183,272</u>
Dental				
Active Employees & COBRA	179,000	101,644	108,697	86,293
Retiree	5,700	3,606	6,168	2,567
Total Dental Costs	<u>184,700</u>	<u>105,250</u>	<u>114,865</u>	<u>88,860</u>
Total Expenditures	<u>3,082,782</u>	<u>1,698,097</u>	<u>1,772,066</u>	<u>1,272,132</u>
Revenue over (under) expenditures	(55,383)	<u>\$ 86,978</u>	(1,751)	703,286
Net assets, beginning of year	<u>2,488,521</u>		<u>3,243,631</u>	<u>2,488,521</u>
Net assets, end of period	<u>\$ 2,433,138</u>		<u>\$ 3,241,880</u>	<u>\$ 3,191,807</u>

City of Franklin
City of Franklin Post Employment Benefits Trust
Balance Sheet
July 31, 2021 and 2020

<u>Assets</u>	<u>2021</u>	<u>2020</u>
Cash and investments	\$ 217,158	\$ 162,153
Investments held in trust - Fixed Inc	2,802,298	2,580,536
Investments held in trust - Equities	5,714,685	3,863,323
Accounts receivable	15,416	13,199
Total Assets	\$ 8,749,557	\$ 6,619,211
 <u>Liabilities and Net Assets</u>		
Accounts payable	\$ -	\$ -
Claims payable	16,600	10,000
Net assets held in trust for post emp	8,732,957	6,609,211
Total Liabilities and Fund Balance	\$ 8,749,557	\$ 6,619,211

City of Franklin Post Employment Benefits Trust
Statement of Revenue, Expenses and Fund Balance
For the Seven months ended July 31, 2021 and 2020

<u>Revenue</u>	<u>2021</u> <u>Year-to-Date</u> <u>Actual</u>	<u>2020</u> <u>Year-to-Date</u> <u>Actual</u>
ARC Medical Charges - City	\$ 158,051	\$ 132,227
Medical Charges - Retirees	119,428	104,867
Medical Revenue	<u>277,479</u>	<u>237,094</u>
 Expenditures:		
Retirees-Medical		
Medical claims	103,483	80,175
Prescription drug claims	64,953	59,776
Total Claims-Retirees	<u>168,436</u>	<u>139,951</u>
Medical Claim Fees	15,085	12,954
Stop Loss Premiums	54,231	40,910
Miscellaneous Expense	133	(195)
Total Medical Costs-Retirees	<u>237,885</u>	<u>193,747</u>
Revenue over (under) expenditures	39,594	43,347
Annual Required Contribution-Net	53,599	98,059
Other - Investment Income, etc	844,850	(70,955)
Total Revenues	<u>898,449</u>	<u>27,104</u>
Net Revenues (Expenditures)	938,043	70,451
Net assets, beginning of year	<u>7,794,914</u>	<u>6,538,760</u>
Net assets, end of period	<u>\$ 8,732,957</u>	<u>\$ 6,609,211</u>

APPROVAL <i>Slw</i>	REQUEST FOR COMMON COUNCIL ACTION	MEETING DATE Sept 7, 2021
REPORTS & RECOMMENDATIONS	Resolution appointing Clifton Larson Allen, LLC as auditors for the City of Franklin 2021 Financial Statements	ITEM NUMBER <i>G.15.</i>

Background

Franklin issued an Audit Services Request for Proposal July 14, 2021. Responses were due by 3 pm on August 6, 2021. Six proposals were received, one from the incumbent firm, and five invited firms.

The request was for three audit years with two optional years. The Request had as an alternative the preparation of the Annual Financial Report, formerly prepared by staff.

Analysis

Two firms did not appear qualified to serve the City's financial needs, and are not recommended for consideration. The remaining four firms have varying levels of expertise and quotes.

With the City receiving \$3.7 million in American Rescue Plan funds, a Single Audit of Federal and State Grant programs will likely be required for several years. The last single audits was in 2010. 2020 requires a Single Audit, which is currently in progress (Pandemic related grant funds).

With the increased TID activity, various TID audits will be required in the next few years. TID6 will require the 30% audit in 2021, TID3 & 4 are scheduled to close in 2022 or 2023, and will require closure audits. Depending upon developments, TID8 may require a 30% audit during this period.

Reilly Penner & Benton audit proposal had a \$106,000 price tag, which was not competitive with the remaining firms.

Options

Discussion of each of the qualifying proposals follows:

1. Baker Tilly – incumbent – BT has had staffing issues the last several years, with 2020's audit staffed by a senior from Eau Claire. The Milwaukee office has not had available government experienced staff available. BT noted 'staffing continuity – we keep turnover low" which may be true for the engagement partner, however, this has not been true for field staff. That impacts how the audit is conducted.
 - a. BT has also had some 'oops' in our report during the most recent engagement period.
 - b. BT conducted their first all remote audit on the Franklin engagement in 2020 as the affects of the pandemic hit. The City's financial infrastructure permitted that to happen rather seamlessly.
 - c. BT quote for 2021 is \$67,500 (including the City, Utilities, TID's, State report and PSC report). Preparing the Annual Report would involve an additional \$5,000.
 - d. The five-year services quote is \$365,000 plus any TID, Single audit and Annual Report work.
2. Sikich – one of the two finalist during the last RFP. The proposal came from their Brookfield WI office, however the professional biographies were all from the Naperville IL office. There was no mention of any travel and entertainment fees for the engagement.
 - a. Sikich has limited WI municipal experience, with clients such as Village of Shorewood, Village of Clyman, WI Economic Development Corp, and WTC Employee Benefit Consortium. Sikich claims 'more than 450 counties, cities, village, towns and other local governments' as clients, it just does not include many WI communities.

- b. Sikich would focus in areas prior firms have not indicated as important, such as TID compliance, and Compliance with PSC guidance. Given the increased TID and Utility activity, this may be helpful to staff. Sikich claims their 'process is completely paperless.' They further request a download of our general ledger detail for forensic auditing. This would be a level not previously employed in Franklin.
 - c. Sikich provided a demonstration of their testing software, a tool that provides them a objective selection of transactions for testing as well as an analytic tool to identify transactions that warrant added scrutiny for fraud detection.
 - d. Sikich quote for 2021 is \$36,361 (including the City, Utilities, TID's, State report and PSC report). Preparing the Annual Report would involve an additional \$1,000. When compared to the other quotes, Sikich maybe looking to get their foot in the WI door so to speak with the Franklin audit.
 - e. The five-year quote is \$186,661 plus any TID, Single audit and annual report services.
3. Wipfli – has rather limited WI municipal experience, listing Beaver Dam, Superior, Sturgeon Bay and Menomonie along with the Village of Sussex as clients. When I looked at the Superior and Beaver Dam annual reports, they did not meet Franklin's standards.
 - a. Wipfli quote for 2021 was \$39,400 (including the City, Utilities, TID's, State report and PSC report). Preparing the Annual Report would involve an additional \$5,000. This fee rose to \$5,400 by year five.
 - b. The five-year quote is \$204,800 plus any TID, Single Audit and Annual report services.
 4. Clifton Larson Allen – city auditor from 2009-2015. The partner at that time provided the current quote. They claim 500+ WI government clients, with extensive PSC. They work with half of all the communities in the State with a population over 25,000.
 - a. The audit would be staffed from their Milwaukee office.
 - b. CLA's quote for 2021 is \$53,400 (including the City, Utilities, TID's, State report and PSC report). Preparing the Annual Report would involve an additional \$5,500. TID audits range from \$3,400 to \$5,500 depending upon the type. DHFS audits were quoted at \$5,500
 - c. The five-year quote is \$277,280 plus any TID, Single audit and annual report services

A schedule of the 2021 proposals for each bidder is attached.

Recommendation

The Finance Committee recommends selection of Clifton Larson Allen as the 2021-2024 auditor. CLA has the WI expertise and some prior experience auditing the City of Franklin's statements. Jake Lenell, was the partner in charge on the prior engagement with CLA and would continue in the proposed engagement. CLA fees would be nearly \$100,000 more than the lowest qualified bidder.

FINANCE COMMITTEE ACTION REQUESTED

Motion adopting Resolution 2021-xxxx appointing Clifton Larson Allen, LLC as auditors for the City of Franklin 2021 Financial Statements

STATE OF WISCONSIN: CITY OF FRANKLIN: MILWAUKEE COUNTY

RESOLUTION NO. 2021-_____

A RESOLUTION APPOINTING CLIFTON LARSON ALLEN, LLC AS AUDITORS FOR
THE CITY OF FRANKLIN 2021 FINANCIAL STATEMENTS

WHEREAS, the City of Franklin is required by State Statute to prepared audited annual financial statements;

WHEREAS, the current auditing firm has audited the City since 1992 with a brief interruption from 2009 to 2015;

WHEREAS, a request for proposal for auditing services was circulated in July, 2021 with six firms responding;

WHEREAS; the current auditing firm proposal was not competitive with other qualified firms;

WHEREAS, Clifton Larson Allen, LLC was deemed the most qualified firm with a competitive proposal listing base 2021 audit fees of \$53,400 including the City's Utility funds Tax Incremental Districts and Annual State Form C report; and

WHEREAS, the Finance Committee recommends appointing Clifton Larson Allen, LLC as auditors for the City of Franklin's 2021 Financial Report.

NOW, THEREFORE, BE IT RESOLVED, by the Mayor and Common Council of the City of Franklin appoints Clifton Larson Allen, LLC to audit the City of Franklin 2021 financial statements

Introduced at a regular meeting of the Common Council of the City of Franklin this __th day of September, 2021.

Passed and adopted at a regular meeting of the Common Council of the City of Franklin this ___th day of September, 2021.

APPROVED:

Stephen R. Olson, Mayor

ATTEST:

Sandra L. Wesolowski, City Clerk

AYES ___ NOES ___ ABSENT ___



August 6, 2021

Proposal to provide professional assurance services to:

City of Franklin

Prepared by:
Jacob S. Lenell, CPA, Principal
jake.lenell@CLAconnect.com
414-721-7572

CLAconnect.com

WEALTH ADVISORY | OUTSOURCING | AUDIT, TAX, AND CONSULTING

Investment advisory services are offered through CliftonLarsonAllen Wealth Advisors, LLC, an SEC-registered investment advisor. CLA is an independent member of Nexia International, a leading, global network of independent accounting and consulting firms. See nexia.com/member-firm-disclaimer.





CliftonLarsonAllen LLP
10401 West Innovation Drive, Suite 300
Milwaukee, WI 53226

phone 414-476-1880 fax 414-476-7286
CLAconnect.com

August 6, 2021

Mr. Paul Rotzenberg, Director of Finance & Treasurer
City of Franklin
9229 W. Loomis Rd.
Franklin, WI 53132

RE: Request for Proposals - Audit Services

Dear Mr. Rotzenberg:

Thank you for inviting us to propose our services to you. We gladly welcome the opportunity to share our approach to helping City of Franklin (the City) meet its need for professional services. The enclosed proposal responds to your request for assurance services for three fiscal years, beginning with the year ending December 31, 2021, with the possibility of extending services to 2024 and 2025.

Understanding of the scope of work

We have read the Request for Proposal and we understand the scope of work to be performed as summarized in Section Scope of Services Required of the RFP, pages 4-5.

Firm independence

CLA is independent of the City under the American Institute of Certified Public Accountant's Code of Professional Conduct and its interpretations, the U.S. Government Accountability Office's Government Auditing Standards, and the U.S. Department of Labor's 29 CFR 2509.75-9 Interpretive Bulletin and the independence rules of the Public Company Accounting Oversight Board (PCAOB), as required. Our firm-wide quality control policies and procedures foster strict compliance with these professional standards. In addition, the individuals assigned to your engagement are independent of the City.

We are confident that our extensive experience serving similar governmental entities, bolstered by our client-oriented philosophy and depth of resources, will make CLA a top qualified candidate to fulfill the scope of your engagement. The following differentiators are offered for the City's consideration:

- **Industry-specialized insight and resources.** As one of the nation's leading professional services firms, and one of the largest firms who specialize in the public sector, CLA has the experience and resources to assist the City with their audit needs. In addition to your experienced local engagement team, the City will have access to one of the country's largest and most knowledgeable pools of public sector resources.
- **OMB Uniform Guidance (UG) experience.** CLA performs single audits for hundreds of organizations annually, ranking top in the nation for the number of single audits performed by any CPA firm. The single audit requires a specific set of skills in order to properly perform the procedures. As such, we have developed a group of professionals who specialize in providing single audit services.

- **Strong methodology and responsive timeline.** In forming our overall audit approach, we have carefully reviewed the RFP and considered our past experience performing similar work for other municipalities. Our local government clients are included amongst the more than 4,150 governmental organizations we serve nationally. In Wisconsin, we currently serve over 500 governmental organizations, including over 70 Wisconsin Cities. This depth of experience means that our staff understands your complexities not just from a compliance standpoint, but also from an operational point of view.
- Our staff understands your complexities not just from a compliance standpoint, but also from an operational point of view. We have developed a work plan that takes into consideration your unique needs as a governmental entity in Wisconsin. The work plan also diminishes the disruption of your staff and operations and provides a blueprint for timely delivery of your required reports.
- **Communication and proactive leadership.** The City will benefit from a high level of hands-on service from our team's senior professionals. We can provide this level of service because, unlike other national firms, our principal-to-staff ratio is similar to smaller firms – allowing our senior level professionals to be involved and immediately available throughout the entire engagement process. Our approach helps members of the engagement team will stay abreast of key issues at the City and take an active role in addressing them.
- **A focus on providing consistent, dependable service.** We differ from other national firms in that our corporate practice focuses on the needs of non-SEC clients, thus allowing us to avoid the workload compression typically experienced by firms that must meet public companies' SEC filing deadlines. CLA is organized into industry teams, affording our clients with specialized industry-specific knowledge supplemented by valuable local service and insight. Therefore, the City will enjoy the service of members of our state and local government services team who understand the issues and environment critical to governmental entities.
- **Fresh perspective.** By engaging CLA, the City will benefit from a "fresh look" at its business operations, information systems, and financial and risk management policies and procedures. You will be served by an engagement team with enthusiasm and a desire to meet and exceed expectations. We are confident that our industry experience will bring to the City new ideas, creative approaches, and fresh opportunities to meet the financial management and accountability challenges before the City.

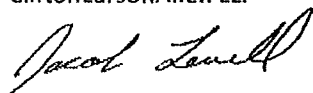
Commitment to perform the services

CLA will perform these services in accordance with professional standards, within the requested time frame outlined in the RFP and as finalized in the planning stages of the engagement.

We are confident that our technical approach, insight, and resources will result in unsurpassed client service for the City. For ease of evaluation, the structure of our proposal follows your RFP Exhibit 1 titled, *Information Requested from Applicants*. We are eager to work with you and welcome the chance to present our proposal to the finance committee or entire management team. If you have any questions about our offerings, please do not hesitate to contact me via phone at 414 721-7572 or email at jake.lenell@CLAconnect.com

Sincerely,

CliftonLarsonAllen LLP



Jacob S. Lenell, CPA
Principal



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Firm Overview

History of CLA

Established on January 2, 2012, CLA continues the legacy of quality, service, and experience that each Clifton Gunderson LLP and LarsonAllen LLP has built for more than 60 years. The joint firm is unsurpassed in the industry due to its deep industry specialization, seamless integrated capabilities, primary focus on privately held businesses and their owners as well as governmental and nonprofit organizations, and career-building strategy. We continue to hold true to the values and culture that have made the individual practices successful in the past.

Size of the firm

CLA exists to create opportunities for our clients, our people, and our communities through industry-focused wealth advisory, outsourcing, audit, tax, and consulting services. Our broad professional services allow us to serve clients more completely — from startup to succession and beyond.

Our professionals are immersed in the industries they serve and have specialized knowledge of their operating and regulatory environments. With more than 7,400 people, more than 120 U.S. locations, and a global affiliation, we bring a wide array of approaches to help clients in all markets, foreign and domestic.

REALIZE YOUR
7,400
PEOPLE

MAKING
120
U.S. LOCATIONS

WE ARE PART OF
GLOBAL
AFFILIATION



We promise to know you and help you

With CLA by your side, you can find everything you need in one firm. Professionally or personally, big or small, we can help you discover opportunities and achieve more than you believed possible.

Government practice by the numbers

Firmwide we have more than 450 professionals dedicated to state and local government practice:

Principals / Signing Directors	74
Managers / Directors	123
Seniors and Associates	225
Support/Interns	57



Participation in quality improvement programs

We work with governmental entities across the country, and our exposure to the circumstances and issues that surround your industry will allow us to serve you with exceptional knowledge and insight. We understand the specific needs and challenges of the public sector and have been serving clients similar to the City for decades.

We are actively involved in and/or are members of the following professional organizations:

- American Institute of Certified Public Accountants (AICPA)
- AICPA's State and Local Government Expert Panel
- AICPA's Government Audit Quality Center (GAQC)
- Government Finance Officers Association (GFOA)
- Special Review Committee for the GFOA's
- Certificate of Achievement for Excellence in Financial Reporting (Certificate) Program
- AICPA Single Audit Quality Task Force
- Association of Government Accountants



Our participation/membership in the aforementioned professional organizations, combined with various other technical services we subscribe to, allows use to be at the forefront of change in the government environment. We take seriously our responsibility for staying current with new accounting pronouncements, auditing standards, other professional standards and laws and regulations.

Office location assigned to the engagement

The City will be served by our specialized government engagement team located in our Milwaukee/Wauwatosa office:

CLA, Milwaukee/Wauwatosa
10401 West Innovation Drive, Suite 300
Milwaukee, WI 53226
phone 414-476-1880 | fax 414-476-7286

Industry Experience

Governmental experience

CLA offers the credibility, reputation, and resources of a leading professional services firm — without sacrificing the small-firm touch. We bring unsurpassed levels of technical excellence, commitment, and dedication to our clients, which have made us one of the most successful professional service firms serving governmental entities. Our strong reputation for serving state and local government units provides the City the confidence in their decision to select CLA as their professional service provider.

CLA has one of the largest governmental audit and consulting practices in the country, serving more than 4,150 governmental clients nationwide, and over 500 governmental clients in Wisconsin, including over 70 Wisconsin Cities. Public sector clients represent approximately one-quarter of all firm-wide revenue, and each of the government services team members has in-depth knowledge of the issues critical to complex governmental entities.

Our professionals have deep, technical experience in serving governmental entities. As a professional service firm experienced in serving state and local units of government, we are very aware of the financial and legal compliance requirements that government officials are faced with daily. This creates complexities and service



issues within a unique operational and regulatory environment. Because of our experience, we have become adept at providing our clients with insights in this environment not typical of other professional service firms.

Utilities experience

Our work with municipal governments has provided our professionals with extensive experience dealing with government owned utilities. Most often, this work is done as part of the audit of the municipality. In fact, many of our 70+ Wisconsin City clients and our 100+ Wisconsin Village clients include the audit of the municipal utilities and these clients routinely request our assistance with adjusting utility user rates (water, sewer, electric, other service charges). We also work directly with more than 30 standalone municipal utilities in Wisconsin.

Single Audit Resource Center (SARC) Award

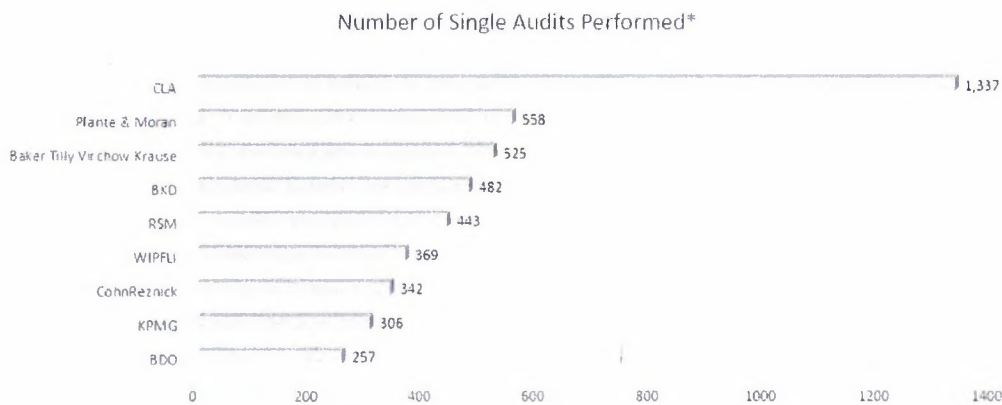
CLA received the Single Audit Resource Center (SARC) Award for Excellence in Knowledge, Value, and Overall Client Satisfaction. SARC's award recognizes audit firms that provide an outstanding service to their clients based on feedback received from an independent survey.



The survey queried 7,029 nonprofit and government entities about the knowledge of their auditors, the value of their service, and overall satisfaction with their 2019 fiscal year-end audit. The SARC award demonstrates CLA's dedication to serving the government and nonprofit industry and maintaining the most stringent regulatory requirements in those sectors.

Single audit experience

CLA has become the national leader in providing audit, tax, and many other financial services to entities similar to the City. Our client portfolio of nonprofit, government, and health care institutions represents nearly half of the firm's total revenues. The chart below illustrates CLA's experience in serving organizations that receive federal funds and demonstrates our firm's dedication to serving the government and nonprofit industry. CLA performs the largest number of single audits in the United States! We audited nearly \$56 billion dollars in federal funds in 2019.



*The information for the firms above was pulled from the Federal Audit Clearinghouse for audits with fiscal year ends between January 1, 2019 – December 31, 2019.

CLA performs the largest number of single audits in the United States! We audited more than \$56 billion dollars in federal funds in 2019.



In the current environment of increased oversight and new federal funding being available through the CARES Act in response to the COVID-19 pandemic, it is more important than ever to find qualified auditors who have significant experience with federal grants specific to the City and can enhance the quality of the City's single audit. Therefore, the single audit will be performed by a team of individuals who are managed by personnel who specialize in single audits in accordance with OMB's UG and who will offer both knowledge and quality for the City. As part of our quality control process, the single audit will be reviewed by a firm Designated Single Audit Reviewer.

The AICPA clarified auditing standard, AU-C 935 "Compliance Audits" requires risk-based concepts to be used in all compliance audits including those performed in accordance with OMB UG. Our risk-based approach incorporates this guidance.

The City needs an audit firm experienced in performing single audits and a familiarity with the specific programs in which you are involved. You will benefit from CLA's experience in this area!

References

CLA offers its clients the best of two worlds — a firm with national experience, complemented by a team dedicated to accessibility and responsiveness. We are pleased to provide you with the following references, who can describe their experience in greater detail.

City of West Allis

Client Contact	Carol Stancato, Finance Director
Phone Number Email	262-653-4184 cstancato@kenosha.org
Address	625 52 nd St Kenosha WI
Services Provided	Financial Audit, Tax 16 report, GFOA award, Single Audit

City of Wauwatosa

Client Contact	John Ruggini, Finance Director
Phone Number / Email	414-479-8962 jruggini@wauwatosa.net
Address	7725 W North Ave, Wauwatosa WI
Services Provided	Financial Audit, Tax 16 report, GFOA Award, Single Audit, Form C prep

City of West Allis

Client Contact	Jason Kaczmarek, Finance Director/Comptroller
Phone Number /Email	414-302-8252
Address	7525 W. Greenfield Ave
Services Provided	Financial Audit, Tax 16 report, GFOA Award, Single Audit, Form C prep

Services overview

Many organizations view an audit as a requirement that doesn't contribute to their overall operations or value. At CLA, we believe an audit should be an annual check-up that gives you insight into your organization, allowing you to take advantage of opportunities and improve your operations.

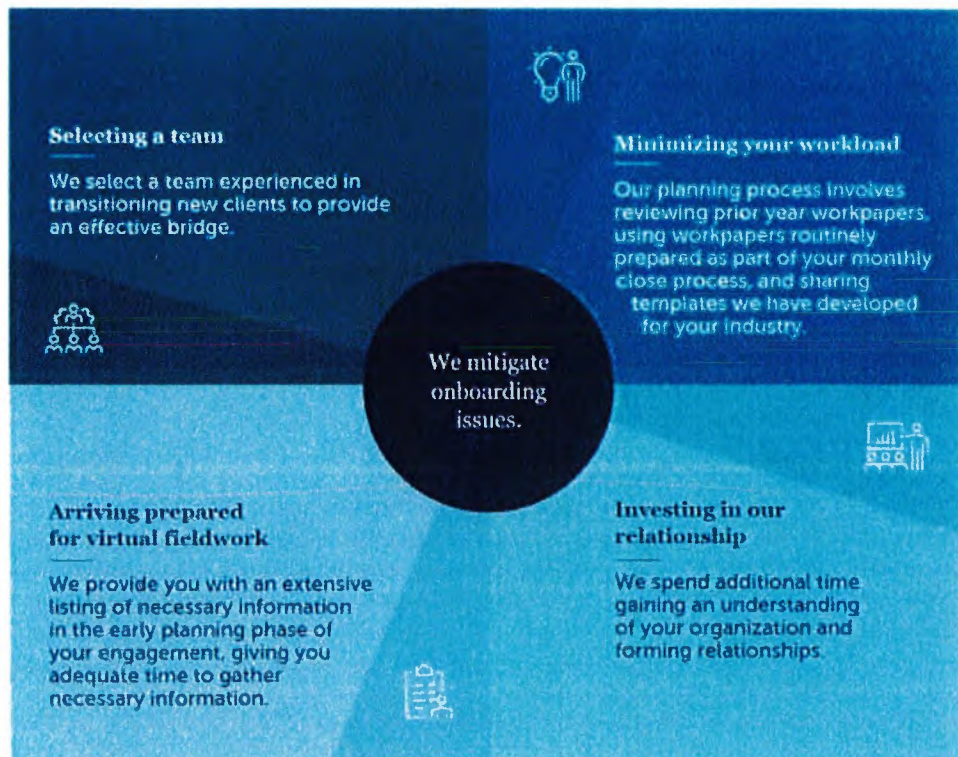
Our industry experience makes it easier. CLA auditors are industry aligned, making our audit process fast and smooth. We focus on operational efficiency and leverage our industry experience to bring you meaningful



insights that go beyond compliance requirements. A dedicated team of professionals will listen to your goals and concerns, then work with you to navigate industry pressures, changing markets, and complex standards, all with a common goal to drive your business toward success.

Your time has value. We recognize that you and your teams' day is filled with competing priorities and constant distractions. We elevate your experience by utilizing a variety of communication mediums, such as a web-based document portal, video conferencing, email, and phone calls, to keep everyone informed and on track. These mediums provide ultimate flexibility so that you can choose where and how your audit is performed. In contrast to a traditional engagement, where a team spends weeks on site at your location, our Seamless Assurance Advantage focuses on having the right team members on your engagement and isn't dependent upon any physical locations.

A simple transition. We recognize changing accounting firms presents an opportunity as well as a challenge. Our approach deliberately and effectively diminishes the impact of transition.



No surprises. We will provide the City with a *no surprises* approach to our services, based on frequent and timely communication and clarity around roles and expectations. If and when issues arise during the course of your audit, we engage the right people in a frank discussion to resolve them.

Significant involvement of principals and managers. Because our principals and managers are directly involved in your engagement, we can proactively identify significant issues and resolve them with your management. We believe that your time is best spent with key decision makers so that you can ask clarifying questions, discuss organizational strategies, and navigate sensitive reporting issues.



We tailor the audit just for you. While our audit programs provide typical approaches for given audit areas, CLA designs a client-specific, risk-based audit approach for each client. We use custom, industry-tailored programs, procedures, and tools that are designed specifically to focus on the issues that are applicable to governmental entities.

You'll learn about what we're doing and what we've found in plain, everyday language. We believe our services can contribute to better business and administrative practices. By working closely with your staff, CLA continuously learns about your organization. This involvement enables us to offer you recommendations for improvements in your systems and procedures that are more comprehensive, better understood, and more frequently implemented.

When performing an audit, we are sensitive and understanding of the fact that we report to those charged with governance. We maintain objectivity and independence in order to be able to issue our audit opinions. We will act within our philosophy of total client service, maintain the professional relationship refined with management, and fulfill our responsibilities with the utmost professionalism.

Year-long support. We encourage your staff to take advantage of our accessibility throughout the year for questions that may arise. Our people, working with you and your staff, can provide proactive advice on new accounting or GAAP pronouncements and their potential impact; help with immediate problems including answers to brief routine questions; and share insights and guidelines to assist you in planning for your future success.

TIF district experience

Many of our 70+ Wisconsin Cities, and 100+ Wisconsin Village clients have 1 or more TIF districts included within their reporting entity. As such we have extensive experience in providing audit and other services related to TIF districts. Those services include 30% audits, expenditure completion period audits, closeout audits, compilations services. We also have assisted in performing cash flow analysis of active TIDs for purposes of estimating close dates.

Types of reports issued

Through our services to our 500+ Wisconsin governmental clients, we have extensive experience with all of the reports that are included in the scope of services listed in the RFP, including Audit report on financial statements, Wisconsin State Form C and PSC report compilations, single audit reports, DHS Audit reports, WEDC examination reports, and other agreed upon procedures reports.

Contacts with state agencies

Through our services to our 500+ Wisconsin governmental clients, we have developed relationships with many of the state agencies including Department of Revenue, Department of Administration, Department of Health Services, Department of Children and Family Services, Public Service Commission,

Experience with state reporting

Through our services to our 500+ Wisconsin governmental clients, we have extensive experience in the PSC and DOR state reports. We provide compilation services for the majority of our client base, assisting them with the submission of both the state report and the PSC report. We also provide tax 16 reports, for approximately half of all of the communities in the State with a population in excess of 25,000 that are required to submit a tax 16 report along with the annual financial report.



Municipal Water Utilities Experience

CLA has provided similar audit services for several other jurisdictions similar to the Franklin Water Utility, and the firm has gained comfort with public utility billing, collecting, and accounting practices. In 2020, we performed a total of 11,700 audits, of that number, we work with over 70 Wisconsin Cities, and 100 Wisconsin Villages, and many have them incorporate a municipally owned utility within their financial entity. In addition, we provide services to over 30 standalone municipally owned utilities in WI. We have provided a partial listing below of standalone utilities for which CLA has provided financial auditing services in Wisconsin.

- | | |
|--|--|
| Abrams Sanitary District No 1 | Pensaukee Sanitary District #1 |
| Algoma Sanitary District #1, Town of | Racine Wastewater Utility |
| Algoma Utilities | Rib Mountain Metro Sewerage Department |
| Aurora Sanitary District #1 | Silver Lake Sanitary District |
| Cloverleaf Lakes Sanitary District | Symphonic Water Solutions Inc |
| Delavan Lake Sanitary District | Taycheedah Sanitary District #1 |
| Dyckesville Sanitary District | The Probst Group LLC |
| Fish Creek Sanitary District No 1 | Town of Empire Sanitary District |
| Forest Junction S D | Town of Neenah Sanitary District No 2 |
| Fox West Regional Sewerage Commission | Village of Fox Crossing |
| Freedom Sanitary District No 1 | Waupaca Chain O' Lakes Sanitary District No. 1 |
| Great Lakes Utilities | West Central Wisconsin Regional Biosolid |
| Green Bay Metropolitan Sewerage District | West Iron County Sewer Authority |
| Kenosha Water Utility | Wilson Sanitary District #1, Town of |
| Little Suamico Sanitary District | Wilson Sanitary District #2, Town of |
| Madison Metropolitan Sewerage District | Wilton Water & Sewer Utility |
| Manitowoc Public Utilities | Wrightstown Sanitary District |
| Neenah Menasha Sewerage Commission | |

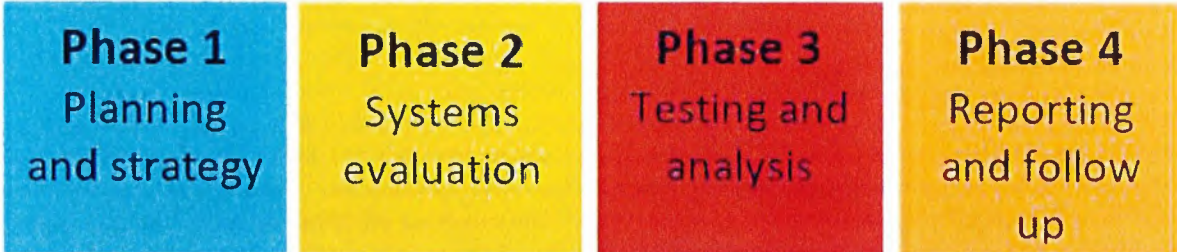
CLA serves water utilities across the country. We are accustomed to performing audits and serving clients in this industry and handling the unique challenges that the public sector industry involves. We will provide interpretative guidance resulting from new state or federal legislation that may impact the City.



Services Approach

Financial statement audit approach

We will conduct our audit in four primary phases, as shown below.



PHASE 1: PLANNING AND STRATEGY

The main objective of the planning phase is to identify significant areas and design efficient audit procedures. We will accomplish our planning by following the methodology below:

- Conduct an entrance meeting with the City – Jacob S. Lenell, CPA and staff will meet with the City personnel to mutually agree on an outline of responsibilities and timeframes. The agenda will include but not be limited to the following:
 - Establish audit approach and timing schedule
 - Assistance to be provided by the City personnel
 - Application of generally accepted accounting principles
 - Initial audit concerns
 - Concerns of the City's management
 - Establishment of report parameters and timetables
 - Progress reporting process
 - Establish principal contacts
- Gain an understanding of the operations of the City, including any changes in its organization, management style, and internal and external factors influencing the operating environment. We will utilize reference materials such as the budget and related materials, organizational charts, manuals and programs, financial, and other management information systems
- Identify significant accounts and accounting applications, critical audit areas, significant provisions of laws and regulations, and relevant controls over operations
- Determine the likelihood of effective Information Systems (IS) - related controls
- Perform a preliminary overall risk assessment
- Confirm protocol for meeting with and requesting information from relevant staff
- Establish a timetable for the fieldwork phase of the audit
- Determine a protocol for using Interactive Data Extraction and Analysis (IDEA), our data extraction and analysis software, to facilitate timely receipt and analysis of reports from management
- Compile an initial comprehensive list of items to be prepared by the City, and establish mutually agreed upon deadlines

We will document our planning through preparation of the following:



- Entity Profile. This profile will help us gain an understanding of the City activities, organizational structure, services, management, key employees and regulatory requirements.
- Preliminary Analytical Procedures. These procedures will assist in planning the nature, timing and extent of auditing procedures that will be used to obtain evidential matter. They will focus on enhancing our understanding of the financial results and will be used to identify any significant transactions and events that have occurred since the last audit date, as well as to identify any areas that may represent specific risks relevant to the audit.
- General Risk Analysis. This will contain our overall audit plan, including materiality calculations, fraud risk assessments, overall audit risk assessments, effects of our IS assessment, timing, staffing, client assistance, a listing of significant provisions of laws and regulations and other key planning considerations.
- Account Risk Analysis. This document will contain the audit plan for the financial statements, including risk assessment and the extent and nature of testing by assertion.
- Prepared by Client Listing. This document will contain a listing of schedules and reports to be prepared by the City personnel with due dates for each item.
- Assurance Information Exchange. CLA utilizes a secure web-based application to request and obtain documents necessary to complete client engagements. This application allows clients to view detailed information, including due dates for all of the items CLA is requesting. Additionally, clients have the ability to attach electronic files and add commentary related to the document requests directly on the application.

One of the key elements in the planning of this audit engagement will be the heavy involvement of principals and managers. We will clearly communicate any issues in a timely manner and will be in constant contact with the City as to what we are finding and where we expect it will lead.

We will develop our audit programs during this phase. Utilizing the information we have gathered and the risks identified, we will produce an audit program specifically tailored to the City. This program will detail by major section the nature and types of tests to be performed. We view our programs as living documents, subject to change as conditions warrant.

PHASE 2: SYSTEMS EVALUATION

During the systems evaluation phase, we will gain an understanding of the internal control structure of the City for financial accounting and relevant operations. Next, we will identify control objectives for each type of control that is material to the financial statements, and then identify and gain an understanding of the relevant control policies and procedures that effectively achieve the control objectives. Finally, we will determine the nature, timing, and extent of our control testing and perform tests of controls. This phase of the audit will include testing of certain key internal controls:

- Electronic data, including general and application controls reviews and various user controls
- Financial reporting and compliance with laws and regulations

We will test controls over certain key cycles. One audit efficiency initiative is to rely heavily on internal controls when appropriate, and to creatively look at internal control testing to make it as efficient as possible. This means not routinely performing detailed tests of transactions using large samples. We first seek to identify key controls, and then identify possible testing through alternative methods, such as observation, interviews, and re-performance. These tests serve not only to gather evidence about the existence and effectiveness of internal control for purposes of assessing control risk, but also to gather evidence about the reasonableness of an account balance.



We will also develop our internal control tests to assess the compliance with certain provisions of laws, regulations, contracts, and grants for which noncompliance could have a direct and material effect on the determination of financial statement amounts. Our use of multi-purpose tests allows us to provide a more efficient audit without sacrificing quality.

Our assessment of internal controls will determine whether the City has established and maintained internal controls to provide reasonable assurance that the following objectives are met:

- Transactions are properly recorded, processed, and summarized to permit the preparation of reliable financial statements and to maintain accountability over assets
- Assets are safeguarded against loss from unauthorized acquisition, use, or disposition
- Transactions are executed in accordance with laws and regulations that could have a direct and material effect on the financial statements

We will finalize our audit programs during this phase. We will also provide an updated Prepared by Client Listing based on our test results and our anticipated substantive testing.

During the internal control phase, we will also perform a review of general and application Information Systems (IS) controls for the applications that are significant to financial statements to conclude whether IS general controls are properly designed and operating effectively, and consider application controls as part of the internal control assessment in the financial statement audit. Our strategy for the IS review of the applications will involve reviewing all of the general control activities, including the computerized and manual processes. We will determine the scope of work by applying the concepts of materiality and risk assessment to effectively reduce examination inefficiencies. When planning this examination, we will gain an understanding of the City's operations by reviewing its current controls and control objectives as documented and will also review prior years' audit work and the status of corrective actions.

Based on our preliminary review, we will perform an initial risk assessment of each critical element in each general control category, as well as an overall assessment of each control category. We will then proceed to assess the significant computer-related controls.

For IS-related controls that we deem to be ineffectively designed or not operating as intended, we will gather sufficient evidence to support appropriate findings and will provide recommendations to improve internal controls. For those IS controls that we deem to be effectively designed, we will perform testing to determine if they are operating as intended through a combination of procedures, including observation, inquiry, inspection, and re-performance.

PHASE 3: TESTING AND ANALYSIS

The extent of our substantive testing will be based on results of our internal control tests. It has been our experience that governmental entities, like the City, often have a system of internal controls that, with appropriately designed tests and correlation to account balances, can be used to limit the extent of account balance substantiation testing.

Audit sampling will be used only in those situations where it is the most effective method of testing. Before deciding to sample, we will consider all possible approaches and audit techniques. Items where, in our judgment, acceptance of some sampling risk is not justified will be examined 100 percent. These may include unusual items or items for which potential misstatements could individually equal or exceed tolerable error.



After identifying individually significant or unusual items, we will decide on the audit approach for the remaining balance of items by considering tolerable error and audit risk. This may include (1) testing a sample of the remaining balance; (2) lowering the previously determined threshold for individually significant items to increase the percent of coverage of the account balance; or (3) applying analytical procedures to the remaining balance. When we elect to sample balances, we will use IDEA to efficiently control and select our samples.

Our workpapers during this phase will clearly document our work as outlined in our audit programs. We will also provide the City with status reports during the course of the audit fieldwork. As in all phases of the audit, we will be in communication with the City to determine that all identified issues are resolved in a timely manner. We will also hold a final exit conference with the City to summarize the results of our fieldwork and review significant findings.

PHASE 4: REPORTING AND FOLLOW-UP

Reports to management will include oral and/or written reports regarding:

- Independent Auditors' Report
- Independent Auditors' Report on Internal Control over financial reporting and on compliance and other matters based on an audit of Financial Statements Performed in Accordance with Government Auditing Standards
- Independent Auditor's Report on Compliance for Each Major Federal Program, Report on Internal Control Over Compliance, and Report on the Schedule of Expenditures of Federal Awards Required by the Uniform Guidance
- Management Letter
- Written Communication to Those Charged with Governance, which includes the following areas:
 - Our responsibility under auditing standards generally accepted in the United States of America
 - Changes in significant accounting policies or their application
 - Unusual transactions
 - Management judgments and accounting estimates
 - Significant audit adjustments
 - Other information in documents containing the audited financial statements
 - Disagreements with the City
 - the City's consultations with other accountants
 - Major issues discussed with management prior to retention
 - Difficulties encountered in performing the audit
 - Fraud or illegal acts

Once the final reviews of working papers and financial statements are completed, which is a process that actually starts while the fieldwork is in process, our opinion, the financial statements and management letter will be issued.

the City will be provided a draft of any comments that we propose to include in the management letter, enabling you to review the comments for accuracy prior to final release. Any items that come to our attention that are not what we consider major items may be discussed verbally with management and not included in the management letter. Our management letter will include items noted during our analysis of your operations.

We will also make a formal presentation of the results of the audit to those charged with governance of the City, if requested.



Use of technology in the audit

We're reimagining the audit process through technology to elevate your experience!



← **SECURE, INTERACTIVE REQUEST LISTS**



← **ANALYTICS AND INSIGHTS**



← **IMPACTFUL INTERACTION**

Assurance Information Exchange (AIE) – To make working with CLA a seamless experience, our team utilizes a proprietary, secure web-based application to request and obtain documents necessary to complete client engagements. This application allows CLA and our clients to view live client assistance letters, which provides detailed information, including due dates for all of the open items CLA is requesting. Additionally, clients have the ability to attach electronic files and add commentary related to the document requests directly on the application.

Microsoft® Teams – Our services approach focuses on impactful interactions. We've said goodbye to the days of setting up camp in our clients' conference rooms for weeks on end. We know our clients have organizations to run, so our interactions have purpose. To assist with communications when we are not onsite, we utilize tools such as Microsoft Teams, which allow for two-way screen sharing and video. We've found this helps diminish disruptions in our clients' environments while continuing to effectively communicate with each other.

TeamMate Analytics and Expert Analyzer (TeamMate) – To analyze and understand large data sets, we use TeamMate Analytics and Expert Analyzer. We customize the application by industry in order to perform the most applicable procedures. This allows us to go beyond sampling and instead analyze the entire general ledger for targeted anomalies. Far beyond the audit application, our six-phase process of Risk Assessment, Data Analytics and Review (RADAR) can also provide actionable insights to help you understand your entity better.



Sample size and statistical sampling

We follow the guidance of AU-C Section 530, Audit Sampling, in using statistical and nonstatistical approach. We use quality control material in all our audit engagements. These AU-C Section 530 – “Audit Sampling” forms guide our staff through a logical process of assessing inherent risk, control risk, and combined audit risk, followed by an assessment of appropriate sample size for testing.

Sample sizes will vary depending on the nature of the testing (compliance versus substantive) and the size of the population being sampled. Sampling techniques are utilized in compliance and internal control testing, as well as substantive testing of certain asset and liability account balances. Sample sizes used for internal control testing depend on a number of factors, namely the number of expected or actual control deviations, size of population, and level of control assurance anticipated. Sample sizes can range from 20 to 90 possible selections.

To illustrate, if no internal control deviations are anticipated and the frequency of the population (i.e., the number of times the control is performed in a given year) is less than 100, then we will test 20 transactions in order to obtain moderate control assurance. If 2 internal control deviations are anticipated, and the frequency of the population is greater than 200, then we will test 90 transactions in order to obtain low control assurance. We are usually able to cover a substantial portion of the compliance and controls testing with one sample, resulting in a very efficient approach.

Identification of anticipated potential audit problems

In situations where authoritative guidance on a particular transaction or accounting issue is unclear or subject to interpretation, our approach is to proactively meet with management to discuss the issue and reach a conclusion that is hopefully agreed upon by both parties. We do not have specific “firm policies” that dictate our conclusions to be reached on all complex accounting issues. Rather, each issue must be evaluated independently by our engagement team. As discussed above, we will not only meet internally, but also facilitate discussion with the City’s management team in order to obtain a mutual understanding of the particular accounting issue, determine the applicable authoritative guidance that most closely relates to the issue, and strive to reach an approach agreed upon by both parties. If there still remains any ambiguity or disagreement, we can explore other resources that could possibly assist, such as subject matter professionals within the Government Accounting Standards Board staff or the Government Finance Officers Association (GFOA) or perhaps other municipalities who may have dealt with similar issues.

Assistance from client

We request that the City provide access to all records required for the audits and other requirements of the contract. In addition, we request that you assign a “project coordinator” through whom we will communicate and coordinate activities. We do not foresee needing the City’s staff other than during normal business hours.

To assist in this process, we will provide a detailed Prepared by Client list early in the engagement. We will ask that your accounting staff provide us with standard schedules, as well as additional requested supporting items. We anticipate that your accounting personnel will need to locate and submit to us certain invoices, vouchers, cancelled checks, and other documents and records. We are extremely flexible as to the format in which we receive this information and will determine through the use of the latest technology that your personnel will not be asked to perform any unnecessary or extensively disruptive tasks.

We will depend on your staff to provide us with as much information as possible, in an effort to limit everyone’s time on the engagement and, ultimately, to save your organization money.



GASB insight potentially affecting current and future audits

CLA has one of the largest governmental audit and accounting practices in the country. As a result, we are intimately involved in the review, development, and implementation of new standards promulgated by GASB. Additionally, we have members of our government services team that participate in the AICPA State and Local Government Expert Panel. These individuals are involved in the process of reviewing and updating the AICPA's State and Local Government Audit and Accounting Guide.

Our significant knowledge of technical issues and GASB pronouncements will be especially beneficial to the City. The below are recent issues and pronouncements which may have a future impact on the audits and financial statement of the City. We will proactively work with the City to address the reporting and auditing impact of each pronouncement or issue in advance of the implementation date. In the months just prior to the due date of this proposal, the GASB decided to extend the implementation of all pending new standards to 2021 or beyond.

GASB – Recent and Pending

Description of Statement	Potential Impact on the City
<p>GASB Statement No. 87, Leases – The objective of this Statement is to better meet the information needs of financial statement users by improving accounting and financial reporting for leases by governments. This Statement increases the usefulness of governments' financial statements by requiring recognition of certain lease assets and liabilities for leases that previously were classified as operating leases and recognized as inflows of resources or outflows of resources based on the payment provisions of the contract. It establishes a single model for lease accounting based on the foundational principle that leases are financings of the right to use an underlying asset. Under this Statement, a lessee is required to recognize a lease liability and an intangible right-to-use lease asset, and a lessor is required to recognize a lease receivable and a deferred inflow of resources, thereby enhancing the relevance and consistency of information about governments' leasing activities.</p>	<p>The requirements of this statement are effective for reporting periods beginning after June 15, 2021. The standard would require the City to record a liability and an intangible right-to-use lease asset for all non-current (greater than 12 months) leases. There would be little or no change in existing capital leases. The impact of recording the new liabilities on the City's legal debt margins and coverage calculations would require review and discussion with the City legal, accounting and financial advisors.</p>
<p>GASB Statement No. 89, Accounting For Interest Cost Incurred before the End of a Construction Period – The primary objective of this Statement is to enhance the relevance and comparability of information about capital assets and the cost of borrowing for a reporting period and to simplify accounting for interest cost incurred before the end of a construction period. This Statement also reiterates that in financial statements prepared using the current financial resources measurement focus, interest cost incurred before the end of a construction period should be recognized as an expenditure on a basis consistent with governmental fund accounting principles.</p>	<p>The requirements of this statement are effective for reporting periods beginning after December 15, 2020. Interest costs during construction will be expensed as opposed to capitalized.</p>



GASB Statement 91 – Conduit Debt Obligations - The primary objectives of this Statement are to provide a single method of reporting conduit debt obligations by issuers and eliminate diversity in practice associated with (1) commitments extended by issuers, (2) arrangements associated with conduit debt obligations, and (3) related note disclosures. This Statement achieves those objectives by clarifying the existing definition of a conduit debt obligation; establishing that a conduit debt obligation is not a liability of the issuer; establishing standards for accounting and financial reporting of additional commitments and voluntary commitments extended by issuers and arrangements associated with conduit debt obligations; and improving required note disclosures.

The requirements of this statement are effective for reporting periods beginning after December 15, 2021. This statement will impact the City's financial statements to the extent of the occurrence of conduit debt obligations.

GASB Statement 92 – Omnibus 2020 - The objectives of this Statement are to enhance comparability in accounting and financial reporting and to improve the consistency of authoritative literature by addressing practice issues that have been identified during implementation and application of certain GASB Statements.

The requirements of this statement are effective for reporting periods beginning after June 15, 2021. This statement will impact the State's financial statements to the extent of applicable practice issues.

GASB Statement 93 - Replacement of Interbank Offered Rates - The primary objective of this statement is to provide guidance related to the impending replacement of the LIBOR reference rate to another rate. It also clarifies the effect of this replacement on hedge and interest rate swap transactions.

The requirements of this Statement vary based upon provision; however, they are generally effective for years beginning after June 15, 2021. This statement will affect the City to the extent that it has variable rate financial instruments which are based on LIBOR.

GASB Statement 94 - Public-Private and Public-Public Partnerships and Availability Payment Arrangements – The primary objective of this Statement is to improve financial reporting by addressing issues related to public-private and public-public partnership arrangements (PPPs). The statement distinguishes the various types of PPP's and provides guidance related to accounting and disclosure for the arrangements.

The requirements of this statement are effective for years beginning after June 30, 2022. Earlier application is encouraged. It will affect the City to the extent that the City has or intends to enter into PPP arrangements.

GASB Statement 95 - Postponement of the Effective Dates of Certain Authoritative Guidance - The primary objective of this Statement is to provide temporary relief to governments and other stakeholders in light of the COVID-19 pandemic. That objective is accomplished by postponing the effective dates of certain provisions in Statements and Implementation Guides that first became effective or are scheduled to become effective for periods beginning after June 15, 2018, and later. The effective dates of certain provisions contained in the following pronouncements are postponed by one year:

The effect of this statement on the City is related to the extent that the standards affected have not been implemented and would otherwise have an impact.



Statement No. 83, Certain Asset Retirement Obligations
 Statement No. 84, Fiduciary Activities
 Statement No. 88, Certain Disclosures Related to Debt, including Direct Borrowings and Direct Placements
 Statement No. 89, Accounting for Interest Cost Incurred before the End of a Construction Period
 Statement No. 90, Majority Equity Interests
 Statement No. 91, Conduit Debt Obligations
 Statement No. 92, Omnibus 2020
 Statement No. 93, Replacement of Interbank Offered Rates
 Implementation Guide No. 2017-3, Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions (and Certain Issues Related to OPEB Plan Reporting)
 Implementation Guide No. 2018-1, Implementation Guidance Update—2018
 Implementation Guide No. 2019-1, Implementation Guidance Update—2019
 Implementation Guide No. 2019-2, Fiduciary Activities.
 The effective dates of the following pronouncements are postponed by 18 months:
 Statement No. 87, Leases
 Implementation Guide No. 2019-3, Leases.

GASB 96 - Subscription Based Information Technology

Arrangements - This Statement provides guidance on the accounting and financial reporting for subscription-based information technology arrangements (SBITAs) for government end users (governments). This Statement (1) defines a SBITA; (2) establishes that a SBITA results in a right-to-use subscription asset—an intangible asset—and a corresponding subscription liability; (3) provides the capitalization criteria for outlays other than subscription payments, including implementation costs of a SBITA; and (4) requires note disclosures regarding a SBITA. To the extent relevant, the standards for SBITAs are based on the standards established in Statement No. 87, Leases, as amended.

The requirements of this statement are effective for periods beginning after June 15, 2022. Earlier application is encouraged. It will affect the City to the extent that it uses subscription-based information technology (e.g. software).

GASB 97 - Certain Component Unit Criteria and Financial Reporting for Internal Revenue Code Section 457 Deferred Compensation Plans

The primary objectives of this Statement are to (1) increase consistency and comparability related to the reporting of fiduciary component units in circumstances in which a potential component unit does not have a governing board and the primary government performs the duties that a governing board typically would perform; (2) mitigate costs associated with the reporting of certain defined contribution pension plans, defined contribution other postemployment benefit (OPEB) plans, and employee benefit plans other than pension plans or OPEB plans (other employee benefit plans) as fiduciary component units in fiduciary fund financial statements; and (3) enhance the relevance, consistency, and

The requirements of this statement related to governing board features related to GASB 84 are effective immediately. All other provisions are effective for years beginning after June 15, 2021. Earlier adoption is encouraged. The effect on the City will depend upon the existence of IRC 457 plans and governing board considerations in fiduciary component units.



comparability of the accounting and financial reporting for Internal Revenue Code (IRC) Section 457 deferred compensation plans (Section 457 plans) that meet the definition of a pension plan and for benefits provided through those plans.

The following are some of the current projects being conducted by GASB that may impact the City in the future:

Future Pronouncements and Projects

Description of Project	Current Status
<p>Revenue and Expense Recognition - The objective of this project is to develop a comprehensive application model for the recognition of revenues and expenses that arise from nonexchange, exchange, and exchange-like transactions, including guidance for exchange transactions that has not been specifically addressed in the current literature.</p>	<p>Currently in the preliminary views comment period.</p>
<p>Conceptual Framework – Recognition – This project may affect financial statements in the future. The objective of this project is to develop recognition criteria for whether information should be reported in state and local government financial statements and when that information should be reported.</p>	<p>Currently in the exposure draft comment period.</p>
<p>Conceptual Framework – Disclosure – This project may affect financial statements in the future. The concepts being discussed include development and evaluation of notes to financial statements for the purpose of improving the effectiveness of note disclosures in government financial reports.</p>	<p>Currently in exposure draft comment period.</p>
<p>Financial Reporting Model - The objective of the project is to make improvements to the financial reporting model including GASB Nos. 34, 35, 37, 41, 46 and Interpretation No. 6. The improvements would be to enhance the effectiveness of the model in providing information essential for decision-making, enhance the ability to assess a government’s accounting and address certain application issues.</p>	<p>Currently in exposure draft comment period.</p>
<p>Compensated Absences - Reexamination of Statement 16 - The objective of this project is to address certain issues related to accounting and financial reporting for compensated absences. The project will consider improvements to the existing guidance in Statement No. 16, Accounting for Compensated Absences, related to: (1) addressing certain types of accrued leave benefits that are not covered in Statement 16, (2) measurement options for sick leave, and (3) the usefulness of required notes to financial statements for decision-making and assessing accountability.</p>	<p>Currently in the initial deliberations stage.</p>



Prior-Period Adjustments, Accounting Changes and Error Corrections - Reexamination of Statement 62 - The objective of this project is to improve the accounting and financial reporting for prior-period adjustments, accounting changes, and error corrections in Statement No. 62, Codification of Accounting and Financial Reporting Guidance Contained in Pre-November 30, 1989 FASB and AICPA Pronouncements. The project will fully reexamine the existing standards to address issues related to (1) inconsistency in practice, (2) confusion about and difficulty applying regarding the requirements, and (3) the usefulness of the related disclosures.

Currently in the initial deliberations stage.

Risk and Uncertainty Disclosures - Current note disclosure requirements focus on various risks faced by state and local governments, such as those associated with financial instruments, including deposits, investments, securities lending, and derivatives; and risk retention, including risk pools. This project would address risks relating to the nature of operations, estimates, and vulnerability due to certain concentrations.

Recently added to the agenda.

Quality Control Procedures and Peer Review Report

In the most recent peer review report, we received a rating of pass, which is the most positive report a firm can receive. We are proud of this accomplishment and its strong evidence of our commitment to technical excellence and quality service. The full report is provided in **Appendix B** of this proposal.

In addition to an external peer review, we have implemented an intensive internal quality control system to provide reasonable assurance that the firm and our personnel comply with professional standards and applicable legal and regulatory requirements. Our quality control system includes the following:

- A quality control document that dictates the quality control policies of our firm. In many cases, these policies exceed the requirements of standard setters and regulatory bodies. Firm leadership promotes and demonstrates a culture of quality that is pervasive throughout the firm's operations. To monitor our adherence to our policies and procedures, and to foster quality and accuracy in our services, internal inspections are performed annually.
- Quality control standards as prescribed by the AICPA. The engagement principal is involved in the planning, fieldwork, and post-fieldwork review. In addition, an appropriately experienced professional performs a risk-based second review of the engagement prior to issuance of the reports.
- Hiring decisions and professional development programs designed so personnel possess the competence, capabilities, and commitment to ethical principles, including independence, integrity, and objectivity, to perform our services with due professional care.
- An annual internal inspection program to monitor compliance with CLA's quality control policies. Workpapers from a representative sample of engagements are reviewed and improvements to our practices and processes are made, if necessary, based on the results of the internal inspection.
- Strict adherence to the AICPA's rules of professional conduct, which specifically require maintaining the confidentiality of client records and information. Privacy and trust are implicit in the accounting profession, and CLA strives to act in a way that will honor the public trust.



- A requirement that all single audit engagements be reviewed by a designated single audit reviewer, thereby confirming we are in compliance with the standards set forth in the Uniform Guidance.

Engagement Team Experience

An experienced engagement team has been aligned to provide the most value to your organization. The team members have performed numerous engagements of this nature and will commit the resources necessary to provide top quality service throughout the engagement. Following are our proposed management team members:

Engagement Team Member	Role	Years' Experience
Jacob S. Lenell, CPA	Relationship and Engagement Principal – Jake will serve as the local resource for the audit team as well as the City personnel. He will have overall engagement responsibility including planning the engagement, developing the audit approach, supervising staff, and maintaining client contact throughout the engagement and throughout the year. Jake is responsible for total client satisfaction through the deployment of all required resources and continuous communication with management and the engagement team.	19+
Michael T. Anderson, CPA	Engagement Manager – Mike will act as the lead manager on the engagement. In this role, he will assist the engagement principal with planning the engagement and performing complex audit areas. Mike will perform a technical review of all work performed and is responsible for the review of comprehensive annual financial report and all related reports.	6+

Additional Staff - We will assign additional staff to your engagement based on your needs and their experience providing services to state and local governments. Ideally, the staff assigned to your team will be from our Milwaukee office with state and local government as their industry focus at CLA.

Detailed resumes are available in **Appendix A** of this proposal.

Continuity of service

We are committed to providing continuity throughout this engagement. It is our policy to maintain the same staff throughout an engagement, providing maximum efficiency and keeping the learning curve low. We are also flexible in exploring alternative strategies to non-mandatory rotation policies. In any business, however, turnover is inevitable. When it happens, we will provide summaries of suggested replacements and will discuss re-assignments prior to finalizing. We have an adequate number of qualified staff members to provide the City with top service over the term of the engagement.



CLA is committed to maintaining high staff retention rates, which we believe are a strong indicator of service quality. Continuity results in enhanced efficiency and quality because staff assigned to the engagement will not have to go through a “learning curve” with annual engagements or each new project. Client staff spends less time orienting the engagement team, and more time working toward goals. With a solid, steady engagement team, each year brings the additional benefits of trust and familiarity. High retention rates also indicate that our staff members have the resources they need to perform their tasks and maintain a positive work/life balance.

Commitment to continued professional education

With one of the largest public sector groups in the nation, CLA is committed to providing regular and robust training to our government practitioners. We hold regular Governmental Accounting and Single Audit updates throughout the year. All of our staff assigned to the City’s audit will have the required Yellow Book (Government Auditing Standards) CPE.

Professional Fees

Our fees are based on the timely delivery of services provided, the experience of personnel assigned to the engagement, and our commitment to meeting your deadlines.

CLA understands the importance of providing our clients with value-added strategies. We propose to provide routine, proactive meetings — as part of our fee — that will allow us to review and discuss with you the impact of new accounting issues, as well as any other business issues you are facing and how they should be handled. This level and frequency of interaction will no doubt enable CLA to help you tackle challenges as they come up and take full advantage of every opportunity that presents itself.

Fees considerations

We have found over the years that our clients don’t like fee surprises. Neither do we. We commit to you, as we do all of our clients, that:

- We will be available for brief routine questions at no additional charge, a welcome investment in an on-going relationship.
- Like most firms, we are investing heavily in technology to enhance the client experience, protect our data environment, and deliver quality services. A 5% Technology and Client Support fee has been included in the fees below. We believe our clients deserve clarity around our Technology and Client Support Fee, and we will continue to be transparent with our fee structure.
- Any additional charges not discussed in this proposal will be mutually agreed upon up front.
- We will always be candid and fair in our fee discussions, and we will avoid surprises.



Audit Pricing Submission - Base Bid

Exhibit 2

The following dollar proposal, including expenses, is for the audit of the City of Franklin for each of the years ending December 31. In addition, estimates of fees for the option years can be provided.

Year	<u>Option Years</u>				
	<u>2021</u>	<u>2022</u>	<u>2023</u>	<u>2024</u>	<u>2025</u>
Base Audit					
City	<u>\$31,800</u>	<u>\$32,430</u>	<u>\$33,070</u>	<u>\$ 33,730</u>	<u>\$ 34,400</u>
Water	<u>4,750</u>	<u>4,840</u>	<u>4,930</u>	<u>5,020</u>	<u>5,120</u>
Sewer	<u>4,750</u>	<u>4,840</u>	<u>4,930</u>	<u>5,020</u>	<u>5,120</u>
TIF Dist*	<u>6,700</u>	<u>6,800</u>	<u>6,900</u>	<u>7,000</u>	<u>7,100</u>
Subtotal	<u>48,000</u>	<u>48,910</u>	<u>49,830</u>	<u>50,820</u>	<u>51,740</u>
% Inc		<u>1.90 %</u>	<u>1.89 %</u>	<u>2.00 %</u>	<u>1.80 %</u>
Additional Audit Services					
Form C	<u>2,000</u>	<u>2,040</u>	<u>2,080</u>	<u>2,120</u>	<u>2,160</u>
PSC Rpt	<u>3,400</u>	<u>3,460</u>	<u>3,520</u>	<u>3,590</u>	<u>3,660</u>
Total	<u>5,400</u>	<u>5,500</u>	<u>5,600</u>	<u>5,710</u>	<u>5,820</u>
Single Audit	<u>5,500</u>	<u>5,610</u>	<u>5,720</u>	<u>5,830</u>	<u>5,950</u>
TID					
30% Audit	<u>3,400</u>	<u>3,460</u>	<u>3,520</u>	<u>3,590</u>	<u>3,660</u>
Exp Period Audit	<u>5,500</u>	<u>5,610</u>	<u>5,720</u>	<u>5,830</u>	<u>5,940</u>
Closure Audit	<u>3,400</u>	<u>3,460</u>	<u>3,520</u>	<u>3,590</u>	<u>3,660</u>

(a)

The above amounts are not-to-exceed limits based on hourly rates.

The amounts for 2021 are based on the following calculations

<u>Classification</u>	<u>Hourly Rate</u>	<u>Hours</u>	<u>Total</u>
Partner	<u>210</u>	<u>50</u>	<u>\$ 10,500</u>
Manager	<u>120</u>	<u>100</u>	<u>12,000</u>
Senior	<u>90</u>	<u>150</u>	<u>13,500</u>
Staff	<u>80</u>	<u>150</u>	<u>12,000</u>
Total professional fees - 2021		<u>450</u>	<u>48,000</u>
Expenses (describe and state amounts)			<u>N/A</u>
Total 2021 not to exceed bid			<u>\$ 48,000 (a)</u>
Percentage of standard rates			<u>60 %</u>

*The fees for TIF District above refer to the following TIF # 3 through # 8

The above percentage of standard rates are available for other projects



Audit Pricing Submission - Alternative Bid

Exhibit 2

The following dollar proposal, including expenses, is for the audit of the City of Franklin for each of the years ending December 31. In addition, estimates of fees for the option years can be provided

Year	Option Years				
	2021	2022	2023	2024	2025
Base Audit					
City	\$31,800	\$32,430	\$33,070	\$33,730	\$34,400
Water	4,750	4,840	4,930	5,020	5,120
Sewer	4,750	4,840	4,930	5,020	5,120
TIF Dist*	6,700	6,800	6,900	7,000	7,100
Subtotal	48,000	48,910	49,830	50,820	51,740
% Inc		1.90 %	1.89 %	2.00 %	1.80 %
Additional Audit Services					
Form C	2,000	2,040	2,080	2,120	2,160
PSC Rpt	3,400	3,460	3,520	3,590	3,660
Total	5,400	5,500	5,600	5,710	5,820
Single Audit	5,500	5,610	5,720	5,830	5,950
TID					
30% Audit	3,400	3,460	3,520	3,590	3,660
Exp Period Audit	5,500	5,610	5,720	5,830	5,940
Closure Audit	3,400	3,460	3,520	3,590	3,660
AFR Preparation	5,500	5,610	5,720	5,830	5,940

(a)

The above amounts are not-to-exceed limits based on hourly rates.

The amounts for 2021 are based on the following calculations

Classification	Hourly Rate	Hours	Total
Partner	210	50	\$ 10,500
Manager	120	100	12,000
Senior	90	150	13,500
Staff	80	150	12,000
Total professional fees - 2021		450	48,000
Expenses (describe and state amounts)			N/A
Total 2021 not to exceed bid			\$ 48,000 (a)
Percentage of standard rates			60 %

*The fees for TIF District above refer to the following TIF # 3 through # 8

The above percentage of standard rates are available for other projects



Additional Information

Innovation at CLA

Artificial intelligence, machine learning, cryptocurrencies, and other technologies are disrupting the way we all do business. Disruption creates the need for innovation; innovation is an opportunity to improve the way we do our work and interact with you. Our entrepreneurial culture requires us to embrace change wherever we find it, learn from it, and create solutions designed to make interactions easier, more transparent, and more seamless.

We use a combination of technical and non-technical means to improve efficiency, enhance quality, and make your experience better.

As your organization continues to innovate, we're doing the same by continually increasing our knowledge of you and understanding of your industry so we can help you address the business issues you face.



Innovation at CLA is a purposeful approach to problem-solving that transforms technology into better experiences for our clients.



The CLA Seamless Assurance Advantage



A different approach

SAA is unlike any conventional audit process. SAA does not depend on physical location. It reduces the time our professionals spend on site, creates fewer disruptions, enables more efficient use of resources (yours and ours), and allows for more impactful interactions with your people.



Insights through analytics

CLA uses strategic data analysis to examine whole data sets to gain a deeper understanding of your organization. Insights that were once impossible can now come into focus to help you measure performance, enhance strategic decision making, and understand your competitive opportunities.



Effective technology

CLA embraces technologies that help solve client problems and create a seamless experience. Assurance Information Exchange (AIE) is a web-based application developed by CLA to digitally request and obtain audit documents through a secure and efficient online portal.



Why should the City choose CLA?

With CLA by your side, you can find everything you need in one firm. We know your industry, and we want to know you.

We have strong leadership and talent, commitment, and enthusiasm to provide our governmental clients excellent service in a cost-effective manner. We commit to providing you a high level of personalized, responsive service. Communication is as important to us as it is to you.

Create opportunities

We understand your most important needs are:

- **A proposed team of professionals carefully-selected for compatibility with the City's needs and circumstances.** Your service team understands the strategic, operational, and regulatory issues impacting your organization. These professionals dedicate a substantial percentage of their time assisting the City with financial, regulatory, and information security matters.
- **Diversity and inclusion.** We are dedicated to building a diverse and inclusive culture that thrives on different beliefs and perspectives. Our diversity and inclusion council identifies strategies that foster and support the many dimensions of diversity within the firm. When we embrace an inclusive culture, we can truly know and help each other and our clients — that's how we create opportunities together.
- **Efficiency.** Our goal is to provide exceptional client service at the lowest possible cost. A well-planned and well-executed engagement by an experienced service team will diminish disruption to your staff and enable timely completion of all deliverables.
- **We are local!** All of the personnel assigned to your engagement team are located in Milwaukee, so we are available to meet with you at your request without additional constraints for travel and additional costs.
- **Experience and continuity.** Each engagement team member has in-depth experience in [industry] accounting, auditing, or tax matters. We will commit the necessary resources to provide quality client service and timely report delivery. We have an extensive local and national [industry] practice from which to draw resources.



In the Eventuality of TID expansion, reduction in size or closure

Through our services to over 70 Wisconsin Cities, and 100 Wisconsin Villages, we have extensive experience with TIF Districts. This experience includes provide budget and actual information utilizing assumptions that the City/Village has determined. This data can be useful in determining the estimated remaining life required for a TIF District to recover eligible costs. We have assisted our clients in determining the impacts that changes in certain assumptions have on the budget data, and the impact that can have on the estimated remaining life.

Legal Arrangement

CLA provides professional services on an engagement letter basis. and in the past, we were able to work with the City within that framework. We do not anticipate any issues in reaching an agreement with the City.

Auditing Fees Quote for Additional TIF Districts

The attached audit pricing submission includes a not to exceed fee for a TID audit at each of the required intervals of the TID. If the City determines a need for an audit outside the required intervals, we will provide an estimate of that work at that time, but it would not exceed the estimate of the next audit required at the next interval.



Appendix

A. Engagement team biographies



Jacob S. Lenell, CPA

CLA (CliftonLarsonAllen LLP)

Principal
Milwaukee, Wisconsin

414-721-7572
jake.lenell@CLAconnect.com



Profile

Jake is the growth network leader for the governmental industry for the State of Wisconsin. In this role, Jake is responsible for connecting governmental clients and prospects to resources that focus on this industry and provide a wide variety of audit, tax and consulting services. As a client serving principal Jake, has been working with public sector clients since 2002. His experience includes consulting on accounting standards, presenting financial information to governing boards, and preparing financial statements in conformity with accounting standards. He has performed internal inspections and peer review or other firms.

Technical experience

- Audits of higher education institutions and nonprofit organizations
- Audits of local governments, including counties, cities, villages, towns, special districts, tax increment districts, and school districts
- Consulting services, including rate design, budgeting, capital planning, administrative organization review, internal control design
- GFOA Special Review Committee reviewer in the CAFR program

Education and professional involvement

- Master of business administration, accounting, Lakeland College
- Bachelor of arts, accounting, Cardinal Stritch University
- American Institute of Certified Public Accountants
- Wisconsin Institute of Certified Public Accountants
- Government Finance Officers Association
- Wisconsin Government Finance Officers Association

Professional certifications

- Certified Public Accountant, Wisconsin
- Certified Public Accountant, Illinois

Continuing professional education

- American Institute of Certified Public Accountants – Government Conference
- Government Finance Officers Association Conference and GAAP update
- Wisconsin Government Finance Officers quarterly conferences (attendee and presenter)



- Programs sponsored by CLA (attendee and presenter)
- Adheres to all applicable AICPA, WICPA, and GAS requirements

Community involvement

- Wisconsin Governmental Finance Officers Association, Past Board member
- BC Junior Lancers Basketball, Inc, Board Treasurer and Volunteer Head Coach
- Elmbrook Little League, Volunteer Head Coach





Michael T. Anderson, CPA

CLA (CliftonLarsonAllen LLP)

Manager
Milwaukee, Wisconsin

414-721-7541
michael.anderson@CLAconnect.com



Profile

Michael has been a member of the CLA regulated industry team for six years, with an industry focus on governmental and nonprofit entities. He has worked with local government clients throughout Wisconsin, as well as governmental entities on the east coast.

Technical experience

- Audits of state and local governments
- Audits of school districts, technical college districts, and nonprofit entities
- Financial statement consulting and preparation for governmental and nonprofit entities
- Single audits in accordance with Uniform Grant Guidance and state single audit requirements

Education and professional involvement

- Bachelor of arts in business administration, accounting and finance, from Wisconsin Lutheran College, Milwaukee, Wisconsin
- American Institute of Certified Public Accountants
- Wisconsin Government Finance Officers Association

Professional certifications

- Certified Public Accountant, Wisconsin

Continuing professional education

- Wisconsin Government Finance Officers quarterly conferences
- Programs sponsored by CLA (attendee and presenter)
- Adheres to all applicable AICPA, WICPA, and GAS requirements

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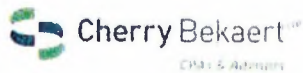
WEALTH ADVISORY | OUTSOURCING | AUDIT, TAX, AND CONSULTING

Investment advisory services are offered through CliftonLarsonAllen Wealth Advisors, LLC, an SEC-registered investment advisor.



Create Opportunities

B. Peer review report



Report on the Firm's System of Quality Control

November 21, 2019

To the Principals of CliftonLarsonAllen LLP
and the National Peer Review Committee

We have reviewed the system of quality control for the accounting and auditing practice of CliftonLarsonAllen LLP (the firm) applicable to engagements not subject to PCAOB permanent inspection in effect for the year ended May 31, 2019. Our peer review was conducted in accordance with the Standards for Performing and Reporting on Peer Reviews established by the Peer Review Board of the American Institute of Certified Public Accountants (Standards).

A summary of the nature, objectives, scope, limitations of, and the procedures performed in a System Review as described in the Standards may be found at www.aicpa.org/prsummary. The summary also includes an explanation of how engagements identified as not performed or reported in conformity with applicable professional standards, if any, are evaluated by a peer reviewer to determine a peer review rating.

Firm's Responsibility

The firm is responsible for designing a system of quality control and complying with it to provide the firm with reasonable assurance of performing and reporting in conformity with applicable professional standards in all material respects. The firm is also responsible for evaluating actions to promptly remediate engagements deemed as not performed or reported in conformity with professional standards, when appropriate, and for remediating weaknesses in its system of quality control, if any.

Peer Reviewer's Responsibility

Our responsibility is to express an opinion on the design of the system of quality control and the firm's compliance therewith based on our review.

Required Selections and Considerations

Engagements selected for review included engagements performed under *Government Auditing Standards*, including compliance audits under the Single Audit Act, audits of employee benefit plans, audits performed under FDICIA, audits of broker-dealers, and examinations of service organizations [SOC 1 and SOC 2 engagements].

As a part of our peer review, we considered reviews by regulatory entities as communicated by the firm, if applicable, in determining the nature and extent of our procedures.

Opinion

In our opinion, the system of quality control for the accounting and auditing practice of CliftonLarsonAllen LLP applicable to engagements not subject to PCAOB permanent inspection in effect for the year ended May 31, 2019, has been suitably designed and complied with to provide the firm with reasonable assurance of performing and reporting in conformity with applicable professional standards in all material respects. Firms can receive a rating of *pass*, *pass with deficiency(ies)* or *fail*. CliftonLarsonAllen LLP has received a peer review rating of *pass*.

Cherry Bekaert LLP



<p>APPROVAL</p> <p><i>Slw</i></p>	<p>REQUEST FOR COUNCIL ACTION</p>	<p>MEETING DATE</p> <p>09/07/2021</p>
<p>REPORTS & RECOMMENDATIONS</p>	<p>Consideration of a Resolution Adopting the City of Franklin Code of Conduct for Elected and Appointed Officials and the Rules of the Common Council</p>	<p>ITEM NUMBER</p> <p><i>G.16.</i></p>

Based on the outcome of an investigation in early 2021 regarding an employee complaint, the Attorney representing the City's liability insurance provider recommended that the City make efforts to create proper, respectful, and effective communication protocols and initiate leadership improvements. To that end, over the past seven months, staff and the Council have been working very hard on creating two valuable documents. The first, the City of Franklin Code of Conduct, is being recommended to ensure that all City Officials have clear guidelines for carrying out the responsibilities they are charged with, while maintaining high standards of integrity, trustworthiness, honesty, and fairness; and the second, the Rules of the Common Council, is being recommended to promote consistency and orderly City of Franklin meetings.

Council directed staff to bring the documents back for consideration on September 7, 2021, when the newly appointed Alderperson was to be in place so that the newly seated Council is able to consider adoption of the two documents.

While the new Alderperson is now in place, he is unable to attend the September 7, 2021 meeting due to prior commitments. Due to this, the item will be brought back at the September 21, 2021 meeting for full Council Consideration.

COMMON COUNCIL ACTION REQUESTED

No action required at this time. Consideration of this item will be requested from the newly seated Council at the September 21, 2021 Council Meeting.

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APPROVAL <i>Slw</i>	REQUEST FOR COUNCIL ACTION	MEETING DATE Sept 7, 2021
REPORTS & RECOMMENDATIONS	AN ORDINANCE TO AMEND ORDINANCE 2020-2453, AN ORDINANCE ADOPTING THE 2021 ANNUAL BUDGETS FOR THE DONATIONS FUND TO PROVIDE \$18,000 OF APPROPRIATIONS FOR THE PURCHASE OF COMMON COUNCIL FURNITURE	ITEM NUMBER <i>G.17.</i>

Background

When the Franklin Senior Citizens disbanded in 2020, they made donations to various City departments.

The Council Chambers furniture has served its purpose. Staff proposes to purpose \$18,000 of the donation to improve the Council Chamber chairs, signage and lobby furniture.

Analysis

While the funds are currently on hand from 2020 donations, no appropriations exist to authorize the purchase. The proposed 2021 budget amendment will provide the required appropriations.

Recommendation

Staff recommends adoption of the proposed 2021 Budget Amendment establishing appropriations to expend Franklin Senior Citizens donations made in 2020 to improve Council Chamber chairs, signage and lobby furniture.

COUNCIL ACTION REQUESTED

Motion adopting an ordinance to amend Ordinance 2020-2453, an Ordinance adopting the 2021 annual budgets for the Donations Fund to provide \$18,000 of appropriations for the purchase of common council furniture

Roll Call Vote Required

STATE OF WISCONSIN : CITY OF FRANKLIN : MILWAUKEE COUNTY

ORDINANCE NO. 2021_____

AN ORDINANCE TO AMEND ORDINANCE 2020-2453, AN ORDINANCE ADOPTING THE 2021 ANNUAL BUDGETS FOR THE DONATIONS FUND TO PROVIDE \$18,000 OF APPROPRIATIONS FOR THE PURCHASE OF COMMON COUNCIL FURNITURE

WHEREAS; the Common Council of the City of Franklin adopted the 2021 Annual Budgets for the City of Franklin on November 17, 2020;

WHEREAS; the Franklin Senior Citizens made 2020 donations to several City Departments;

WHEREAS; there are no 2021 appropriations authorizing the expenditure of those donations; and

WHEREAS the Common Council desires to appropriate \$18,000 to replace Common Council Chamber chairs, signage and lobby furniture.

NOW, THEREFORE, the Common Council of the City of Franklin does hereby ordain as follows:

Section 1 That the 2021 Budget for the Capital Outlay Fund be amended as follows:

Transfer In	Increase	\$18,000
Municipal Buildings		
Capital – furniture	Increase	\$18,000

Section 2 That the 2021 Budget for the Donations Fund be amended as follows:

Transfers Out	Increase	\$18,000
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Section 3 Pursuant to §65.90(5)(a), Wis. Stats., the City Clerk is directed to post a notice of this budget amendment within fifteen days of adoption of this ordinance on the city’s web site.

Passed and adopted at a regular meeting of the Common Council of the City of Franklin this ____ day of _____, 2021.

APPROVED:

Stephen R Olson, Mayor

ATTEST:

Sandra L. Wesolowski, City Clerk

AYES ___ NOES ___ ABSENT ___

<p style="text-align: center;">APPROVAL</p> <p style="text-align: center;"><i>slw</i></p>	<p style="text-align: center;">REQUEST FOR COUNCIL ACTION</p>	<p style="text-align: center;">MEETING DATE</p> <p style="text-align: center;">9/7/2021</p>
<p style="text-align: center;">REPORTS & RECOMMENDATIONS</p>	<p style="text-align: center;">Authorization to Purchase New Chairs for Common Council Chambers and the Hearing Room, Signs, a Recognition Plaque, and New Chairs/Furniture for the Two Lobby Areas Within City Hall</p>	<p style="text-align: center;">ITEM NUMBER</p> <p style="text-align: center;"><i>G.18.</i></p>

BACKGROUND

In November of 2020, the Franklin Senior Citizens, Inc. disbanded/dissolved and graciously donated its leftover funds to the City of Franklin, including separate amounts for various City departments. \$18,000 was earmarked to purchase chairs for Council Chambers, the Hearing Room and the lobby areas in City Hall, along with a request to install signs announcing the Senior Dining Program and a plaque to recognize the long-standing Franklin Senior Citizens, Inc. volunteers.

ANALYSIS

The first purchase request, which has been researched and competitively priced, is for ninety (90) new gallery chairs to be purchased for Common Council Chambers and the Hearing Room from Sitmatic for a total cost of \$14,021. This purchase is being made through a very advantageous state contract pricing agreement.

Staff is still working on the sign designs, the volunteer plaque, and the new chairs/furniture for the upper and lower City Hall lobbies, but will move forward with those purchases at such time the plans are finalized for those areas since the items will not rise to the level of needing individual Council authorization. Collectively, these purchases will be made within the remaining \$3,979, along with other funds the City has already budgeted for lobby furniture.

RECOMMENDATION

Staff recommends that the Common Council authorize the purchase of new chairs for Common Council Chambers and the Hearing Room, at a cost of \$14,021, along with signs, a recognition plaque, and new chairs/furniture for the two lobby areas in City Hall as determined by staff within budget parameters.

COUNCIL ACTION REQUESTED

Motion to authorize the Director of Administration to purchase new chairs for Common Council Chambers and the Hearing Room, signs, a recognition plaque, and new chairs/furniture for the two lobby areas within City Hall.

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APPROVAL <i>Slw</i>	REQUEST FOR COUNCIL ACTION	MEETING DATE 09/07/21
REPORTS & RECOMMENDATIONS	Population Estimate from Wisconsin Department of Administration as of January 1, 2021, and Proposed Redistricting Timeline	ITEM NUMBER <i>G.19.</i>

Franklin has received the preliminary population estimate of 36,646 as of January 1, 2020, which is an increase from 36,514 as of January 1, 2020. If the Council wishes to challenge this estimate, such challenge must be submitted before September 15, 2021.

For your review, Franklin's prior population was as follows:

1960	10,006	2011	35,504
1970	12,247	2012	35,520
1980	16,469	2013	35,810
1990	21,732	2014	35,702
2000	28,804	2015	35,655
4/1/00 Census	29,494	2016	35,741
2001	30,199	2017	36,046
2002	30,749	2018	35,779
2003	31,467	2019	35,996
2004	31,804	2020	36,514
2005	32,548	4/1/20 Census	36,816
2006	33,000	2021	36,646
2007	33,380		
2008	33,550		
2009	33,700		
2010	33,900		
4/1/10 Census	35,451		

Federal Census Redistricting Timeline Status

Milwaukee County has now received Census data for the first step in the redistricting process. Tentative Supervisory District lines will be drawn, followed by a plan presented to the County Board, public hearing(s), and adoption of the tentative Supervisory District plan.

Approximately September 16, 2021, it is anticipated that the County Board will provide the tentative Supervisory District plan to the City Clerk, who will then create ward plans within the framework of the tentative plan using principles and criteria required by State Statutes. Each step in the process is normally 60 days; however, due to the requirements of the 2022 Election timeline, it is hopeful that each step will be completed within 30 days. After approval of the Ward plan by the Common Council and adoption of a resolution (anticipated to be no later than October 18, 2021), the Ward plan is sent to the State Legislative Reference Bureau and back to the County for adoption of a final Supervisory District plan. The City will then establish an Aldermanic District plan.

continued -

COUNCIL ACTION REQUESTED

Motion to place on file the Wis. Dept. of Administration January 1, 2021 population estimate of 36,646.

OR

Motion to direct Director of Clerk Services to submit challenge, based on information provided by staff, to State of Wisconsin 2021 population estimate no later than September 15, 2021.

No action is required at this time on the redistricting timeline update.

Sandi Wesolowski

From: Barroilhet, Dan - DOA <Dan.Barroilhet@wisconsin.gov>
Sent: Tuesday, August 10, 2021 8:07 AM
To: Sandi Wesolowski
Subject: 41226 Preliminary population estimate for the City of Franklin in Milwaukee County

Dear Municipal Clerk:

The Demographic Services Center's preliminary estimate of the January 1, 2021 population for the City of Franklin in Milwaukee County is 36,646. This represents an increase of 1,195 residents or 3.4% since the 2010 Census.

Over the same time, Milwaukee County's population decreased by 494 residents or 0.1% while Wisconsin's population increased by 213,764 residents or 3.8%.

For calendar year 2020 your municipality reported net increase of 96 housing units.

If your municipality believes that the population estimate (36,646 residents) is not a reasonable approximation of its population, please see the challenge form at https://doa.wi.gov/DIR/Challenge_Form_MUNI.pdf

Approximately 29,154 of the estimated population for the City of Franklin are of voting age. This courtesy estimate helps you to comply with Wisconsin Statute 5.66, which requires municipal clerks to approximate the number of electors prior to elections. The voting age population was calculated by applying the census proportion of persons over 18 to the preliminary January 1 estimate, and then multiplying the result by a state-wide factor to account for the general aging of the population. Please note that, if you have an adult correctional facility in your municipality, its population is included in this voting-age estimate.

Dan Barroilhet
Demographer, Research Analyst
Division of Intergovernmental Relations
WI Dept. of Administration
<https://doa.wi.gov/demographics>

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APPROVAL <i>Slw</i>	REQUEST FOR COUNCIL ACTION	MEETING DATE 09/07/2021
LICENSES AND PERMITS	MISCELLANEOUS LICENSES	ITEM NUMBER H.
<p data-bbox="191 415 976 453">See attached listing from meeting of September 7, 2021.</p> <p data-bbox="526 1535 1102 1575">COUNCIL ACTION REQUESTED</p>		



414-425-7500

**License Committee
Agenda***

Alderman Room

September 7, 2021 –5:50 p.m.

1.	Call to Order & Roll Call	Time:
2.	Applicant Interviews & Decisions	
License Applications Reviewed		Recommendations

Type/ Time	Applicant Information	Approve	Hold	Deny
Operator 2021-2022 New 5:55 p.m.	Ellis, Alexandria T Staybridge Suites			
Temporary Entertainment & Amusement 6:00 p.m.	Halfway to St. Patrick's Day Party – Mulligan's Irish Pub & Grill Person in Charge: Brian Francis/Owner Location: 8933 S. 27 th St. Date of Event: Saturday September 18 th , 2021 Time: 6:00 pm to 10:30 pm			
Operator 2021-2022 New	Behning, Genine M Marcus Showtime Cinema			
Operator 2021-2022 New	Berg, Sarah A Polish Center of Wisconsin			
Operator 2021-2022 New	Conley, Shannen K Romey's Place			
Operator 2021-2022 New	Corona, Kayla M Chili's Grill & Bar			
Operator 2021-2022 New	Delaney, Kristy L Country Lanes Bowling Center			
Operator 2021-2022 New	Garcia, Brianna M Milwaukee Burger Company			
Operator 2021-2022 New	Ingebrigtsen, Gavin L Marcus Showtime Cinema			
Operator 2021-2022 New	Klamecki, Michael R Marcus Showtime Cinema			
Operator 2021-2022 New	Lee, Kyesha R Walgreens #15020			
Operator 2021-2022 New	Ramos, Eric Jr. Marcus Showtime Cinema			

Type/ Time	Applicant Information	Approve	Hold	Deny
Operator 2021-2022 New	Riley, Olivia P Walgreens #15020			
Operator 2021-2022 New	Schnell, Natalie M Milwaukee Burger Company			
		Time		
3.	Adjournment			

*Notice is given that a majority of the Common Council may attend this meeting to gather information about an agenda item over which they have decision-making responsibility. This may constitute a meeting of the Common Council per State ex rel Badke v Greendale Village Board even though the Common Council will not take formal action at this meeting.

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APPROVAL <i>Slw</i> <i>Pol</i>	REQUEST FOR COUNCIL ACTION	MEETING DATE 9/07/2021
Bills	Vouchers and Payroll Approval	ITEM NUMBER I
<p>Attached are vouchers dated August 13, 2021 through September 2, 2021 Nos. 184265 through Nos. 184484 in the amount of \$ 2,769,982.39. Also included in this listing are EFT's Nos. 4671 through Nos. 4693, Library vouchers totaling \$ 32,763.48 and Water Utility vouchers totaling \$ 115,793.66. Voided checks in the amount of (\$ 155.00) are separately listed.</p> <p><i>(Included in the listing is payment to Bond Trust Services in the amount of \$ 639,686.25 which was approved at the Council meeting on August 17, 2021.)</i></p> <p>Early release disbursements dated August 13, 2021 through September 1, 2021 in the amount of \$ 1,710,405.84 are provided on a separate listing and are also included in the complete disbursement listing. These payments have been released as authorized under Resolution 2013-6920.</p> <p>The net payroll dated August 27, 2021 is \$ 426,678.81, previously estimated at \$ 415,000. Payroll deductions dated August 27, 2021 are \$ 547,875.62 previously estimated at \$ 555,000.</p> <p>The estimated payroll for September 10, 2021 is \$ 420,000 with estimated deductions and matching payments of \$ 245,000.</p> <p>Attached is a list of property tax distributions check Nos. 17930 dated August 14, 2021 through September 2, 2021 in the amount of \$ 6,372.11. These distributions have been released as authorized under Resolution 2013-6920.</p> <p>Approval to release payment for the purchase of the lift station property not to exceed \$ 50,000.00.</p> <p style="text-align: center;">COUNCIL ACTION REQUESTED</p> <p>Motion approving the following:</p> <ul style="list-style-type: none"> • City vouchers with an ending date of September 2, 2021 in the amount of \$ 2,769,982.39 and • Payroll dated August 27, 2021 in the amount of \$ 426,678.81 and payments of the various payroll deductions in the amount of \$ 547,875.62 of City matching payments and • Estimated payroll dated September 10, 2021 in the amount of \$ 420,000 and payments of the various payroll deductions in the amount of \$ 245,000, plus City matching and • Property Tax disbursements with an ending date of September 2, 2021 in the amount of \$ 6,372.11 and • Approval to release payment for the purchase of the lift station property not to exceed \$ 50,000.00. <p>ROLL CALL VOTE NEEDED</p>		